

United States Senate

Financial Disclosures

Annual Report for Calendar 2017 (Amendment 1)

Ms. Kamala D Harris (Harris, Kamala)

Filed 06/12/2019 @ 12:35 PM

The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
 - I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.
-
- I omitted assets because they meet the three-part test for exemption.

Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200 or donate any amount to a charity on your behalf, for an article, speech, or appearance? **No**

Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid
1	Spouse	Salary	Venable LLP Los Angeles, California	> \$1,000
2	Spouse	Salary	DLA Piper LLP Los Angeles, CA	> \$1,000
3	Self	Salary	State of California Sacramento, CA	\$14,511.89

Part 3. Assets

Did you, your spouse, or dependent child own any asset worth more than \$1000, have a deposit account with a balance over \$5,000, or receive income of more than \$200 from an asset? **Yes**

	Asset	Asset Type	Owner	Value	Income Type	Income
1	Wells Fargo (Los Angeles, California) Type: Checking,	Bank Deposit	Self	\$1,001 - \$15,000	Interest,	None (or less than \$201)
2	Wells Fargo (Los Angeles, California) Type: Savings,	Bank Deposit	Self	\$250,001 - \$500,000	Interest,	\$201 - \$1,000
3	First Republic Bank (San Francisco, California) Type: Checking,	Bank Deposit	Spouse	\$1,001 - \$15,000	Interest,	None (or less than \$201)
4	Wells Fargo (Los Angeles, California) Type: Money Market Account,	Bank Deposit	Spouse	\$50,001 - \$100,000	Interest,	None (or less than \$201)
5	State of California Savings Plus 457(b) Plan	Retirement Plans Deferred Compensation	Self			
5.1	Target Date Fund 2025	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
6	City & County of San Francisco 457(b) Deferred Compensation Plan	Retirement Plans Deferred Compensation	Self			
6.1	SFDCP Target Date 2025	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
6.2	SFDCP Large Cap Growth Eq	Mutual Funds Mutual Fund	Self	\$100,001 - \$250,000	Excepted Investment Fund,	None (or less than \$201)
6.3	SFDCP Lg Cap Eq - S&P 500	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
6.4	SFDCP Mid Cap Core	Mutual Funds Mutual Fund	Self	\$100,001 - \$250,000	Excepted Investment Fund,	None (or less than \$201)
6.5	SFDCP International Equity	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
6.6	SFDCP Real Estate	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
7	Venable LLP Capital Account <i>Description: Partner Capital Account (Los Angeles, California)</i>	Other Securities	Spouse	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
8	Merrill Lynch - Emhoff Family Trust	Brokerage/Managed Account	Spouse			
8.1	ANOIX-American Century Small Cap Growth Inv (NASDAQ)	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$2,501 - \$5,000
8.2	AEPFX-American Funds Europacific Growth F2 (NASDAQ)	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$2,501 - \$5,000
8.3	HGOIX-Hartford Growth Opportunities I (NASDAQ)	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$5,001 - \$15,000
8.4	IWD-iShares Russell 1000 Value (NYSEArca)	Mutual Funds Exchange Traded Fund/Note	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$5,001 - \$15,000
8.5	UBVSX-Undiscovered Mgrs Behavioral Value Sel (NASDAQ)	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$1,001 - \$2,500
8.6	MTLIX-MFS Municipal Limited Maturity I (NASDAQ)	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$201 - \$1,000
8.7	MEIIX-MFS Value I (NASDAQ)	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$5,001 - \$15,000

8.8	ML Bank Deposit Program (Century City, California) <i>Type: Money Market Account,</i>	Bank Deposit Asset Type	Spouse	\$50,001 - \$100,000 Value	Income Interest, Income Type	\$201 - \$1,000
8.9	WEMIX-Wells Fargo Advantage Emerging Gr Instl (NASDAQ)	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$201 - \$1,000
8.10	IWF-iShares Russell 1000 Growth (NYSEArca)	Mutual Funds Exchange Traded Fund/Note	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$15,001 - \$50,000
8.11	EFA - iShares MSCI EAFE ETF <i>Filer comment: 2017 purchase and sale</i>	Mutual Funds Exchange Traded Fund/Note	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$2,501 - \$5,000
8.12	VUG - Vanguard Growth ETF <i>Filer comment: 2017 purchase and sale</i>	Mutual Funds Exchange Traded Fund/Note	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$1,001 - \$2,500
8.13	ITM - VanEck Vectors AMT- Free Interm Muni ETF <i>Filer comment: 2017 purchase and sale</i>	Mutual Funds Exchange Traded Fund/Note	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$201 - \$1,000
8.14	IEMG - iShares Core MSCI Emerging Markets ETF <i>Filer comment: 2017 purchase and sale</i>	Mutual Funds Exchange Traded Fund/Note	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$1,001 - \$2,500
9	Merrill Lynch - IRRA	Retirement Plans IRA	Spouse			
9.1	Merrill Lynch-Bank of America N/A (Century City, California) <i>Type: Money Market Account,</i>	Bank Deposit	Spouse	\$15,001 - \$50,000	None,	None (or less than \$201)
9.2	EWC - iShares MSCI Canada ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
9.3	EWL - iShares MSCI Switzerland Capped ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)

		Asset Type	Owner	Value	Income Type	Income
9.4	<u>EWD</u> - iShares MSCI Sweden Capped ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
9.5	<u>EPP</u> - iShares MSCI Pacific ex Japan ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
9.6	<u>LQD</u> - iShares iBoxx \$ Invmt Grade Corp Bd ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
9.7	<u>TIP</u> - iShares TIPS Bond ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
9.8	<u>IEI</u> - iShares 3-7 Year Treasury Bond ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
9.9	<u>MBB</u> - iShares MBS ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
9.10	<u>HYG</u> - iShares iBoxx \$ High Yield Corp Bd ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
9.11	<u>EZU</u> - iShares MSCI Eurozone ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
9.12	<u>EWJ</u> - iShares MSCI Japan ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
9.13	<u>EWU</u> - iShares MSCI United Kingdom ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
9.14	<u>IEMG</u> - iShares Core MSCI Emerging Markets ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
9.15	<u>PCY</u> - PowerShares Emerging Markets Sov Dbt ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)

		Asset Type	Owner	Value	Income Type	
9.16	<u>RGX</u> - PowerShares Preferred ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201) Income
9.17	<u>EMLC</u> - VanEck Vectors JP Morgan EM LC Bd ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
9.18	<u>VBR</u> - Vanguard Small-Cap Value ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
9.19	<u>VBK</u> - Vanguard Small-Cap Growth ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
9.20	<u>VTV</u> - Vanguard Value ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$250,001 - \$500,000	Excepted Investment Fund,	None (or less than \$201)
9.21	<u>VUG</u> - Vanguard Growth ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$100,001 - \$250,000	Excepted Investment Fund,	None (or less than \$201)
9.22	<u>BIV</u> - Vanguard Interm-Term Bond ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
9.23	<u>BSV</u> - Vanguard Short-Term Bond ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
10	Whitwell Jacoby Emhoff LLP SEP	Retirement Plans Defined Contribution Pension Plan	Spouse			
10.1	<u>BIV</u> - Vanguard Intermediate-Term Bond ETF (NYSEArca)	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
10.2	<u>IBB</u> - iShares Nasdaq Biotechnology (NASDAQ)	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
10.3	<u>IEI</u> - iShares 3-7 Year Treasury Bond (NYSEArca)	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)

Item ID	Asset Name	Asset Type	Owner	Value	Income Type	Income
10.4	EMG - iShares Core MSCI Emerging Markets (NYSEArca)	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Income Excepted Investment Fund,	None (or less than \$201)
10.5	Merrill Lynch-Bank of America N/A (Century City, California) Type: Money Market Account,	Bank Deposit	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
10.6	LQD - iShares iBoxx \$ Invst Grade Crp Bond (NYSEArca)	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
10.7	MBB - iShares MBS (NYSEArca)	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
10.8	VAW - Vanguard Materials ETF <i>Filer comment: Below the reporting threshold - no transaction to report.</i>	Mutual Funds Exchange Traded Fund/Note	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	None (or less than \$201)
10.9	VDC - Vanguard Consumer Staples ETF (NYSEArca)	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
10.10	VGT - Vanguard Information Technology ETF (NYSEArca)	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
10.11	VIS - Vanguard Industrials ETF (NYSEArca)	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
10.12	XLF - Financial Select Sector SPDR ETF (NYSEArca)	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
10.13	XLV - Health Care Select Sector SPDR ETF (NYSEArca)	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
10.14	XLY - Consumer Discret Sel Sect SPDR ETF (NYSEArca)	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
10.15	EWU - iShares MSCI United Kingdom (NYSEArca)	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Income Exempt Investment Fund,	None (or less than \$201) Income
10.16	EWJ - iShares, Inc. - iShares MSCI Japan ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Exempted Investment Fund,	None (or less than \$201)
10.17	PCY - PowerShares Exchange-Traded Fund Trust II - PowerS	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Exempted Investment Fund,	None (or less than \$201)
10.18	TIP - iShares Trust - iShares TIPS Bond ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Exempted Investment Fund,	None (or less than \$201)
10.19	XLE - Energy Select Sector SPDR ETF <i>Filer comment: Full sale in CY 2017; two sale transactions less than \$1,000</i>	Mutual Funds Exchange Traded Fund/Note	Spouse	None (or less than \$1,001)	Exempted Investment Fund,	None (or less than \$201)
10.20	EPP - iShares MSCI Pacific ex Japan ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Exempted Investment Fund,	None (or less than \$201)
10.21	XLRE - Real Estate Select Sector SPDR	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Exempted Investment Fund,	None (or less than \$201)
10.22	BSV - Vanguard Short-Term Bond ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Exempted Investment Fund,	None (or less than \$201)
10.23	EWC - iShares MSCI Canada ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Exempted Investment Fund,	None (or less than \$201)
10.24	EWL - iShares MSCI Switzerland Capped ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Exempted Investment Fund,	None (or less than \$201)
10.25	EZU - iShares MSCI Eurozone ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Exempted Investment Fund,	None (or less than \$201)

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
10.26	FDN - First Trust Dow Jones Internet ETF		Mutual Funds Exchange Traded Fund/Note	Spouse		\$1,001 - \$15,000	Income Exempt Investment Fund, None (or less than \$201)
10.27	SKYY - First Trust Cloud Computing ETF		Mutual Funds Exchange Traded Fund/Note	Spouse		\$1,001 - \$15,000	Exempted Investment Fund, None (or less than \$201)
11	San Francisco Employees Retirement System (SFERS) Plan		Retirement Plans Defined Benefit Pension Plan	Self		\$250,001 - \$500,000	Exempted Investment Fund, None (or less than \$201)
12	DLA Piper LLP Retirement Plan		Retirement Plans Defined Contribution Pension Plan	Spouse			
12.1	VRIVX - Vanguard Instl Trgt Retire 2025 Instl		Mutual Funds Mutual Fund	Spouse		\$1,001 - \$15,000	Exempted Investment Fund, None (or less than \$201)
13	Charles Schwab IRA Rollover		Retirement Plans IRA	Spouse			
13.1	TPLGX - T. Rowe Price Instl Large Cap Core Gr		Mutual Funds Mutual Fund	Spouse		\$1,001 - \$15,000	Exempted Investment Fund, None (or less than \$201)
13.2	VIEIX-Vanguard Extended Market Index Instl		Mutual Funds Mutual Fund	Spouse		\$1,001 - \$15,000	Exempted Investment Fund, None (or less than \$201)

Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you. Have you filed any paper-based PTRs in this period? **No**

Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset that exceeded \$1,000? **Yes**

1 #	Spouse Owner	<u>IEMG</u> Ticker	iShares Core MSCI Emerging Markets ETF	Purchase Transaction Type	12/22/2017 Transaction Date	\$1,001 - \$15,000 Amount	-- Comment
2	Spouse	<u>EWU</u>	iShares MSCI United Kingdom ETF	Purchase	12/22/2017	\$1,001 - \$15,000	--
3	Spouse	<u>EWJ</u>	iShares MSCI Japan ETF	Purchase	12/22/2017	\$1,001 - \$15,000	--
4	Spouse	<u>EZU</u>	iShares MSCI Eurozone ETF	Purchase	12/22/2017	\$1,001 - \$15,000	--
5	Spouse	<u>MBB</u>	iShares MBS ETF	Purchase	12/22/2017	\$1,001 - \$15,000	--
6	Spouse	<u>VUG</u>	Vanguard Growth ETF	Purchase	12/22/2017	\$15,001 -\$50,000	--
7	Spouse	<u>VBK</u>	Vanguard Small-Cap Growth ETF	Purchase	12/22/2017	\$1,001 - \$15,000	--
8	Spouse	<u>VTV</u>	Vanguard Value ETF	Purchase	12/22/2017	\$15,001 -\$50,000	--
9	Spouse	<u>VBR</u>	Vanguard Small-Cap Value ETF	Purchase	12/22/2017	\$1,001 - \$15,000	--
10	Spouse	<u>BSV</u>	Vanguard Short-Term Bond ETF	Purchase	12/22/2017	\$1,001 - \$15,000	--
11	Spouse	<u>PCY</u>	PowerShares Emerging Markets Sov Dbt ETF	Purchase	12/22/2017	\$1,001 - \$15,000	--
12	Spouse	<u>TIP</u>	iShares TIPS Bond ETF	Purchase	12/22/2017	\$1,001 - \$15,000	--
13	Spouse	<u>BIV</u>	Vanguard Interm-Term Bond ETF	Purchase	12/22/2017	\$1,001 - \$15,000	--
14	Spouse	<u>IEI</u>	iShares 3-7 Year Treasury Bond ETF	Purchase	12/22/2017	\$1,001 - \$15,000	--
15	Spouse	<u>HYG</u>	iShares iBoxx \$ High Yield Corp Bd ETF	Purchase	12/22/2017	\$1,001 - \$15,000	--
16	Spouse	<u>LQD</u>	iShares iBoxx \$ Invmt Grade Corp Bd ETF	Purchase	12/22/2017	\$1,001 - \$15,000	--

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
17	Spouse	<u>EWL</u>	iShares MSCI Switzerland Capped ETF	Purchase	12/22/2017	\$1,001 - \$15,000	--
18	Spouse	<u>EPP</u>	iShares MSCI Pacific ex Japan ETF	Purchase	12/22/2017	\$1,001 - \$15,000	--
19	Spouse	<u>EWC</u>	iShares MSCI Canada ETF	Purchase	12/22/2017	\$1,001 - \$15,000	--
20	Spouse	<u>VUG</u>	Vanguard Growth ETF	Purchase	12/13/2017	\$50,001 - \$100,000	--
21	Spouse	<u>VTV</u>	Vanguard Value ETF	Purchase	12/13/2017	\$100,001 - \$250,000	--
22	Spouse	<u>HYG</u>	iShares iBoxx \$ High Yield Corp Bd ETF	Purchase	12/13/2017	\$1,001 - \$15,000	--
23	Spouse	<u>IEMG</u>	iShares Core MSCI Emerging Markets ETF	Purchase	12/13/2017	\$50,001 - \$100,000	--
24	Spouse	<u>PGX</u>	PowerShares Preferred ETF	Purchase	12/13/2017	\$1,001 - \$15,000	--
25	Spouse	<u>EMLC</u>	VanEck Vectors JP Morgan EM LC Bd ETF	Purchase	12/13/2017	\$1,001 - \$15,000	--
26	Spouse	<u>EWU</u>	iShares MSCI United Kingdom ETF	Purchase	12/13/2017	\$15,001 - \$50,000	--
27	Spouse	<u>IEI</u>	iShares 3-7 Year Treasury Bond ETF	Purchase	12/13/2017	\$1,001 - \$15,000	--
28	Spouse	<u>PCY</u>	PowerShares Emerging Markets Sov Dbt ETF	Purchase	12/13/2017	\$1,001 - \$15,000	--
29	Spouse	<u>MBB</u>	iShares MBS ETF	Purchase	12/13/2017	\$15,001 - \$50,000	--
30	Spouse	<u>EZU</u>	iShares MSCI Eurozone ETF	Purchase	12/13/2017	\$15,001 - \$50,000	--

31	Spouse	<u>BIV</u>	Vanguard Interm-Term Bond	Purchase	12/13/2017	\$15,001	--
#	Owner	Ticker	ETF Asset Name	Transaction Type	Transaction Date	Amount	Comment
						\$50,000	
32	Spouse	<u>BSV</u>	Vanguard Short-Term Bond ETF	Purchase	12/13/2017	\$15,001	--
						-	
						\$50,000	
33	Spouse	<u>LQD</u>	iShares iBoxx \$ Invtm Grade Corp Bd ETF	Purchase	12/13/2017	\$15,001	--
						-	
						\$50,000	
34	Spouse	<u>EWJ</u>	iShares MSCI Japan ETF	Purchase	12/13/2017	\$15,001	--
						-	
						\$50,000	
35	Spouse	<u>EWD</u>	iShares MSCI Sweden Capped ETF	Purchase	12/13/2017	\$1,001 -	--
						\$15,000	
36	Spouse	<u>EWC</u>	iShares MSCI Canada ETF	Purchase	12/13/2017	\$1,001 -	--
						\$15,000	
37	Spouse	<u>EPP</u>	iShares MSCI Pacific ex Japan ETF	Purchase	12/13/2017	\$15,001	--
						-	
						\$50,000	
38	Spouse	<u>EWL</u>	iShares MSCI Switzerland Capped ETF	Purchase	12/13/2017	\$1,001 -	--
						\$15,000	
39	Spouse	<u>VBK</u>	Vanguard Small-Cap Growth ETF	Purchase	12/13/2017	\$1,001 -	--
						\$15,000	
40	Spouse	<u>VBR</u>	Vanguard Small-Cap Value ETF	Purchase	12/13/2017	\$15,001	--
						-	
						\$50,000	
41	Spouse	<u>TIP</u>	iShares TIPS Bond ETF	Purchase	12/13/2017	\$1,001 -	--
						\$15,000	
42	Spouse	<u>CRISX</u>	CRM Small Cap Value Instl	Sale (Full)	12/13/2017	\$1,001 -	Transfer from Venable LLP Retirement Plan and then sold
						\$15,000	
43	Spouse	<u>DODGX</u>	Dodge & Cox Stock	Sale (Full)	12/13/2017	\$1,001 -	Transfer from Venable LLP Retirement Plan and then sold
						\$15,000	
44	Spouse	<u>WEMIX</u>	Wells Fargo Emerging Growth Inst	Sale (Full)	12/13/2017	\$1,001 -	Transfer from Venable LLP Retirement Plan and then sold
						\$15,000	
45	Spouse	<u>VMGRX</u>	Vanguard Mid Cap Growth Inv	Sale (Full)	12/13/2017	\$1,001 -	Transfer from Venable LLP Retirement Plan and then sold
						\$15,000	

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
46	Spouse	<u>HEDJ</u>	WisdomTree Europe Hedged Equity ETF	Sale (Full)	12/05/2017	\$1,001 - \$15,000	--
47	Spouse	<u>EZU</u>	iShares MSCI Eurozone ETF	Purchase	12/05/2017	\$1,001 - \$15,000	--
48	Spouse	<u>VTV</u>	Vanguard Value ETF	Sale (Partial)	10/24/2017	\$1,001 - \$15,000	--
49	Spouse	<u>HEDJ</u>	WisdomTree Europe Hedged Equity ETF	Sale (Partial)	10/24/2017	\$1,001 - \$15,000	--
50	Spouse	<u>EZU</u>	iShares MSCI Eurozone ETF	Purchase	10/24/2017	\$1,001 - \$15,000	--
51	Spouse	<u>IEMG</u>	iShares Core MSCI Emerging Markets ETF	Sale (Partial)	05/17/2017	\$1,001 - \$15,000	--
52	Spouse	<u>HEDJ</u>	WisdomTree Europe Hedged Equity ETF	Purchase	05/17/2017	\$1,001 - \$15,000	--
53	Spouse	<u>VBK</u>	Vanguard Small-Cap Growth ETF	Sale (Partial)	05/17/2017	\$1,001 - \$15,000	--
54	Spouse	<u>TIP</u>	iShares TIPS Bond ETF	Purchase	02/28/2017	\$1,001 - \$15,000	--
55	Spouse	<u>BIV</u>	Vanguard Interm-Term Bond ETF	Purchase	02/28/2017	\$1,001 - \$15,000	--
56	Spouse	<u>LQD</u>	iShares iBoxx \$ Invmt Grade Corp Bd ETF	Purchase	02/28/2017	\$1,001 - \$15,000	--
57	Spouse	<u>IEI</u>	iShares 3-7 Year Treasury Bond ETF	Purchase	02/28/2017	\$1,001 - \$15,000	--
58	Spouse	<u>HYG</u>	iShares iBoxx \$ High Yield Corp Bd ETF	Purchase	02/28/2017	\$1,001 - \$15,000	--
59	Spouse	<u>PCY</u>	PowerShares Emerging Markets Sov Dbt ETF	Purchase	02/28/2017	\$1,001 - \$15,000	--
60	Spouse	<u>BSV</u>	Vanguard Short-Term Bond ETF	Purchase	02/28/2017	\$1,001 - \$15,000	--
61	Spouse	<u>MBB</u>	iShares MBS ETF	Purchase	02/28/2017	\$1,001 - \$15,000	--
62	Spouse	<u>VTV</u>	Vanguard Value ETF	Purchase	02/28/2017	\$50,001 - \$100,000	--

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
63	Spouse	<u>VUG</u>	Vanguard Growth ETF	Purchase	02/28/2017	\$15,001 - \$50,000	--
64	Spouse	<u>EPP</u>	iShares MSCI Pacific ex Japan ETF	Purchase	02/28/2017	\$1,001 - \$15,000	--
65	Spouse	<u>EWU</u>	iShares MSCI United Kingdom ETF	Purchase	02/28/2017	\$1,001 - \$15,000	--
66	Spouse	<u>EWC</u>	iShares MSCI Canada ETF	Purchase	02/28/2017	\$1,001 - \$15,000	--
67	Spouse	<u>EWL</u>	iShares MSCI Switzerland Capped ETF	Purchase	02/28/2017	\$1,001 - \$15,000	--
68	Spouse	<u>EWJ</u>	iShares MSCI Japan ETF	Purchase	02/28/2017	\$1,001 - \$15,000	--
69	Spouse	<u>EMLC</u>	VanEck Vectors JP Morgan EM LC Bd ETF	Purchase	02/28/2017	\$1,001 - \$15,000	--
70	Spouse	<u>HEDJ</u>	WisdomTree Europe Hedged Equity ETF	Purchase	02/28/2017	\$1,001 - \$15,000	--
71	Spouse	<u>IEMG</u>	iShares Core MSCI Emerging Markets ETF	Purchase	02/28/2017	\$15,001 - \$50,000	--
72	Spouse	<u>VBK</u>	Vanguard Small-Cap Growth ETF	Purchase	02/28/2017	\$1,001 - \$15,000	--
73	Spouse	<u>VBR</u>	Vanguard Small-Cap Value ETF	Purchase	02/28/2017	\$1,001 - \$15,000	--
74	Spouse	<u>PGX</u>	PowerShares Preferred ETF	Purchase	02/28/2017	\$1,001 - \$15,000	--
75	Spouse	<u>DOMIX</u>	Domini Impact International Equity Inv	Sale (Full)	02/28/2017	\$15,001 - \$50,000	--
76	Spouse	<u>PXSIX</u>	Pax Small Cap Institutional	Sale (Full)	02/28/2017	\$1,001 - \$15,000	--
77	Spouse	<u>PRILX</u>	Parnassus Core Equity Institutional	Sale (Full)	02/28/2017	\$1,001 - \$15,000	--
78	Spouse	<u>PARWX</u>	Parnassus Endeavor Investor	Sale (Full)	02/28/2017	\$1,001 - \$15,000	--

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
79	Spouse	<u>PARMX</u>	Parnassus Mid-Cap	Sale (Full)	02/28/2017	\$1,001 - \$15,000	--
80	Spouse	<u>CSDYX</u>	Calvert Short Duration Income Y	Sale (Full)	02/28/2017	\$15,001 - \$50,000	--
81	Spouse	<u>CIEYX</u>	Calvert Equity Y	Sale (Full)	02/28/2017	\$15,001 - \$50,000	--
82	Spouse	<u>CBAYX</u>	Calvert Balanced Y	Sale (Full)	02/28/2017	\$50,001 - \$100,000	--
83	Spouse	<u>AVEDX</u>	Ave Maria Rising Dividend	Sale (Full)	02/28/2017	\$15,001 - \$50,000	--
84	Spouse	<u>PARNX</u>	Parnassus	Sale (Full)	02/28/2017	\$1,001 - \$15,000	--
85	Spouse	<u>PARWX</u>	Parnassus Endeavor Investor	Sale (Partial)	02/22/2017	\$1,001 - \$15,000	--
86	Spouse	<u>CSDYX</u>	Calvert Short Duration Income Y	Purchase	01/31/2017	\$1,001 - \$15,000	--
87	Spouse	<u>CIEYX</u>	Calvert Equity Y	Purchase	01/31/2017	\$1,001 - \$15,000	--
88	Spouse	<u>CBAYX</u>	Calvert Balanced Y	Purchase	01/31/2017	\$1,001 - \$15,000	--
89	Spouse	<u>HEDJ</u>	WisdomTree Europe Hedged Equity ETF	Sale (Full)	12/05/2017	\$1,001 - \$15,000	--
90	Spouse	<u>EZU</u>	iShares MSCI Eurozone ETF	Purchase	12/05/2017	\$1,001 - \$15,000	--
91	Spouse	<u>HEDJ</u>	WisdomTree Europe Hedged Equity ETF	Sale (Partial)	10/24/2017	\$1,001 - \$15,000	--
92	Spouse	<u>EZU</u>	iShares MSCI Eurozone ETF	Purchase	10/24/2017	\$1,001 - \$15,000	--
93	Spouse	<u>XLV</u>	Health Care Select Sector SPDR ETF	Sale (Partial)	09/25/2017	\$1,001 - \$15,000	--

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
94	Spouse	<u>XLY</u>	Consumer Discret Sel Sect SPDR ETF	Sale (Partial)	09/25/2017	\$1,001 - \$15,000	--
95	Spouse	<u>IEMG</u>	iShares Core MSCI Emerging Markets ETF	Purchase	01/24/2017	\$1,001 - \$15,000	--
96	Spouse	<u>EWU</u>	iShares MSCI United Kingdom ETF	Purchase	01/23/2017	\$1,001 - \$15,000	--
97	Spouse	<u>VIS</u>	Vanguard Industrials ETF	Sale (Partial)	01/23/2017	\$1,001 - \$15,000	--
98	Spouse	<u>VGT</u>	Vanguard Information Technology ETF	Sale (Partial)	01/23/2017	\$1,001 - \$15,000	--
99	Spouse	<u>XLY</u>	Consumer Discret Sel Sect SPDR ETF	Sale (Partial)	01/23/2017	\$1,001 - \$15,000	--
100	Spouse	<u>BSV</u>	Vanguard Short-Term Bond ETF	Purchase	01/23/2017	\$1,001 - \$15,000	--
101	Spouse	<u>LQD</u>	iShares iBoxx \$ Invmt Grade Corp Bd ETF	Sale (Full)	04/28/2017	\$1,001 - \$15,000	--
102	Spouse	<u>TIP</u>	iShares TIPS Bond ETF	Sale (Full)	04/28/2017	\$1,001 - \$15,000	--
103	Spouse	<u>VBR</u>	Vanguard Small-Cap Value ETF	Sale (Full)	04/28/2017	\$1,001 - \$15,000	--
104	Spouse	<u>EFA</u>	iShares MSCI EAFE ETF	Sale (Full)	04/28/2017	\$50,001 - \$100,000	--
105	Spouse	<u>SHM</u>	SPDR Nuveen Blmbg Barclays ST MunBd ETF	Sale (Full)	04/28/2017	\$1,001 - \$15,000	--
106	Spouse	<u>IEI</u>	iShares 3-7 Year Treasury Bond ETF	Sale (Full)	04/28/2017	\$1,001 - \$15,000	--
107	Spouse	<u>BIV</u>	Vanguard Interm-Term Bond ETF	Sale (Full)	04/28/2017	\$1,001 - \$15,000	--
108	Spouse	<u>MBB</u>	iShares MBS ETF	Sale (Full)	04/28/2017	\$1,001 - \$15,000	--
109	Spouse	<u>HYD</u>	VanEck Vectors High-Yield Municipal ETF	Sale (Full)	04/28/2017	\$1,001 - \$15,000	--

110	Spouse	<u>IEMG</u>	iShares Core MSCI Emerging Markets ETF	Sale (Full)	04/28/2017	\$15,001 - \$50,000	--
#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
111	Spouse	<u>EMLC</u>	VanEck Vectors JP Morgan EM LC Bd ETF	Sale (Full)	04/28/2017	\$1,001 - \$15,000	--
112	Spouse	<u>BSV</u>	Vanguard Short-Term Bond ETF	Sale (Full)	04/28/2017	\$1,001 - \$15,000	--
113	Spouse	<u>ITM</u>	VanEck Vectors AMT-Free Interm Muni ETF	Sale (Full)	04/28/2017	\$15,001 - \$50,000	--
114	Spouse	<u>PZA</u>	PowerShares National AMT-Free MuniBd ETF	Sale (Full)	04/28/2017	\$15,001 - \$50,000	--
115	Spouse	<u>PCY</u>	PowerShares Emerging Markets Sov Dbt ETF	Sale (Full)	04/28/2017	\$1,001 - \$15,000	--
116	Spouse	<u>VBK</u>	Vanguard Small-Cap Growth ETF	Sale (Full)	04/28/2017	\$1,001 - \$15,000	--
117	Spouse	<u>VUG</u>	Vanguard Growth ETF	Sale (Full)	04/28/2017	\$50,001 - \$100,000	--
118	Spouse	<u>VTV</u>	Vanguard Value ETF	Sale (Full)	04/28/2017	\$100,001 - \$250,000	--
119	Spouse	<u>BSV</u>	Vanguard Short-Term Bond ETF	Purchase	03/03/2017	\$1,001 - \$15,000	--
120	Spouse	<u>MBB</u>	iShares MBS ETF	Purchase	03/03/2017	\$1,001 - \$15,000	--
121	Spouse	<u>TIP</u>	iShares TIPS Bond ETF	Purchase	03/03/2017	\$1,001 - \$15,000	--
122	Spouse	<u>EFA</u>	iShares MSCI EAFE ETF	Purchase	03/03/2017	\$50,001 - \$100,000	--
123	Spouse	<u>PCY</u>	PowerShares Emerging Markets Sov Dbt ETF	Purchase	03/03/2017	\$1,001 - \$15,000	--
124	Spouse	<u>SHM</u>	SPDR Nuveen Blmbg Barclays ST MunBd ETF	Purchase	03/03/2017	\$1,001 - \$15,000	--

125	Spouse	<u>PZA</u>	PowerShares National AMT-Free MuniBd ETF	Purchase	03/03/2017	\$15,001 - \$50,000	--
#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
126	Spouse	<u>HYD</u>	VanEck Vectors High-Yield Municipal ETF	Purchase	03/03/2017	\$1,001 - \$15,000	--
127	Spouse	<u>VBK</u>	Vanguard Small-Cap Growth ETF	Purchase	03/03/2017	\$1,001 - \$15,000	--
128	Spouse	<u>VBR</u>	Vanguard Small-Cap Value ETF	Purchase	03/03/2017	\$1,001 - \$15,000	--
129	Spouse	<u>VTV</u>	Vanguard Value ETF	Purchase	03/03/2017	\$100,001 - \$250,000	--
130	Spouse	<u>VUG</u>	Vanguard Growth ETF	Purchase	03/03/2017	\$50,001 - \$100,000	--
131	Spouse	<u>LQD</u>	iShares iBoxx \$ Invmt Grade Corp Bd ETF	Purchase	03/03/2017	\$1,001 - \$15,000	--
132	Spouse	<u>BIV</u>	Vanguard Interm-Term Bond ETF	Purchase	03/03/2017	\$1,001 - \$15,000	--
133	Spouse	<u>IWD</u>	iShares Russell 1000 Value ETF	Sale (Full)	03/03/2017	\$15,001 - \$50,000	--
134	Spouse	<u>ITM</u>	VanEck Vectors AMT-Free Interm Muni ETF	Purchase	03/03/2017	\$15,001 - \$50,000	--
135	Spouse	<u>IWF</u>	iShares Russell 1000 Growth ETF	Sale (Full)	03/03/2017	\$15,001 - \$50,000	--
136	Spouse	<u>IEI</u>	iShares 3-7 Year Treasury Bond ETF	Purchase	03/03/2017	\$1,001 - \$15,000	--
137	Spouse	<u>IEMG</u>	iShares Core MSCI Emerging Markets ETF	Purchase	03/03/2017	\$15,001 - \$50,000	--
138	Spouse	<u>EMLC</u>	VanEck Vectors JP Morgan EM LC Bd ETF	Purchase	03/03/2017	\$1,001 - \$15,000	--

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
139	Spouse	<u>WEMIX</u>	Wells Fargo Emerging Growth Instl	Sale (Full)	03/03/2017	\$1,001 - \$15,000	--
140	Spouse	<u>TWWIX</u>	Thomas White International I	Sale (Full)	03/03/2017	\$15,001 - \$50,000	--
141	Spouse	<u>HHDTX</u>	Hancock Horizon Diversified Intl Instl	Sale (Full)	03/03/2017	\$15,001 - \$50,000	--
142	Spouse	<u>DUSIX</u>	Delaware Tax-Free USA Intermediate Instl	Sale (Full)	03/03/2017	\$50,001 - \$100,000	--
143	Spouse	<u>AEPFX</u>	American Funds Europacific Growth F2	Sale (Full)	03/03/2017	\$15,001 - \$50,000	--
144	Spouse	<u>UBVSX</u>	Undiscovered Managers Behavioral Val I	Sale (Full)	03/03/2017	\$1,001 - \$15,000	--
145	Spouse	<u>ASVIX</u>	American Century Small Cap Value Inv	Sale (Full)	03/03/2017	\$1,001 - \$15,000	--
146	Spouse	<u>ANOIX</u>	American Century Small Cap Growth Inv	Sale (Full)	03/03/2017	\$1,001 - \$15,000	--
147	Spouse	<u>MTLIX</u>	MFS Municipal Limited Maturity I	Sale (Full)	03/03/2017	\$50,001 - \$100,000	--
148	Spouse	<u>MEIIX</u>	MFS Value I	Sale (Full)	03/03/2017	\$15,001 - \$50,000	--
149	Spouse	<u>EILVX</u>	Eaton Vance Large-Cap Value I	Sale (Full)	03/03/2017	\$15,001 - \$50,000	--
150	Spouse	<u>HGOIX</u>	Hartford Growth Opportunities I	Sale (Full)	03/03/2017	\$15,001 - \$50,000	--
151	Spouse	<u>DGAGX</u>	Dreyfus Appreciation Investor	Sale (Full)	03/03/2017	\$15,001 - \$50,000	--

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
152	Spouse	<u>HGOIX</u>	Hartford Growth Opportunities I	Purchase	01/31/2017	\$1,001 - \$15,000	--
153	Spouse	<u>DGAGX</u>	Dreyfus Appreciation Investor	Purchase	01/31/2017	\$1,001 - \$15,000	--
154	Spouse	<u>DUSIX</u>	Delaware Tax-Free USA Intermediate Instl	Purchase	01/31/2017	\$1,001 - \$15,000	--
155	Spouse	<u>MTLIX</u>	MFS Municipal Limited Maturity I	Purchase	01/31/2017	\$1,001 - \$15,000	--
156	Spouse	<u>VRIVX</u>	Vanguard Instl Trgt Retire 2025 Instl	Purchase	11/01/2017	\$1,001 - \$15,000	--
157	Spouse	<u>WEMIX</u>	Wells Fargo Emerging Growth Inst	Purchase	06/28/2017	\$1,001 - \$15,000	--
158	Spouse	<u>VMGRX</u>	Vanguard Mid Cap Growth Inv	Purchase	06/28/2017	\$1,001 - \$15,000	--
159	Spouse	<u>VINIX</u>	Vanguard Institutional Index I	Purchase	06/28/2017	\$1,001 - \$15,000	--
160	Spouse	<u>VEXAX</u>	Vanguard Extended Market Index Admiral	Purchase	06/28/2017	\$1,001 - \$15,000	--
161	Spouse	<u>TPLGX</u>	T. Rowe Price Instl Large Cap Core Gr	Purchase	06/28/2017	\$1,001 - \$15,000	--
162	Spouse	<u>DODGX</u>	Dodge & Cox Stock	Purchase	06/28/2017	\$1,001 - \$15,000	--
163	Spouse	<u>CRISX</u>	CRM Small Cap Value Instl	Purchase	06/28/2017	\$1,001 - \$15,000	--
164	Spouse	<u>VEXAX</u>	Vanguard Extended Market Index Admiral	Sale (Full)	08/02/2017	\$1,001 - \$15,000	--
165	Spouse	<u>VIEIX</u>	Vanguard Extended Market Index Instl	Purchase	08/02/2017	\$1,001 - \$15,000	--
166	Spouse	<u>VINIX</u>	Vanguard Institutional Index I	Sale (Full)	11/16/2017	\$15,001 - \$50,000	--
167	Spouse	<u>VBMFX</u>	Vanguard Total Bond Market Index Inv	Sale (Full)	11/16/2017	\$50,001 - \$100,000	--

168	Spouse	<u>NRFYX</u>	Natixis AEW Real Estate Y	Sale (Full)	11/16/2017	\$50,001	--
#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount \$100,000	Comment
169	Spouse	--	SSgA Target Retirement 2025 Fund NL	Sale (Full)	11/16/2017	\$100,001 - \$250,000	--
170	Spouse	--	SSgA Target Retirement 2030 Fund NL	Sale (Full)	11/16/2017	\$100,001 - \$250,000	--
171	Spouse	--	SSgA Target Retirement 2035 Fund NL	Sale (Full)	11/16/2017	\$100,001 - \$250,000	--
172	Spouse	--	SSgA Target Retirement 2040 Fund NL	Sale (Full)	11/16/2017	\$100,001 - \$250,000	--
173	Spouse	<u>WEMIX</u>	Wells Fargo Emerging Growth Inst	Purchase	06/28/2017	\$1,001 - \$15,000	--
174	Spouse	<u>VMGRX</u>	Vanguard Mid Cap Growth Inv	Purchase	06/28/2017	\$1,001 - \$15,000	--
175	Spouse	<u>VINIX</u>	Vanguard Institutional Index I	Purchase	06/28/2017	\$1,001 - \$15,000	--
176	Spouse	<u>VEXAX</u>	Vanguard Extended Market Index Admiral	Purchase	06/28/2017	\$1,001 - \$15,000	--
177	Spouse	<u>TPLGX</u>	T. Rowe Price Instl Large Cap Core Gr	Purchase	06/28/2017	\$1,001 - \$15,000	--
178	Spouse	<u>DODGX</u>	Dodge & Cox Stock	Purchase	06/28/2017	\$1,001 - \$15,000	--
179	Spouse	<u>CRISX</u>	CRM Small Cap Value Instl	Purchase	06/28/2017	\$1,001 - \$15,000	--
180	Spouse	<u>VEXAX</u>	Vanguard Extended Market Index Admiral	Sale (Full)	08/02/2017	\$1,001 - \$15,000	--
181	Spouse	<u>VIEIX</u>	Vanguard Extended Market Index Instl	Purchase	08/02/2017	\$1,001 - \$15,000	--
182	Spouse	<u>VINIX</u>	Vanguard Institutional Index I	Sale (Full)	11/22/2017	\$1,001 - \$15,000	--

Part 5. Gifts

Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

Part 6. Travel

Did you, your spouse, or dependent child receive any **reportable travel**? **No**

Part 7. Liabilities

Did you, your spouse, or dependent child have a liability worth more than \$10,000 at any time? **Yes**

#	Incurred	Debtor	Type	Points	Rate (Term)	Amount	Creditor	Comments
1	2014	Spouse	Line of Credit	-	3.5% (1 year)	\$100,001 - \$250,000	City National Bank Los Angeles, California	The line of credit has a \$0 balance as of 5/15/18.
2	2013	Self	Mortgage	0	3.75% (30 years)	\$100,001 - \$250,000	Wells Fargo Los Angeles, California	-
3	2013	Self	Home Equity Line of Credit	-	4.75% (20 years)	\$15,001 - \$50,000	Wells Fargo Los Angeles, California	-
4	2016	Spouse	Mortgage	0	2.625% (30 years)	Over \$1,000,000 (asset held independently by spouse or dependent child)	Wells Fargo Los Angeles, California	-

#	Year	Spouse Debtor	Equity Line of Credit Type	Points	Rate (Term) (years)	Amount	Creditor	Comments
5	2016	Spouse Debtor	Home Equity Line of Credit	-	4.25% (30 years)	\$250,001 - \$500,000	Wells Fargo Los Angeles, California	The HELOC has a \$0 balance as of 5/15/18.
6	2017	Spouse	Mortgage	0	3.50% (30 years)	Over \$1,000,000 (asset held independently by spouse or dependent child)	Wells Fargo Los Angeles, CA	-

Part 8. Positions * Amended

Did you hold any outside positions during the reporting period? **Yes**

#	Position Dates	Position Held	Entity	Entity Type	Comments
1	Oct 2017 to present	Trustee	The KDH/DCE Family Trust Los Angeles, CA	Trust	None

Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **Yes**

#	Date	Parties Involved	Type	Status and Terms
1	Oct 2008	Chronicle Books LLC San Francisco, California	Royalty Agreement	Royalty for book, "Smart on Crime: A Prosecutor's Solution for Making the Streets Safer" (no royalties received in 2017).
2	Dec 2011	San Francisco Employees' Retirement System San Francisco, California	Continuing participation in an employee benefit plan	Defined benefit plan that provides service retirement benefits.
3	Dec 2011	City & County of San Francisco 457(b) Deferred Compensation Plan San Francisco, California	Continuing participation in an employee benefit plan	457(b) deferred comp plan that provides retirement benefits.

#	Date	Parties Involved	Type	Status and Terms
	Jan 2017	State of California Savings Plus 457(b) Plan Sacramento, California	Continuing participation in an employee benefit plan	457(b) deferred comp plan that provides retirement benefits.
5	Apr 2018	Penguin Press New York, NY	Royalty Agreement	Agreement to receive book advance and royalty payments based on usual and customary terms for publication of a non-fiction book.

Part 10. Compensation

If this is your first report, or you are a candidate did you receive compensation of more than \$5,000 from a single source in the **two** prior years? **This is not my first report.**

Attachments & Comments

No attachments added.

No comments added.