Annual Report | U.S. Office of Government Ethics; 5 C.F.R. part 2634 | Form Approved: OMB No. (3209-0001) (March 2014)

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

FAUCI, ANTHONY S Date of Appointment: 02/01/1985

Other Federal Government Positions Held During the Preceding 12 Months: Director, National Institute of Allergy and Infectious Diseases

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge. FAUCI, ANTHONY S [electronically signed on 04/20/2021 by FAUCI, ANTHONY S in NEES]

Agency Ethics Official Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

TABAK, LAWRENCE ALLAN [electronically signed on 05/24/2021 by TABAK, LAWRENCE ALLAN in NEES]

Othe	er review conducted by	1		
#	COMMENTS		EMPLOYEE	DATE
1	Reassigned 04/23/2021. IR complete with applicable rules.	04/26/2021. Compliant	NEO Reviewer -	4/26/2021 at 11:33 AM
U.S d	office of Government Ethics Certification			

1. Filer's Positions Held Outside United States Government

#	NAME	CITY, STATE	ORG TYPE	POSITION	FROM	то
1	Doris Duke Charitable Foundation (DDCF)	NEW YORK, New York	Foundation	Member	10/01/1996	N/A
2	McGraw-Hill Publishing Company	Burr Ridge, Illinois	Publisher/Journal	Editor, Associate	11/01/1983	N/A

2. Filer's Employment Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Jackson-Fillmore (Italian Restaurant)	N	\$1,001 to \$15,000		None (or less than \$201)
2	McGraw-Hill Publishers, Inc New York, New York	N	None (or less than \$1,001)	Rents and Royatties	\$100,001 to \$1,000,000

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding \$5,000 in a year

None

5. Spouse's Employment Assets and Income

See Attachments D-E

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6. Other Assets and Income

ŧ	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Dreyfus Liquid Assets Class I - Money Market	Y	\$250,001 to \$500,000	Dividends	\$2,501 to \$5,000
2	Learning Quest 529 Education Plan for Child #3: Moderate track, Short term Portfolio.	Y -	\$50,001 to \$100,000	Dividends	\$2,501 to \$5,000
	See Attachn	nents	A-C	10 A. 7	
7. Tra	nsactions				
	See Attachm	ents	F-J		

8, Liabilities

None

9. Gifts and Travel Reimbursements

#	BRIEF DESCRIPTION	SOURCE NAME	CITY, STATE	VALUE
1	Service to America Medal - Federal Employee of the Year	Partnership for Public Service	Washington, DC, District of Columbia	5198.00
2	"An Evening of Hope" gala attended virtually by my wife and I on April 25, 2020	The Children's Inn at NIH	BETHESDA, Maryland	1600.00
3	"Prepared for Life" Gala attended virtually by me on October 14, 2020	National Capital Area Council for Boy Scouts of America	WASHINGTON, District of Columbia	1500.00

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"RFK Ripple of Hope" Gala attended virtually by my wife and I on December 10, 2020	Robert F. Kennedy Human Rights Foundation	WASHINGTON, District of Columbia	5000.00
			Page 4 of 4



SCHAVAE charles

CHARLES SCHWAB & CO INC CUST ANTHONY S FAUCI Contributory IRA of IRA CONTRIBUTORY

Account Number

December 1-31, 2020 **Statement Period**

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Cash: Any Schwap representative. http://ree/Credit/Balance owed by us to you payable upon http://which, although accounted for on our books of record, is not

segregated and may be used in the conduct of this firm's business. Current Vield: Annual dividend paid on an equity divided by the

were effected by Schwab acting as a principal for its own account, exce for the reinvestment of Schwab dividends. For which an independent broker-dealer acted as the baying agent. Further information on these trainsactions will be furnished upon written request. Estimated Annual Income: Estimated annual ancome is derived from information provided by outside parties. Schwab cannot guarantee the accuracy of such information. Since the interest and dividends are Dividend Reinvestment Customers: Dividend reinvestment transactions , except

account of the statement decisions. Since interact and driven as a field upon exclusively for inaking investment decisions. Since the statement as "Fee and Chargest: For those fees described in the statement as "Fee to Advisor", as authorized by you, Schwab debited your Account to any prote Schwab (or verify the accuracy of the fees. Margun interest charged to your Account during the statement period hank Sweep for Balances in the statement period has included in this statement Period. Balances in the risers is paid for a period that differs from the Statement Period. Balances in part of the fees a first period on one of its affiliated banks. These balances do not include interest is paid for a period that differs from the Statement Period. Balances in the prior one of mary from the Schwab one Interest paid as indicated on your statement Period. The interest paid as indicated banks. These balances do not include interest sing and in the schwab one interest acrues daily from the Schwab one Interest acrues daily from the schwab one first business day of the prior month and is posted on the scond-to-last business day of the current month, for the Bank Sweep for Balances in the Schwab one interest feature, interest acrues daily of the current month and is posted on the scond-to-last business day of the Schwab one interest feature, interest acrues daily of a statement is balances in the Schwab one interest feature in your brokeme account is less than \$005, you will not accrue any interest on that day. For balances held at banks Sweep for Bane Statement in the amount is less than \$000.

Margin Account Customers: This is a combined statement of your margin account and special memorandium account manifaured for you under Section 220, so Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the separate account as required by Regulation T is available for your inspection. Securities proclassed on margin are Schwab's collateral for the loan to you. It is important that you fully understand the risks involved in to you. It is important that you fully understand the risks involved in to you.

- trading securities on margin. These risks include:
 You can lose more funds than you deposit in the margin account
 Schwab can force the sale of securities or other assets in any of your account(s) to maintain the required account equity without
- contacting you.
 You are not entitled to choose which assets are liquidated nor are you entitled to an extension of time on a margin call.
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 Market Price: The most recent price evaluation available to Schwab on the last business day of the report period, normally the last trade

price or bid as of market close. Unpriced securities denote that no market evaluation update is currently available. Frace evaluations are obtained from outside praties. Schwab shall have no responsibility for the accuracy or timeliness of any such valuations. Pricing of assets not experimentally thinly traded equites in the OTC market or foreign markets, especially thinly traded equites in the OTC market or foreign markets, especially thinly traded equites in the OTC market or foreign markets, especially thinly traded equites in the OTC market or foreign markets, escurities, not report the most current price and are indicated as State Priced For Limited Partnerships and Real Estate Investment Trust (RETT) scatters, that have not been updated evalue in the state price, and, if applicable, that accurate value in the OTC market or summary in the Accurate value in the Market Value is computed by multiplying the Market Value summed over multiple accounts will be different than stpurchase price, and, if applicable, that will be Market Value Summed or multiple accounts of the Market Value Summed or markets any to mark your present holding in your specified Scatter any to a symmary of the Value Summed or markets any to dearwise the statement, schwab Account or symmary be funsible by a third party as provided by a third your Account. Agreement. Schwab Sattentons are approved by a third party as provided by a third party are held in your Account. Schwab Sattentons, the securities and provide the statements of the Market Value Summary of the Summary of

sweep money fund as calculated for your account is less than ½ of 1 cent (\$0.005), your account will not earn a dividend for that day. In addition, if you do not accrue at least 1 daily dividend of \$0.01 during

SIPC has taken the position that it will not cover the balances held in your descape and the managed under programs like our Bank Spreps feature. Prease see your Cash Feature Disclosure Statement for more information on insurance coverage. © 2016 Chastes Schwab & Co. H.c. (Schwabr) All states reserved in Managet SIPC. This statement is furnished under programs like our Bank Spreps I as Noted at this statement's Terms and Conditions. Investment Advisors whose names appear in this statement are not affaited with Schwab. Please see Terms and Conditions. (0616-1204)

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(1017-7MAX)

Account Number

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CHARLES SCHWAB & CO INC CUST

ANTHONY S FAUCI

Contributory IRA of

December 1-31, 2020 Statement Period

Change in Account Value This Period Year to Date Account Value [in Thousands] Credits 5 614 914.74 \$ 589 612.35 900 Debits 0.00 0.00 0.00 Transfer of Securities (In/Out) 19.517.37 \$ 28,9612.35 900 Change in Value of Investments 19.517.02 \$ 28,9512.35 900 Change in Value of Investments 19.517.02 \$ 28,9512.05 900 Change in Account Value \$ 23,804.96 \$ 48,907.35 90 Total Change in Account Value \$ 23,804.96 \$ 48,907.35 90 Cash and Bank Sweep ¹⁴ \$ 114,788.48 22% Coold Funds 102,112.82 102,112.82 102,112.82 Cold Assets Long \$ 638,519.70 100% Total Account Value \$ 638,519.70 100% 201 Assets Long \$ 638,519.70 100% 202 Assets Long \$ 638,519.70 100% 203 Assets Long \$ 638,519.70 100% 204 Assets Long \$ 638,519.70 100% 205 Kapking Kang \$ 638,519.70 100%				
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This Period Year to Date Account Value [in 7 \$ 614,914.74 \$ 589,612.35 19,612.37 22,635.36 900 0.00 0.00 750 0.00 0.00 800 (15,524.43) (16,019.95) 450	19,517.02	42,291.94	300	
This Period Year to Date Account Value [in 7 \$ 614,914.74 \$ 589,612.35 19,612.37 22,635.36 900 0.00 0.00 750 0.00 0.00 600	(15,524.43)	(16,019.95)	450	
This Period Year to Date Account Value [in 1 \$ 614,914.74 \$ 589,612.35 19,612.37 22,635.36 900 0.00 0.00 750	0.00	0.00		
This Period Year to Date Account Value [in 7 \$ 614,914.74 \$ 589,612.35 19,612.37 22,635.36 900	0.00	0.00		
This Period Year to Date Account Value [in 7 \$ 614,914.74 \$ 589,612.35	19,612.37	22,635.36	906	
This Period Year to Date Account Value [in]	\$ 614,914.74	\$ 589,612.35		
	This Period	Year to Date		
Account Value as of 12/31/2020:\$ 638,519			Account Value as of 1	12/31/2020:\$ 638,519
			% of Acc	4 Year to Date Account Value [in 1 4 \$ 589,612.35 900 22,635.36 900 0.00 0 0.00 600 16,019.95) 450 42,291.94 300 \$ 638,519.70 150 48,907.35 320 320 76 \$ 48,907.35 320 16% 82% 0 10% 2% Cash, Bank (10%)

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PRIVATE WEALTH MANAGEMENT

CIBC

Account Number

Statement Period December 1-31, 2020

19.70

charles SCHWAB

Contributory IRA of ANTHONY S FAUCI CHARLES SCHWAB & CO INC CUST IRA CONTRIBUTORY

Unrealized Gain or (Loss)
\$180,078.23
Values may not reflect all of your gains/losses. Cost basis may change and be adjusted in certain cases. Statement information should not be used for tax preparation, instead refer to official tax documents. For additional gain or (loss) information refer to Terms and Conditions.
Year to Date
\$ 7,850.07
0.00
175,363.00
22,635.36
0.00
(191,049.95)
0.00
6,948.41
\$ 14,798,48

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<i>chailes</i> SCHWAB	Contributory IRA of ANTHONY S FAUCI CHARLES SCHWAB & CO INC CUST IRA CONTRIBUTORY	CO INC CUST		Account Number	Statement Period December 1-31, 2020	4 11, 2020
Investment Detail - Cash and Bank Sweep	Bank Sweep					
Cash			Starting Balance	Ending Balance	% of Account Assets	
Cash			229.60	262.64	<1%	
Total Cash			229.60	262:64	<1%	
Bank Sweep			Starting Balance	Ending Balance	% of Account Assets	
CHARLES SCHWAB BANK			10,480.94	14,535.84	2%	
Total Bank Sweep X.Z			10,480.94	14,535.84	2%	
Total Cash and Bank Sweep				14,798.48	2%	
Investment Detail - Mutual Funds	nds					
Bond Funds	Quantity	Market Price	Market Value	Cost Basis	Unrealized Gain or (Loss)	% of Account Assets
PIMCO INVESTMENT GRADE C REDIT BOND INSTL SYMBOL: PIGIX	4,445.0790	11.29000	50,184.94	45,195.13	4,989.81	8%
VANGUARD INTERM-TERM INV ESTMENT-GRDE ADM SYMBOL: VFIDX	4,961.5930	10.47000	51,947.88	51,331.73	616.15	8%
Total Bond Funds	9,406,6720		102,132.82	96,526,86	5,605.96	16%

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<i>charles</i> SCHWAB	Contributory IRA of ANTHONY S FAUCI CHARLES SCHWAB & CO INC CUST IRA CONTRIBUTORY	& CO INC CUST Y		Account Number	Statement Period December 1-31, 2020	1, 2020
Investment Detail - Mutual Funds (continued)	unds (continued)					
Equity Funds	Quantity	Market Price	Market Value	Cost Basis	Unrealized Gain or (Loss)	% of Account Assets
CIBC ATLAS DISCIPLINED E QUITY INSTL SYMBOL: AWEIX	8,180.0920	26.41000	216,036.23	109,350,99	106,685.24	34%
CIBC ATLAS INCOME OPPORT UNITIES INSTL SYMBOL: AWIIX	4,326.9590	14,06000	60,837,04	45,115.98	15,721.06	10%
CIBC ATLAS MID CAP EQUIT Y INSTITUTIONAL SYMBOL: AWMIX	2,207.7260	20,14000	44,463.60	26,074.79	18,388.81	7%
EDGEWOOD GROWTH INSTL SYMBOL: EGFIX	852.2730	51.77000	44,122.17	30,015.00	14,107,17	7%
JPMORGAN MID CAP VALUE L SYMBOL: FLMVX	1,133.8740	36.94000	41,885,31	36,343.90	5,541,41	7%
MATTHEWS PACIFIC TIGER I NSTL SYMBOL: MIPTX	2,748.0530	34.90000	95,907.05	85,015.70	10,891.35	15%
MFS INSTL INTERNATIONAL EQUITY SYMBOL: MIEIX	598,4660	30.64000	18,337.00	15,199.77	3,137.23	3%
Total Equity Funds	20,047.4430		521,588.40	347,116.13	174,472.27	82%
Total Mutual Funds	29,454,1150		623,721.22	443,642.99	180,078.23	%86

Page 7 of 12

	Schwah has provided analysis and less information wherever possible for most investments. Cost basis data may be impossible or upperichable for none of your baldings					
(3,889.72)	33.5700	115.8690	MATTHEWS PACIFIC TIGER I NSTL: MIPTX	Reinvested Shares	12/16/20	12/16/20
(1,110.98)	33.5700	33.0940	MATTHEWS PACIFIC TIGER I NSTL: MIPTX	Reinvested Shares	12/16/20	12/16/20
(2,558.99)	36.8900	69.3680	JPMORGAN MID CAP VALUE L: FLMVX	Reinvested Shares	12/11/20	12/11/20
(38.99)	29,9400	1.3020	MFS INSTL INTERNATIONAL EQUITY: MIEIX	Reinvested Shares	12/10/20	12/10/20
Total Amount	Unit Price	Quantity	Description	Transaction	Trade Date	Settle Date
					Equity Funds Activity	Equity Fun
(1,511.86)				tivity	Total Bond Funds Activity	Total Bo
(721.47)	10.4500	69.0400	VANGUARD INTERM-TERM INV ESTMENT-GRDE ADM: VFIDX	Reinvested Shares	12/29/20	12/29/20
(595.26)	10.4500	56,9630	VANGUARD INTERM-TERM INV ESTMENT-GRDE ADM: VFIDX	Reinvested Shares	12/29/20	12/29/20
(195.13)	11.2000	17.4220	PIMCO INVESTMENT GRADE C REDIT BOND INSTL: PIGIX	Reinvested Shares	12/09/20	12/09/20
Total Amount	Unit Price	Quantily	Description	Transaction	Trade Date	Settle Date
				Bond Funds Activity	is Activity	Bond Funds Activity
			<u>6</u>		ion Dotai	Trancart
443,642.99	st Basis	Total Cost Basis				
638,519.70	ıt Value	Total Account Value				
638,519.70	rt Detail	Total Investment Detail				
				- Total	Investment Detail - Total	Investme
₁d 31, 2020	Statement Period December 1-31, 2020	Account Number	Contributory IRA of ANTHONY S FAUCI CHARLES SCHWAB & CO INC CUST IRA CONTRIBUTORY			charles SCHWAB

<i>charles</i> schwab			Contributory IRA of ANTHONY S FAUCI CHARLES SCHWAB & CO INC CUST IRA CONTRIBUTORY	Account Number	Statement Period December 1-31, 2020	niod 1-31, 2020
Transac	tion Det	Transaction Detail - Purchases &	Sales (continued)			
Equity Fu	nds Activ	Equity Funds Activity (continued)				
Settle Date	Trade Date	te Transaction	Description	Quantity	Unit Price	Total Amount
12/22/20	12/22/20	Reinvested Shares	CIBC ATLAS DISCIPLINED E QUITY INSTL: AWEIX	156.5630	26.0200	(4,073,78)
12/22/20	12/22/20	Reinvested Shares	CIBC ATLAS MID CAP EQUIT Y INSTITUTIONAL: AWMIX	53,8470	19,9600	(1,074.79)
12/30/20	12/30/20	Reinvested Shares	CIBC ATLAS DISCIPLINED E QUITY INSTL: AWEIX	48,2390	26.2300	(1,265.32)
Total Eq						
Total Pure	Total Equity Funds Activity	s Activity				(14,012.57)
Transact	Total Equity Funds Act Total Purchases & Sales Tansaction Detail -	s Activity ^{sales} ail - Dividends &	Total Purchases & Sales Transaction Detail - Dividends & Interest (including Money Market Fund dividends reinvested)	idends reinvested)		(14,012.57) (15,524,43)
Transaction Do Transaction Process Date Date 12/09/20 12/09/20	uity Funds thases & S tion Det Process Date 12/09/20 12/10/20	s Activity ales ail - Dividends & Activity LT Cap Gain Rein LT Cap Gain Rein	Interest (including Money Market Fund divi Description PIMCO INVESTMENT GRADE C: PIGIX MFS INSTL INTERNATIONAL: MIEIX	idends reinvested)		(14,012.57) (15,524,43) Credit/(Debit) 195.13 38.99
Transaction Date 12/09/20 12/10/20 12/10/20 12/11/20	uity Funds thases & S tion Det Process Date 12/09/20 12/10/20	Activity all - Dividends & Activity LT Cap Gain Rein LT Cap Gain Rein Cash Dividend	Interest (including Money Market Fund divi Description PIMCO INVESTMENT GRADE C: PIGIX MFS INSTL INTERNATIONAL: MIEIX	idends reinvested)		(14,012.57) (15,524,43) Credil/(Debit) 195.13 38.99 144.14
Transaction Date 12/10/20 12/10/20 12/11/20 12/15/20	uity Funds hases & S lion Det Process Date 12/10/20 12/11/20 12/11/20 12/11/20	 Activity ales ail - Dividends & Activity LT Cap Gain Rein 	Interest (including Money Market Fund divi Description PIMCO INVESTMENT GRADE C: PIGIX MFS INSTL INTERNATIONAL: MIEIX MFS INSTL INTERNATIONAL: MIEIX JPMORGAN MID CAP VALUE L: FLMVX BANK INT SCHWAB BANK	idends reinvested)		(14,012.57) (15,524.43) Credil/(Debil) 195.13 38.99 1144.14 2,558.99
Transaction Date 12/10/20 12/10/20 12/11/20 12/11/20 12/15/20 12/16/20	uity Funds thases & S tion Det Process Date 12/09/20 12/10/20 12/11/20 12/11/20 12/16/20	 Activity ales ail - Dividends & Activity LT Cap Gain Rein LT Cap Gain Rein Cash Dividend LT Cap Gain Rein Bank Interest XZ Cash Dividend 	Interest (including Money Market Fund divi Description PIMCO INVESTMENT GRADE C: PIGIX MFS INSTL INTERNATIONAL: MIEIX JPMORGAN MID CAP VALUE L: FLMVX BANK INT SCHWAB BANK MATTHEWS PACIFIC TIGER I: MIPTX	idends reinvested)		(14,012.57) (15,524.43) Credil/(Debit) 195.13 38.99 144.14 2,558.99 0.09 325.46
Transaction Date 12/10/20 12/11/20 12/11/20 12/11/20 12/16/20	uity Funds thases & S Process Date 12/09/20 12/10/20 12/11/20 12/11/20 12/16/20 12/16/20	 Activity ales ail - Dividends & Activity LT Cap Gain Rein LT Cap Gain Rein Cash Dividend LT Cap Gain Rein Bank Interest^{x,z} Cash Dividend LT Cap Gain Rein 	Interest (including Money Market Fund divi Description PIMCO INVESTMENT GRADE C: PIGIX MFS INSTL INTERNATIONAL: MIEIX JPMORGAN MID CAP VALUE L: FLMVX BANK INT SCHWAB BANK MATTHEWS PACIFIC TIGER I: MIPTX MATTHEWS PACIFIC TIGER I: MIPTX	idends reinvested)		(14,012.57) (15,524,43) Credit/(Debit) 195.13 38.99 144.14 2,558.99 325.46 3,889.72
Transaction Date 12/10/20 12/10/20 12/11/20 12/11/20 12/15/20 12/16/20	uity Funds thases & S lion Det 12/10/20 12/10/20 12/11/20 12/16/20 12/16/20 12/16/20	ales ales ail - Dividends & Activity LT Cap Gain Rein LT Cap Gain Rein LT Cap Gain Rein LT Cap Gain Rein Cash Dividend LT Cap Gain Rein Stim Cap Gan Rein	Interest (including Money Market Fund divi Description PIMCO INVESTMENT GRADE C: PIGIX MFS INSTL INTERNATIONAL: MIEIX JPMORGAN MID CAP VALUE L: FLMVX BANK INT SCHWAB BANK MATTHEWS PACIFIC TIGER I: MIPTX MATTHEWS PACIFIC TIGER I: MIPTX MATTHEWS PACIFIC TIGER I: MIPTX	idends reinvested)		(14,012.57) (15,524.43) Credil/(Debit) 195.13 38.99 144.14 2,558.99 0.09 325.46 3,889.72 1,110.98
Transaction Date 12/10/20 12/11/20 12/11/20 12/11/20 12/15/20 12/16/20 12/16/20 12/18/20	uity Funds thases & S lion Det Process Date 12/10/20 12/11/20 12/16/20 12/16/20 12/16/20 12/16/20 12/16/20	 Activity ales ail - Dividends & Activity Activity LT Cap Gain Rein LT Cap Gain Rein Cash Dividend LT Cap Gain Rein Bank Interest^{×z} Cash Dividend LT Cap Gain Rein Bank Interest^{×z} Cash Dividend LT Cap Gain Rein Stim Cap Gain Rein 	Interest (including Money Market Fund divi Description PIMCO INVESTMENT GRADE C: PIGIX MFS INSTL INTERNATIONAL: MIEIX JPMORGAN MID CAP VALUE L: FLMVX BANK INT SCHWAB BANK MATTHEWS PACIFIC TIGER I: MIPTX MATTHEWS PACIFIC TIGER I: MIPTX MATTHEWS PACIFIC TIGER I: MIPTX MATTHEWS PACIFIC TIGER I: MIPTX MATTHEWS PACIFIC TIGER I: MIPTX	idends reinvested)		(14,012.57) (15,524.43) Credil/(Debit) 195.13 38.99 144.14 2,558.99 0.09 325.46 3,889.72 1,110.98 511.92
Transaction Date 12/10/20 12/10/20 12/11/20 12/11/20 12/15/20 12/16/20 12/16/20 12/18/20 12/18/20 12/18/20 12/22/20	uity Funds thases & S lion Det Process Date 12/10/20 12/11/20 12/16/20 12/16/20 12/16/20 12/16/20 12/18/20 12/18/20 12/18/20 12/18/20	 Activity ales ail - Dividends & Activity Activity LT Cap Gain Rein LT Cap Gain Rein LT Cap Gain Rein Cash Dividend LT Cap Gain Rein Bank Interest ^{x,z} Cash Dividend LT Cap Gain Rein Stim Cap Gain Rein Cash Dividend LT Cap Gain Rein Stim Cap Gain Rein LT Cap Gain Rein LT Cap Gain Rein LT Cap Gain Rein 	Interest (including Money Market Fund divi Description PIMCO INVESTMENT GRADE C: PIGIX MFS INSTL INTERNATIONAL: MIEIX JPMORGAN MID CAP VALUE L: FLMVX BANK INT SCHWAB BANK MATTHEWS PACIFIC TIGER I: MIPTX MATTHEWS PACIFIC TIGER I: MIPTX CIBC ATLAS MID CAP FOULT: AWMIX	idends reinvested)		(14,012.57) (15,524.43) Credil/(Debit) 195.13 38,99 144.14 2,558.99 325.46 3,889,72 1,110.98 511.92 4,073.78 1,074.79

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<i>charles</i> schwab		₩ 0 > 0	ANTHONY S FAUCI CHARLES SCHWAB & CO INC CUST IRA CONTRIBUTORY	Account Number	Statement Period December 1-31, 2020	2020
Transac	tion Det	ail - Dividends &	Transaction Detail - Dividends & Interest (including Money Market Fund dividends re	und dividends reinvested) (continued)	d)	
Transaction Process Date Date) Process Date	Activity	Description			Credil/(Debil)
12/22/20	12/22/20	Short Term Cap Gn	EDGEWOOD GROWTH INSTL: EGFIX			397.67
12/28/20	12/28/20	Cash Dividend	PIMCO INVESTMENT GRADE C: PIGIX			2.67
12/29/20	12/29/20	Sttm Cap Gn Rein	VANGUARD INTERM-TERM INV: VFIDX		An other states as the state at a state of the state of t	595.26
12/29/20	12/29/20	LT Cap Gain Rein	VANGUARD INTERM-TERM INV: VFIDX			721.47
12/30/20	12/30/20	Div For Reinvest	CIBC ATLAS DISCIPLINED E: AWEIX		Contrast to the second s	1,265.32
12/30/20	12/30/20	Cash Dividend	CIBC ATLAS INCOME OPPORT: AWIIX			284.71
12/31/20	12/31/20	Cash Dividend	PIMCO INVESTMENT GRADE C: PIGIX			148.13
12/31/20	12/31/20	Cash Dividend	VANGUARD INTERM-TERM INV: VFIDX			114.51
Total Divi	Total Dividends & Interest	terest				19,612.37
				Total Transaction Detail		4,087.94
Bank Sv	Bank Sweep Activity	ivity				
Transaction Date	Transaction	n Description		Withdrawal	Deposit	Balance ^{x,z}
Opening E	Opening Balance ^{X,Z}			La de Martin La		10,480.94
12/01/20	Aulo Transfer		BANK CREDIT FROM BROKERAGE X	2	229.60	10,710.54
12/11/20	Auto Transfer		BANK CREDIT FROM BROKERAGE ×		144.14	10,854.68
12/15/20	Interest Paid ^{x.z}		BANK INTEREST - CHARLES SCHWAB BANK	er beste beste en ste se s	0.09	10,854.77
12/15/20	Auto Transfer		BANK TRANSFER TO BROKERAGE	0.09		10,854.68
12/17/20	Auto Transfer		BANK CREDIT FROM BROKERAGE X		325.55	11,180.23
12/21/20	Auto Transfer	A COMPANY OF A COMPANY	BANK CREDIT FROM BROKERAGE ×		511.92	11,692.15
12/23/20	Auto Tennet		BANK CREDIT FROM BROKERAGE X		2,556.31	14,248.46

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Account Number	Statement Period December 1-31, 20	020
Withdrawal		Balance ^{x,z} 14,251.13
	284.71	14,535.84
0.09	4,054.99	
		14,535.84
2020		
0.00		
State Tax Withheld		Net Amount
0,00	0.00	0.00
		35,671,49
Schwab must report to the IRS that a minimum distribution is required for the tax year specified above. "		
	* State Tax Withhe	Account Number Statement Period December 1-31, 2 Withdrawai Ceposit 2.67 2.67 2.84.71 0.09 0.00 0.00 0.00 0.00 0.00 0.00 0.0

charles SCHWAB

Contributory IRA of ANTHONY S FAUCI CHARLES SCHWAB & CO INC CUST IRA CONTRIBUTORY

Account Number

Statement Period December 1-31, 2020

Endnotes For Your Account

Symbol Endnote Legend

0

- Dividends paid on this security will be automatically reinvested.
- × Back Sweep deposits are held at FDIC-insured bank(s) ("Banks") that are affiliated with Charles Schwab & Co., Inc.
- N Period after interest is paid. The interest paid may include interest that accrued in the prior Statement Period. indicated on your statement by Schwab or one or more of its affiliated banks. These balances do not include interest that may have accrued during the Statement For Bank Sweep and Bank Sweep for Benefit Plans features, interest is paid for a period that differs from the Statement Period. Balances include interest paid as
- * cases, your calendar year distributions may differ from your Required Minimum Distribution for the tax year. specified above, (d) you recharacterize a conversion made in the prior year, or (e) a correction was made to the account after this statement date that impacts the Fair Market Value such as a change to a security value or deposit adjustment. The Year To Date Total includes distributions taken during the calendar year. In some year. It could change if (a) you satisfy some or all of your Required Minimum Distribution with distribution(s) from other IRA(s) you own, (b) you inform Schwab that your spouse is your sole beneficiary and is more than 10 years younger than you, (c) a rollover to this IRA was pending as of December 31 prior to the tax year The Required Minimum Distribution amount shown here is based on the "Total Account Value", also known as Fair Market Value, as of December 31 of the previous

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Churlless FAUCI DEFINED BENEFIT PLAN Scritwalb U/A DTD 12/08/1995 Terms and Conditions U/A DTD 12/08/1995 This Account statement is furnished solely by Charles Schwab & Segregated and may be used to the count of your Account a Schwab ("Account") Schwab Segregated and may be used
This Account statement is furnished solely by Charles Schwab & Co., Inc. ("Schwab") for your Account at Schwab ("Account"). Schwab Inclusional is a division of Charles Schwab & Co. The and account of the schwab & Co.
pack office brokerage and related services to investment advisors and retirement plan providers. Unless otherwise defined herein, capitalized terms have the same meanings as in your Account Agreement. Schwab is a registered broker-dealer and is not affiliated with your Investment Advisor whose name appears on this tenement "Advisors" exercise
the case of Charles Schwab Investment Advisory. Inc. ("CSIA"), Schwab Private Chent Investment Advisory. Inc. ("CSIA"), or an affiliated company that may act as the investment advisor on a faind. Advisors are independently owned and operated. Schwab neither endorses uncremoning any particular Advisor or its investment
strategy and has no responsibility to monitor trading by any Advisor in your Account. Schwab has not verified any statement accompanying any Advisor's togo appearing on this statement. Advisors provide investment advisory services for your Account. Schwab provides brokerage and custody services for your Account. Schwab provides brokerage and custody services for your Account. Schwab provides brokerage
products and services vary benefit your Account, and Schwah may products and services vary benefit your Account, and Schwah may provide them to Advisors on the Advisor's commitment to place a cert amount of its clients' assets in brokerage accounts an Schwab within a certain period of time. This commitment could influence an Advisor recommendation or requirement that its clients establish brokerage accounts al Schwab
GENERAL INFORMATION AND KEY TERMS: If you receive any other communication from any source other than Schwab which purports to represent your holdings at Schwab Schwab which purports to represent your holdings at Schwab (including balances held at a Depository Institution) you should verify its content with this statement. AIP (Automatic Investment Plan) Customers: Schwab receives
Schwab. If you participate in a systematic investment program through Schwab, the additional information normally detailed on a trade confirmation will be provided upon request. Average Daily Balance: Average daily composite of all cash balances that can interest and all learns from Schwab that are charged interest. Bank Sween and Bank Sween for Henefit Plane Features
Schwab acts as your agent and custodian in establishing and maintaining your Bank Sweep and Bank Sweep for Benefit Plans features as a Schwab Cash feature for your brokerage account Deposit accounts constitute direct obligations of banks affiliated with Schwab and are not obligations of Schwab. Jank deposit accounts are insured by the FDIC within applicable limits. The balance in the bank
your Account Agreement. For information on FDIC insurance and its limits, as well as other important disclosures about the Bank Sweep and Bank Sweep for Benefit Plans features, please refer to the Cash Features Disclosure Statement available online or from a Schwab representative. Cash: <u>Any Feet</u> Credit Balance owed by us to you payable upon demand which, although accounted for on our books of record, is not

Account Number

Brokerage Trust Account of

December 1-31, 2020 Statement Period

price or bid as of market close. Unpriced securities denote that no market evaluation update is currently available. Price valuations are obtained form outside parties. Schwäb shall have no responsibility for epic held al Schwab is for informational purposes only. Some securities, respectively thinly traded equities in the OTC market or foreign market, respectively thinly traded equities in the OTC market or foreign market. For Lumited Partnerships and Real Estate Investment Trust (REIT) securities, you may see that the value reflected on your monthly account statement for this security is unpriced. NASD rules require that certain Limited Partnerships (direct participation programs) and that these securities are generally illiquid, the value of the securities will be different than its purchase price, and, if applicable, that accurate valuation information may not be available.
Market Price by the Quantity of Shares. This is the dollar value of a summary other than certain direct investments which may be held by a third party, are held in your Account. Values is of certain Non-Publicly Traded Securities and set in responsibility for the accuracy or timeliness of such values to many be held by a third party, are held in your Account. Schwab statil have no responsibility for the accuracy or timeliness of such valuations. The Securities are provided by for the accuracy or timelines of such valuations. The Securities in your many limited investor Protection Corporation (SIPC) does not cover many limited

y Option Customers: Be aware of the following: 1) Commissions and other charges related to the execution of option transactions have been included in confirmations of such transactions previously furnished to you and will be inade available promptly upon request 2) You solud advise us promptly of any material charges in your investment of an anomated procedure which randomly selects from among all experiments are allocated among customer short positions previously for any investment of an uncluding positions established on the day of assignment of assignment of assigned or exercised options. Flease consult your ax adviser or IRS publications 50, investment income and Expenses, for additional information on Options. Schwab Sweep Money Funds: Includes the primary money market funds into which Free Credit Bahanes may be automatically invested pursuant to your Account Agreement. Schwab or an affiliate acts and receives compensation as the information for fusion is distered pursuant to your Account Agreement. Schwab or an affiliate acts and receives compensation as the information for Schwab Sweep Money Funds is the current 7-day yield as of the statement period. Yields vary, If on any given day, the accrued for your account is less than the of schwab for your do not accrue at least 1 daily dividend for that day in addition, if you do not accrue at least 1 daily dividend of \$0.01 during the fully divident of such as the primary in the accrue of the such account is that the primary in the accrue of the such account is that the fully divident of that lay.

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out a note if lield to maturity. Gain (or Loss): Unrealized Gain or (Loss) and Realized Gain or (Loss) sections ("Gain/Loss Section(s!") contain a gain or a loss summary of your Account. This information has been provided on this statement at the request of your Advisor. This information is not a solicitation or a recommendation to buy or sell. It may, however, he helpful for investment and tax ple.ning strategies. Schwab does not provide tax advice and encourages you to consult with your tax professional. Please view the Cost Basis Disclosture Statement for additional information on how gain (or loss) is calculated and how Schwab reports adjusted cost basis information to the total accrued interest and/or accrued dividends in positions held in your Account, but the interest and/or dividends on positions held in your Account. Securities Products and Services: Securities products and services are offered by Charles Schwab & Co., Inc., Member SIFC. Securities products and services, including unswept intraday funds and net credit balances held in brokerage accounts are not deposits or other obligations of, or guaranteed by, any bank, are not FDIC insured, and are subject to investment risk and may lose value. SIPC does not cover balances held at banks affiliated with Schwab in the Bank Sweep and Bank Sweep for Benefit Plans features. Short Positions: Securities solit short will be identified through an "S" in Investment Detail. The market value of these securities will be expressed as a debit and be netted against any long positions in Total IN CASE OF COMPLAINTS: If you have a complaint regarding your Schwab statement, products or services, please write to the Client Advocacy Team at Charles Schwab & Co., Inter, Attention: Client Advocacy Team, 211 Main St., San Francisco, CA 94105, USA, or call Schwab Signature Alliance at 800-515-2157. IN CASE OF ERRORS OR DISCREPANCIES: If you find an error or discrepancy relating to your brokerage activity (other than an electronic that transfer) you must notify us promptly, but no later than 10 days after this statement is sent or made available to you. If this statement shows that we have malled or delivered security certificatels) that you have not received, notify Schwab immediately. You may call us at 800-515-2157. Any oral communications should be reconfirmed in writing to further protect your rights, including rights under the Securities Investor Protection Act (SIPA). If you do not so notify us, you agree that the statement activity and Account balance are correct for all purposes with account. Schwab makes no representation that the amounts sfown (or any other annual) will be received. Accrued annualis are not covered by SIPC account protection until actually received and held in the Account. respect to those brokerage transactions. a pay period, you will not receive a money market dividend for that period. Schwab and the Schwab Sweep Money Funds investment advisor may be volunarily reducing a portion of a Schwab Sweep Money Fund's expenses. Without these reductions, yields would have been Account Value. Vield to Maturity: This is the actual average annual return IOWET *charles* SCHWAB Terms and Conditions (continued) FAUCI DEFINED BENEFIT PLAN **ANTHONY S FAUCI TTEE** U/A DTD 12/08/1995 Brokerage Trust Account of Additional Information: We are required by law to report to the Internal Revenue Service adjusted cost basis information (if applicable), certain payments to you and credits to your Account during the calendar year. Retain this statement for income tax purposes. A finalicial statement for your inspection is available at Schwab's offices or a copy will be mailed to you upon written request. Any third party trademarks appearing herein are the property of their respective owners. Schwab and Charles Schwab Bank are affiliates of cach other Address Changes: If you fail to notify Schwab in writing of any change of address or phone munber, you may not receive important notifications about your Account, and trading or other restrictions might be placed on and subsidiaries of the Charles Schwab Corporation. (1017-7MAX) your Account ţ Account,Number December 1-31, 2020 Statement Period

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2		Asset Composition Cash and Bank Sweep ^{AB} Bond Funds Equity Funds Total Assets Long Total Account Value	Total Change in Account Value	Starting Value Credits Debits Transfer of Securilies (In/Out) Income Reinvested Change in Value of Investments Ending Value on 12/31/2020	Change in Account Value	<i>chailes</i> SCHWAB
		Market Value % (\$ 28,371.72 479,772.99 1,895,377.57 \$ 2,403,522.28 \$ 2,403,522.28	\$ (177,085.91)	\$ 2,580,608.19 52,734.88 0.00 (246,666.84) (46,069.58) 62,915.63 \$ 2,403,522.28	This Period	Brokerage Trust Account of ANTHONY S FAUCI TTEE FAUCI DEFINED BENEFIT PLAN U/A DTD 12/08/1995
	0B		\$ 14,387.38	\$ 2,389,134.90 79,956.76 (10,696.31) (246,666.84) (49,6624.89) 241,418.66 9 \$ 2,403,522.28	Year to Date Acco	PLAN
Schwab and Schwal	79% Equity Funds 1% Cash, Bank Sweep (A.B)	Overview	3120 6120 9120 12120	27700 2250 11800 9900 450	Account Value [in Thousands]	Account Number
PRIVATE WEALTH MANAGEMENT Your Independent Investment Advisor is not alfidated with or an agent of Your Independent Investment Advisor is not alfidated with or an agent of Your Independent Investment Advisor is not alfidated with or an agent of Page 4 of 11					Account Value as of 12/31/2020:\$ 2,403,522.28	Statement Period December 1-31, 2020

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charles sciiwab	Brokerage Trust Account of ANTHONY S FAUCI TTEE FAUCI DEFINED BENEFIT PLAN U/A DTD 12/08/1995	PLAN	Account Number	Statement Period December 1-31, 2020
		Realized Gain or (Loss) This Period	This Period	Unrealized Gain or (Loss)
Gain or (Loss) Summary		Short Term	Long Term	
All Investments		\$0.00	\$0.00	\$596,696,64
Values may not reflect all of your gains/losses. Cost basis may change and be adjusted in certain cases. Statement information should not be used for tax preparation, instead refer to official tax documents. For additional gain or (loss) information refer to Terms and Conditions.	Cost basis may change and be adjusted Terms and Conditions.	in certain cases. Statement in	ormation should not be used for tax prepa	ration, instead refer to official tax documents
	Т	This Period		Year to Date
Income Summary	Federally Tax-Exempt	Federally Taxable	axable Federally Tax-Exempt	t Federally Taxable
Bank Sweep Interest	0.00		0.17 0.00	42.07
Cash Dividends	0.00	5	15,954,14 0.00) 43,134.12
I otal Capital Gains Distributions	0.00	36	36,780.57 0.00) 36,780.57
Total Income	0.00	52	52,734.88 0.00	79,956.76
Cash Transactions Summary			This Period	Year to Date
Starting Cash			\$ 21,706.42	\$ 27,701.41
Deposits and other Cash Credits		7	0.00	0,00
Investments Sold			0.00	621,124.75
Dividends and Interest			52,734.88	79,956.76
Withdrawals and other Debits			0.00	0.00
Investments Purchased			(46,069.58)	(689,714.89)
Fees and Charges			0.00	(10,696.31)
Total Cash Transaction Detail			6,665.30	670.31
Ending Cash			\$ 28,371.72	\$ 28,371.72
*Cash (includes any cash debit balance) held in your account plus the value of any cash invested in a sweep money fund.	held in your account plus the value c	of any cash invested in a sw	eep money fund.	
		Channe Br		

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Starting Balance Ending Balance 1, 139.99 1, 322.92 1, 139.99 1, 322.92 1, 139.99 1, 322.92 1, 139.99 1, 322.92 1, 139.99 1, 322.92 1, 139.99 1, 322.92 20, 566.43 27,048.80 20, 566.43 27,048.80 27,048.80 27,048.80 28,371.72 28,371.72 Price Value Value Cost Basis 4420 11.280000 355,098.07 323,784.86 323,784.86 5240 10.47000 124,674.92	TAUCI DEFINED BENEFIT PLAN U/A DTD 12/08/1995
Starting Balance Ending Balance 1, 139.99 1,322.92 1, 139.99 1,322.92 1, 139.99 1,322.92 1, 139.99 1,322.92 1, 139.99 1,322.92 1, 139.99 1,322.92 Starting Balance Ending Balance 20,566.43 27,048.80 An 20,566.43 27,048.80 Sweep 77,048.80 28,371.72 II - Mutual Funds Market Market 28,371.72 GRADE C 31,452.420 11.20000 355,095.07 323,784.86 Ger 11,907.8240 10.47000 124,674.92 123,175.16	Investment Detail - Cash and Bank Sweep
1, 39,99 1, 39,99 1, 322,92 1, 39,99 1, 322,92 ANK Starting Balance Sweep 20,566,43 27,048,80 Sweep 28,371.72 28,371.72 Garantity Price Value Cost Basis 6a GRADE C 31,452,4420 11,29000 355,098.07 323,784,86 Ga FERM INV 11,907,8240 10,47000 124,674.92 123,175.16 Ga	Starting
ANK 1,139,99 1,322.92 ANK Starting Balance Ending Balance 20,566.43 27,048.80 Sweep 20,566.43 28,371.72 Guantity Price Value Grantity Price Value Garadee 31,452.4420 11.29000 335,098.07 323,784.86 FERM INV 11,907.8240 10.47000 124,674.92 123,175.16	
ANK Starting Balance Ending Balance % of A AB 20,566.43 27,048.80 20,566.43 27,048.80 Sweep 20,566.43 27,048.80 28,371.72 28,371.72 II - Mutual Funds Market Market 28,371.72 28,371.72 GRADE C 31,452.4420 11,28000 355,098.07 323,784.86 31,3 FERM INV 11,907.8240 10,47000 124,674.92 123,175.16 1,4	
ANK Starting Balance Ending Balance 20,566.43 27,048.80 20,566.43 27,048.80 Sweep 20,566.43 27,048.80 II - Mutual Funds Market 28,371.72 GRADE C 31,452.4420 11,29000 355,098.07 323,784.86 GRADE C 11,907.8240 10,47000 124,674.92 123,175.16	
ANK 20,566.43 27,048.80 Sweep 20,566.43 27,048.80 20,566.43 27,048.80 20,566.43 27,048.80 20,566.43 27,048.80 28,371.72 BRADE C 31,452.420 11.20000 355,098.07 323,784.86 FERM INV 11,907.8240 10.47000 124,674.92 123,175.16	
AB 20,566.43 27,048.80 Sweep 28,371.72 28,371.72 II - Mutual Funds Quantity Market 28,371.72 GRADE C 31,452.4420 11.29000 355,098.07 323,784.86 FERM INV 11,907.8240 10.47000 124,674.92 123,175.16	
Sweep 28,371.72 II - Mutual Funds Quantity Market Market Market Cost Basis Gai GRADE C 31,452,4420 11,28000 355,098.07 323,784.86 Gai FERM INV 11,907,8240 10,47000 124,674.92 123,175.16 Gai	
II - Mutual Funds Market Market Market Market Cost Basis Gai GRADE C 31,452.4420 11.29000 355,098.07 323,784.86 Gai FERM INV 11,907.8240 10.47000 124,674.92 123,175.16	and Bank Sweep
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Gain or (Loss) 3 314,582.04 3 55,228.79 3 6,019.74 3 88,751.28 3 34,350.79
Accovint Number 280,709,16 200,500.59 200,500.59 153,372.38 193,952.73 183,275.30 96,546,40

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D BENEFIT PLAN 1995	% oi Account Assets	Unrealized Gain or (Loss)	Cost Basis	Market Value	Market Price	Quantity	ued)	nds (continu	Equity Fu
Brokerage Trust Account of ANTHONY S FAUCI TTEE FAUCI DEFINED BENEFIT PLAN U/A DTD 12/08/1995						s (continued)	- Mutual Funds	ent Detail	Investm
	od -31, 2020	Statement Peric December 1-	Account Number			irokerage Trust Accoui INTHONY S FAUCI AUCI DEFINED BE	ᇦᆁᇰᄪ		<i>charles</i> SCHWAB

(246,666.84)					sfers	Total Transfers
(246,666.84)	26,6900	(9,241.9200)	CIBC ATLAS DISCIPLINED EQUITY INSTL:	Journaled Shares	12/08/20	12/08/20
Total Amount	Unit Price	Quantity	Description	Transaction	Trade Date	Settle Date
				Transaction Detail - Transfers	tion Detail	Transact
(46,069.58)				đ Vi	Total Purchases & Sales	Total Pure
(41,528.74)				ctivity	Total Equity Funds Activity	Total Eq
(3,486.60)	26.2300	132.9240	CIBC ATLAS DISCIPLINED E QUITY INSTL: AWEIX	Reinvested Shares	12/30/20	12/30/20
(13,071,51)	51.6200	253.2260	EDGEWOOD GROWTH INSTL: EGFIX	Reinvested Shares	12/22/20	12/22/20
(2,408.07)	51.6200	46,6500	EDGEWOOD GROWTH INSTL: EGFIX	Reinvested Shares	12/22/20	12/22/20
(2,603.05)	19,9600	130,4130	CIBC ATLAS MID CAP EQUIT Y INSTITUTIONAL: AWMIX	Reinvested Shares	12/22/20	12/22/20
(11,225.36)	26.0200	431.4130	CIBC ATLAS DISCIPLINED E QUITY INSTL: AWEIX	Reinvested Shares	12/22/20	12/22/20
(1,332,22)	36,4800	36.5190	JPMORGAN MID CAP VALUE L: FLMVX	Reinvested Shares	12/18/20	12/18/20
(633.49)	14.3700	44.0840	COHEN & STEERS PREFERRED SEC & INC I: CPXIX	Reinvested Shares	12/17/20	12/17/20
(6,659.50)	36.8900	180.5230	JPMORGAN MID CAP VALUE L: FLMVX	Reinvested Shares	12/11/20	12/11/20
(108.94)	29.9400	3.6390	MFS INSTL INTERNATIONAL EQUITY: MIEIX	Reinvested Shares	12/10/20	12/10/20
Total Amount	Unit Price	Quantity	Description	Transaction	Trade Date	Settle Date
				`	Equity Funds Activity	Equity Fu
			Transaction Detail - Purchases & Sales (continued)	I - Purchases &	tion Detai	Transac
riod 1-31, 2020	Statement Period December 1-31, 2020	Paccount Number	Brokerage Trust Account of ANTHONY S FAUCI TTEE FAUCI DEFINED BENEFIT PLAN U/A DTD 12/08/1995	PA FA		<i>charles</i> scuwab

52,734.88			terest	Total Dividends & Interest	Total Divi
274.82		VANGUARD INTERM-TERM INV: VFIDX	Cash Dividend	12/31/20	12/31/20
1,048.10		PIMCO INVESTMENT GRADE C: PIGIX	Cash Dividend	12/31/20	12/31/20
3,043.47		HAMLIN HIGH DIVIDEND EQU: HHDFX	Cash Dividend	12/30/20	12/30/20
1,196.80		CIBC ATLAS INCOME OPPORT: AWIIX	Cash Dividend	12/30/20	12/30/20
3,486.60		CIBC ATLAS DISCIPLINED E: AWEIX	Div For Reinvest	12/30/20	12/30/20
1,731.53		VANGUARD INTERM-TERM INV: VFIDX	LT Cap Gain Rein	12/29/20	12/29/20
1,428,63		VANGUARD INTERM-TERM INV: VFIDX	Sttm Cap Gn Rein	12/29/20	12/29/20
18.87		PIMCO INVESTMENT GRADE C: PIGIX	Cash Dividend	12/28/20	12/28/20
2,408.07		EDGEWOOD GROWTH INSTL: EGFIX	Sttm Cap Gn Rein	12/22/20	12/22/20
13,071.51		EDGEWOOD GROWTH INSTL: EGFIX	LT Cap Gain Rein	12/22/20	12/22/20
2,603.05		CIBC ATLAS MID CAP EQUIT: AWMIX	LT Cap Gain Rein	12/22/20	12/22/20
11,225.36		CIBC ATLAS DISCIPLINED E: AWEIX	LT Cap Gain Rein	12/22/20	12/22/20
680.32		VANGUARD EMERGING MKTS S. VEMAX	Cash Dividend	12/18/20	12/18/20
1,332.22		JPMORGAN MID CAP VALUE L. FLMVX	Div For Reinvest	12/18/20	12/18/20
633.49		COHEN & STELKS PREFERRED: CPXIX	Div For Reinvest	12/17/20	12/17/20
0.17	Tremoved account number	BANK INT SCHWAB BANK	Bank Interest ^{A,B}	12/16/20	12/15/20
6,659.50	-	JPMORGAN MID CAP VALUE L: FLMVX	LT Cap Gain Rein	12/11/20	12/11/20
402.75		MFS INSTL INTERNATIONAL: MIEIX	Cash Dividend	12/10/20	12/10/20
108.94		MFS INSTL INTERNATIONAL: MIEIX	LT Cap Gain Rein	12/10/20	12/10/20
1,380.68		PIMCO INVESTMENT GRADE C: PIGIX	LT Cap Gain Rein	12/09/20	12/09/20
Credit/(Debit)		Description	Activity	Date	Dale
				n Process	Transaction Process

charles SCHWAB

U/A DTD 12/08/1995

FAUCI DEFINED BENEFIT PLAN

Account Number

Statement Period December 1-31, 2020 Brokerage Trust Account of ANTHONY S FAUCI TTEE

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.

Page 10 of 11

	inces include interest (accrued during the Sta	Schwab & Co., Inc. ers from the Statement Period. Bala not include interest that may have a ment Period.	Endnote Legend Dividends paid on this security will be automatically reinvested. Bank Sweep deposits are held at FDIC-insured bank(s) ("Banks") that are affiliated with Charles Schwab & Co., Inc. <u>For</u> Bank Sweep and Bank Sweep for Benefit Plans features, interest is paid for a period that differs from the Statement Period. Balances include interest paid as indicated on your statement by Schwab or one or more of its affiliated banks. These balances do not include interest that may have accrued during the Statement Period after interest is paid. The interest paid may include interest that accrued in the prior Statement Period.	Endnote Legend Dividends paid on this securi Bank Sweep deposits are hei For Bank Sweep and Bank S For Bank Sweep and Bank S Period after interest is paid. T	Symbol En Oiv A Ba En Per Per
			Bank Sweep for Benefit Plans: Interest Rate as of 12/31/20 was 0.01%. ^B Endinotes For Your Account	Bank Sweep for Benefit Plans: Interest Rate	Bank Sweep Endnote
27,048.80	6,482.54	0.17		aivity ance ^{AB}	Ending Balance A ^B
21,070.00	7,870.61				
27 048 8	4 240 27				12/31/20
22 808 22	18 87		BANK CREDIT FROM BROKERAGE A		12/29/20
22 789 66	680.32	and the second	BANK CREDIT FROM BROKERAGE A	Auto Transfer BA	12/21/20
22,109.34	0.17		BANK CREDIT FROM BROKERAGE A	Auto Transfer B/	12/17/20
22,109,17		0.17	BANK TRANSFER TO BROKERAGE	Auto Transfer BA	12/15/20
22,109.34	0.17		BANK INTEREST - CHARLES SCHWAB BANK	Interest Paid ^{A,B} B/	12/15/20
22,109.17	402.75		BANK CREDIT FROM BROKERAGE		12/11/20
21,706.42	1,139.99		BANK CREDIT FROM BROKERAGE	Auto Transfer B/	12/01/20
20,566.43				Opening Balance A ⁸	Opening I
Balance ^{Ag}	Deposit	Withdrawal	Description	Transaction	Transaction Date
			lans Activity	Bank Sweep for Benefit Plans Activity	Bank Sv
od •31, 2020	Statement Period December 1-31, 2020	-Accoupt Number	Brokerage Trust Account of ANTHONY S FAUCI TTEE FAUCI DEFINED BENEFIT PLAN U/A DTD 12/08/1995		<i>charles</i> SCHWAB

Your Independent Investment Advisor is not affiliated with or an agent of Schwab and Schwab does not supervise or endorse your Advisor. Page 1 of 11

MANAGEMENT **PRIVATE WEALTH**



ANTHONY S FAUCI REVOCABLE TR U/A DTD 03/19/2014

ANTHONY S FAUCI TTEE

Your Independent Investment Manager and/or Advisor

CIBC PRIVATE WEALTH ADVRS, INC 1 (202) 783-4144 WASHINGTON DC 1201 F ST NW STE 900 20004-1223

Visit www.schwab.com/StatementUserGuide for more information.

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or risk profile, please contact your independent investment Manager and/or Advisor, questions about this statement, or if there is a change in your financial situation, investment objectives, Manager and/or Advisor is independently owned and operated and not an affiliate with Schwab. For This report is provided by Schwab. Except as noted in the terms and conditions, your Investment The custodian of your brokerage account is: Charles Schwab & Co., Inc. Page

Investment Detail..... Cash Transactions Summary Gain or (Loss) Summary..... Change in Account Value Table of Contents Money Market Fund (Sweep) Detail Transaction Detail..... Income Summary..... Asset Composition Terms and Conditions 52 5 'n 2n

Endnotes For Your Account.....

1



Schwab One® Trust Account of ANTHONY S FAUCI TTEE U/A DTD 03/19/2014 ANTHONY S FAUCI REVOCABLE TR

Attachment C: Fauci 2020 278e Report, Section 6

Account Number

December 1-31, 2020 Statement Period



ANTHONY S FAUCI REVOCABLE TR ANTHONY S FAUCI TTEE U/A DTD 03/19/2014 Schwab One® Trust Account of

Account Number

Statement Period December 1-31, 2020

Terms and Conditions

This Account statement is furnished solely by Charles Schwab & Se Co., Inc. ("Schwab") for your Account at Schwab & Co., Inc., and provides histinuitonal is a division of Charles Schwab & Co., Inc., and provides back office brokerage and related services to investment advisors and retirement plan providers. Unless otherwase defined herein, capitalized crims have the same meanings as in your Account Agreement. Schwab is a registered broker-dealer and is not affiliated with your Investment Advisor whose name appears on this statement ("Advisors") except in the case of Charles Schwab Investment Advisory. Inc. ("CSIA"), or an affiliated company that may act as the unvestment advisor on a find-Advisor are independently owned and operated. Schwab neither endorses nor recommends any particular Advisors ror its investment strategy and has no responsibility to monitor trading by any Advisor in your Account. Schwab has not verified any statement accompanying any Advisor's logo appearing on this statement. Advisors provides brokerage and custody services for your Account. Schwab provides brokerage and custody services for your Account. Schwab provides brokerage and custody services for your Account. Schwab has and schwab nay provide them to Advisors on the Advisor's commutinent to place accrain annount of its chents' assets in bokerage accounts and Schwab may novide them to Advisors on the Advisor's commutinent could influence an Advisor's recommendation or requirement that its clients establish brokerage intercommendation or requirement that its clients establish brokerage accounts at Schwab,

confirmation will be provided upon request. Average Daily Balance: Average daily composite of all cash halances that earn interest and all loans from Schwab that are charged interest. Bank Sweep and Bank Sweep for Benefit Plans Features: Schwab nets as your agent and custodian in establishing and maintaining your Bank Sweep and Bank Sweep for Benefit Plans features as a Schwab Cash feature for your brokerage account. Deposit accounts constitute direct obligations of banks affiliated with Schwab and are not obligations of Schwab. Bank deposit accounts are insured by the FDIC within applicable limits. The balance in the bank deposit accounts can be withdrawn on your order and the proceeds returned to your scentites account or remited to you as provided an your Account Agreement. For information on FDIC instance and its limits, as well as other important disclosures about the Bank Sweep and Bank Sweep for Benefit Plans features, please refer to the Cash Features Disclosure Statement available online or from a remuneration in connection with certain transactions effected through Schwab. If you participate in a systematic investment program through Schwab, the additional information normally detailed on a trade If you receive any other communication from any source other than Schwab which purports to represent your holdings at Schwab (including balances held at a Depository Institution) you should Schwab representative venfy its content with this statement. AIP (Automatic Investment Plan) Customers: Schwab receives GENERAL INFORMATION AND KEY TERMS:

Cash: Any Free Credit Balance owed by us to you payable upon demand which, although accounted for on our books of record, is not

segregated and may be used in the conduct of this firm's business. Current Vield: Annual dividend paid on an equity divided by the

Estimated Annual Income: Estimated annual income is derived from information provided by outside parties. Schwab cannot guarantee the accuracy of such information. Since the interest and dividends are were effected by Schwab acting as a principal for its own account, except for the remvestment of Schwab dividends, for which an independent broker-dealer acted as the bitying agent. Further information on these transactions will be furnished upon written request. current market price. Dividend Reinvestment Customers: Dividend reinvestment transactions

subject to change at any time, they should not be relied upon exclusively for making investment decisions. Frees and Charges: For those fees described in the statement as "Fee ny to Advisor", as authorized by you, Schwab debited your Account to and not Schwab's, to verify the accuracy of the fees. Margin interest charged to your Account during the statement period is included in this section of the statement. Interest: For the Schwab or ne remove the affiliated balank. Sweep for Benefit Plans features, interest is paid for a period that differs from the Statement by Schwab or one or more of its affiliated balank. These balances do not include interest is paid for a period that differs from the Statement by Schwab or one or more of its affiliated balank. These balances do not include interest is paid. The interest paid as include interest feature, interest accrues daily from the second-to-last business day of the perior month and is posted on the second-to-last business day of the perior month and is credited/posted on the first business day after the 15th of the current month. If, on any given day, the interest that Schwab calutates for the Free Credii Balances in ite Schwab 5005, you will ind accrue any interest on that day. For balances held at banks saffiliated with Schwab in the Bank Sweep and Bank Sweep for Benefit Plans features, interest will accrue even if the amount is less than 5.005. Margin Account and special memorandum account maintened of your margun account as sequired by Regulation T issued by the Board of Governiors of the Federal Reserve System. The permanent record of the separate account is permited by Regulation T issued by the Board of Governion of the sequence for memorandum account maintened for the bana

Securities purchased on margin are Schwab's collateral for the loan to you. It is important that you fully understand the risks involved in trading securities on margin. These risks include: • You can lose more funds than you deposit in the margin account. • Schwab can force the sale of securities of other assets in any of

your account(s) to maintain the required account equity without

You are not entitled to choose which assets are liquidated nor are you entitled to an extension of line on a margin call.
 Schwab can increase its "house" maintenance margin requirements at any time without advance written notice to you.
 Market Pice: The most recent price evaluation available to Schwab on the last business day of the report period, normally the last trade

 Securities, you may see that the value create environment of the securities account statement for this security is unpriced. NASD rules require that certain Limited Partnerships (direct participation programs) and priced writin 18 movilies, must show as unpriced on customer statements. Note that these securities are generally illiquid, the value of the securities will be different than its purchase price, and, if applicable, that accurate valuation information may not be available.
 Market Value The Market Value is computed by multiplying the Market Value in your specified Schwab Account or a summary for of the Market Value summed over multiple accounts.
 Non-Publicly Traded Securities which may be held by a third party as held in greenent. Schwab Account or provided by Iraded Securities may be furnished by a third party as provided by a third party as provided by a state provided by a constrained party as provided by a state provided by a state provided by a three provided by a three provided by the securities in your specific Schwab and recruities in a securities and the securities are price, and the party as provided by a state that certain direct investments which may be held by a third party as provided by a three party as the different in the securities in the securities of such valuations. The Securities in the securities in the securities of such valuations. The Securities in the securities in the securities in the securities in the securities of such valuations. The Securities in the securities in the securities of such valuations. price or bid as of market close. Unpriced securities denote that no market evaluation update is currently available. Price evaluations are obtained from outside parties. Schwab shall have no responsibility for the accuracy or timetiless of any such valuations. Pricing of assets not held at Schwab is for informational purposes only. Some securities, especially thinly traded equities in the OTC market or foreign markets, may not report the most current price and are indicated as Sale Priced For Limited Parmerships and Real Estate Investment Trust (REIT)

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Schwab Sweep Money Funds: Includes the primary money market funds into which Free Credit Balances may be automatically invested prusuant to your Account Agreement. Schwab or an affiliate acts and receives compensation as the Investment Advisor. Transfer Agen, Money Funds: The amennt of such compensation is disclosed in the presentent action for Schwab Sweep Money Funds is the current 7-day yield as of the statement period. Yields vary, if on any given day, the accrued ality dividend for iyour sclerid for that day. In addition, if you do not accrue a least 1 daily dividend of Stift during for the statement period.

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ANTHONY S FAUCI REVOCABLE TR ANTHONY S FAUCI TTEE Schwab One® Trust Account of U/A DTD 03/19/2014

Account Number

December 1-31, 2020 Statement Period

Terms and Conditions (continued)

lower.

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Account Value. Vield to Maturity: This is the actual average annual return on a note if held to maturity.

Gain (or Loss): Unrealized Gain or (Loss) and Realized Gain or (Loss) sections ("Gain/Loss Section(s)") contain a gain or a loss summary of your Account. This information has been provided on this statement at the request of your Advisor. This information is not a sufficient at the request of your Advisor. This information is not a sufficient at the request of your Advisor. This information is not a sufficient at the request of your Advisor. This information is not a sufficient at the request of your Advisor is the provide the second helpful for investment and tax planning strategies. Schwab does not provide tax advice and encourages you to consult with your fax provide tax advice and encourages you to consult with your fax additional information on how gain (or loss) is calculated and how Schwab reports adjusted cost basis information to the IRS. Accrued Income: Accrued Income is the sum of the total accrued interest and/or accrued dividends on positions held in your Account, but the interest and/or dividends have not been received into your account. Schwab makes no representation that the amounts shown (or any other amount) will be received. Accrued anyounts are not covered by SIPC account protection until actually received and held in the Account.

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(1017-7MAX)

Cash and Money Market Funds [Sweep] Bood Funds	Asset Composition	Total Change in Account Value	Ending Value on 12/31/2020	Change in Value of Investments	Income Reinvested	Transfer of Securities (In/Out)	Debits	Credits	Starting Value	Change in Account Value		Charles SCHWAB U/A E
\$ 104,406.21 1 108 517 20	Market Value	\$ 398,412.05	\$ 5,295,898.92	129,408.18	(74,390.23)	246,666.84	0.00	96,727.26	\$ 4,897,486.87	This Period		Schwab One® Trust Account of ANTHONY S FAUCI TTEE ANTHONY S FAUCI REVOCABLE TR U/A DTD 03/19/2014
2%	% of Account Assets	\$ 640,328.76	\$ 5,295,898.92	342,694.88	(80,191.80)	246,666.84	(23,528.49)	154,687.33	\$ 4,655,570.16	Year to Date		ee Vocable TR
\rightarrow	Overview	3/20 6/20 9/20 12/20	936	1900	2850	0086	4750	5700		Year to Date Account Value [in Thousands]	Account Value as	Account Number

of 12/31/2020:\$ 5,295,898.92

Statement Period December 1-31, 2020

	Total Account Value	ets Long		nds	[Sweep]	Cash and Money Market Funds	Asset Composition
	\$ 5,295,898.92	\$ 5,295,898.92	3,992,975.51		\$ 104,406.21		Market Value
	100%		75%	23%	2%		% of Account Assets
[Sweep] 23% Bond Funds 75% Equity Funds	2% Cash, MMFs)	Overview

Your Independent Investment Advisor is not afflasted with or an agent of Schwab and Schwab does not supervise or endorse your Advisor Page 4 of 11

CIBC PRIVATE WEALTH MANAGEMENT

<i>charles</i> sciiwar	Schwab One® Trust Account of ANTHONY S FAUCI TTEE ANTHONY S FAUCI REVOCABLE TR U/A DTD 03/19/2014	ETR	Account Number	Statement Period December 1-31, 2020
	Reali	Realized Gain or (Loss) This Period	đ	Unrealized Gain or (Loss)
Gain or (Loss) Summary	(0	Short Term	Long Term	
All Investments		\$0,00	\$0.00	\$1,123,920.57
Values may not reflect all of your gains/losses. Cost basis may change For additional gain or (loss) information refer to Terms and Conditions.	Values may not reflect all of your gains/losses. Cost basis may change and be adjusted in certain cases. Statement information should not be used for tax preparation, instead refer to official tax documents. For additional gain or (loss) information refer to Terms and Conditions.	ain cases. Statement information s	hould not be used for tax prepar	alion, instead refer to official tax documents
	This Period	riod	¥	Year to Date
Income Summary	Federally Tax-Exempt ¹	Federally Taxable	Federally Tax-Exempt ¹	Federally Taxable
Money Funds Dividends	0,00	1.06	0.00	354,84
Cash Dividends	1,555.37	25,526.62	20,295.79	58,152.49
Total Capital Gains Distributions	0.00	69,644.21	0.00	69,644.21
Total Income	1,555.37	95,171.89	20,295.79	128,151.54
Cash Transactions Summary	אומיני זמא האניויקעינין, ניסוסטון ערעו ומא מענוסטין.			
			+	• 04,0 10.44
			0.00	0,240,00
Dividende and Interact			0.00	1/000,00011
Withdrawals and other Debits	A NUMBER OF THE OWNER OWNE		0.00	0.00
Invest ments-P urchased	a di sense a su e sense su e sense se sense se sense se se se se se sense se se sense se se sense se se se se s		(74,389.17)	(1,164,926.96)
Fees and Charges			0.00	(23,528,49)
Total Cash Transaction Detail			22,338.09	21,785.99
Ending Cash [*]			\$ 104,406.21	\$ 104,406.21
Cash (includes any cash debit balance) t	*Cash (includes any cash debit balance) held in your account plus the value of any cash invested in a sweep money fund.	cash invested in a sweep mone	y fund.	
	3			
Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.	ormation wherever possible for most investment for an explanation of the endnote codes and sy	ts. Cost basis data may be incomply mbots on this statement.	ete or unavailable for some of y	our holdings. Page 5 of 11

Total Bond Funds	T. ROWE PRICE SUMMIT MUN ICIPAL INTM INV SYMBOL: PRSMX	PIMCO INVESTMENT GRADE C REDIT BOND INSTL SYMBOL: PIGIX	Bond Funds	Investment Detail - Mutual Funds	Total Cash and Money Market Funds [Sweep]	Total Money Market Funds [Sweep]	SCHWAB GOVT MONEY FUND: SWGXX	Money Market Funds [Sweep]	Total Cash	Cash	Cash	Investment Detail - Cash and Money Market Funds [Sweep]	charles SCHWAB
100,145.3190	61,705.9480	38,439.3710	Quantity	1 Funds	nds [Sweep]							and Money Market Fund	Schwab One® Trust Account of ANTHONY S FAUCI TTEE ANTHONY S FAUCI REVOCABLE TR U/A DTD 03/19/2014
	12.39000	11.29000	Market				101,569.8800	Quantity				s [Sweep]	TTEE REVOCABLE TR
1,198,517.20	764,536,70	433,980.50	Market Value				1.0000	Market Price	2,421.97	2,421.97	Starting Balance		
1,132,097.05	723,042.35	409,054.70	Cost Basis		104,406.21	101,569.88	101,569.88	Market Value	2,836.33	2,836.33	Ending Balance		Account Number
66,420,15	41,494.35	24,925.80	Unrealized Gain or (Loss)		2%	2%	2%	% of Account Assets	<1%	<1%	% of Account Assets		Statement Period December 1-31, 2020
23%	14%	8%	% of Account Assets										d 31, 2020

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charles sciiwab	Schwab One® Trust Account of ANTHONY S FAUCI TTEE ANTHONY S FAUCI REVOCABLE TR U/A DTD 03/19/2014	TTEE REVOCABLE TR		Account Number	Statement Period December 1-31, 2020	d 31, 2020
Investment Detail - Mutual Funds (continued)	u nds (continued)					
Equity Funds	Quantity	Market Price	Market Value	Cost Basis	Unrealized Gain or (Loss)	% of Account Assets
CIBC ATLAS DISCIPLINED E QUITY INSTL SYMBOL: AWEIX	71,318.1620	26.41000	1,883,512.66	1,163,836.87	719,675,79	36%
CIBC ATLAS INCOME OPPORT UNITIES INSTL SYMBOL: AWIIX	24,290.5390	14.06000	341,524 98	250,888.24	90,636.74	6%
CIBC ATLAS MID CAP EQUIT Y INSTITUTIONAL SYMBOL: AWMIX	14,755.5330	20.14000	297,176.43	189,044.19	108,132.24	6%
COHEN & STEERS PREFERRED * SEC & INC I SYMBOL: CPXIX	22,122.4310	14.41000	318,784.23	306,729.76	12,054,47	6%
JPMORGAN MID CAP VALUE L SYMBOL: FLMVX	5,503.2490	36,94000	203,290,02	186,076.01	17,214.01	4%
MATTHEWS PACIFIC TIGER I NSTL SYMBOL: MIPTX	8,587.6680	34,90000	299,709.61	265,642.20	34,067.41	6%
MFS INSTL INTERNATIONAL EQUITY SYMBOL: MIEIX	7,215,1240	30.64000	221,071,40	200,485.05	20,586,35	4%
VANGUARD EMERGING MKTS S TOCK IDX ADM SYMBOL: VEMAX	4,142.5020	41.68000	172,659,48	150,015.00	22,644,48	3%
VANGUARD SMALL CAP INDEX ADM SYMBOL: VSMAX	1,225,4900	93.22000	114,240.18	85,015.00	29,225.18	2%

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REDIT BOND INSTL: PIGIX	12/09/20 12/09/20 Reinvested Shares PIMCO INVESTMENT GRADE C	12/08/20 12/08/20 Reinvested Shares T. ROWE PRICE SUMMIT MUN ICIPAL INTM INV: PRSMX	Settle Date Trade Date Transaction Description	Transaction Detail - Purchases & Sales Bond Funds Activity			Total Mutual Funds 264,751.8650 5,191,492.71	Total Equity Funds 3,992,975.51	VERSUS CAP REAL ASSETS F 3,932.3630 25.37000 D LLC UNIT LTD PARTN SYMBOL: VCRRX	VERSUS CAP MULTI-MAN 1,513,4850 27.25000 SYMBOL: VCMIX	Equity Funds (continued) Quantity Price	investment Detail - Mutual Funds (continued)	Schwab One® Trust Account of ANTHONY S FAUCI TTEE ANTHONY S FAUCI REVOCABLE TR U/A DTD 03/19/2014
	150.6590	0,4990	Quantity		i otal Acc Total	Total investment Detail	4,067,572.14	2,935,475.09	96,477.32	41,265.45	Cost Basis		Account Number
	11.2000	12,3700	Unit Price		Total Account value Total Cost Basis	nent Detail	1,123,920.57	1,057,500.42	3,286.73	(22.98)	Unrealized Gain or (Loss)		Statement Period December 1-31, 2020
(1,693.55)	(1,687.38)	(6.17)	Total Amount		3,493,090.94 4,067,572.14	5,295,898.92	%86	75%	2%	<1%	% of Account Assets	:	od -31, 2020

Total Purchases & Sales	12/22/20 12/22/20 Reinvested Shares CIBC ATLAS DISCIPLINED E QUITY INSTL: AWEIX 12/22/20 12/22/20 Reinvested Shares CIBC ATLAS MID CAP EQUIT	12/16/20 12/16/20 Reinvested Shares MATTHEWS PACIFIC TIGER I NSTL: MIPTX 12/17/20 12/17/20 Reinvested Shares COHEN & STEERS PREFERRED SEC & INC I: CPXIX	12/11/20 12/11/20 Reinvested Shares JPMORGAN MID CAP VALUE L: FLMVX 12/16/20 12/16/20 Reinvested Shares MATTHEWS PACIFIC TIGER I NSTL: MIPTX	Settle Date Trade Date Transaction Description 12/10/20 12/10/20 Reinvested Shares MFS INSTL INTERNATIONAL EQUITY: MIEIX	Charles Schwab One® Trust Account of ANTHONY S FAUCI TTEE ANTHONY S FAUCI REVOCABLE TR U/A DTD 03/19/2014 Transaction Detail - Purchases & Sales (continued)
88.1680 1,373.0950 359.8910	88.1680	362,0910	VX 336,6770 103,4200	Quantity 15.7000	R Account Number
26.0200 19.9600		33.5700 14.3700	36.8900 33,5700	Unit Price 29.9400	Statement Period December 1-31, 2020
(1,266.97) (35,727.94) (7,183.43) (72,695.62)	(1,266.97)	(12,155.38)	(12,420,03) (3,471.82)	Total Amount (470.05)	1od 1-31, 2020
100	so				
-----	------	--			
	0.22				
	Ha				
18	22				
	22				

Schwab One® Trust Account of ANTHONY S FAUCI TTEE ANTHONY S FAUCI REVOCABLE TR U/A DTD 03/19/2014

Account Number

Statement Period December 1-31, 2020

Transaction Detail - Dividends & Interest (including Money Market Fund dividends reinvested)

96 727 26				
1,555.37	T. ROWE PRICE SUMMIT MUN: PRSMX	Cash Dividend	12/31/20	12/31/20
1.0	SCHWAB GOVT MONEY FUND: SWGXX	Dividend	12/31/20	12/31/20
10'0	SCHWAB GOVT MONEY FUND: SWGXX	Short Term Cap Gn	12/31/20	12/31/20
1,280.9	PIMCO INVESTMENT GRADE C: PIGIX	Cash Dividend	12/31/20	12/31/20
1,598.32	CIBC ATLAS INCOME OPPORT: AWIIX	Cash Dividend	12/30/20	12/30/20
11,097.1	CIBC ATLAS DISCIPLINED E: AWEIX	Cash Dividend	12/30/20	12/30/20
23.0	PIMCO INVESTMENT GRADE C: PIGIX	Cash Dividend	12/28/20	12/28/20
524.51	VANGUARD SMALL CAP INDEX: VSMAX	Cash Dividend	12/23/20	12/23/20
7,183.4	CIBC ATLAS MID CAP EQUIT: AWMIX	LT Cap Gain Rein	12/22/20	12/22/20
35,727,9	CIBC ATLAS DISCIPLINED E: AWEIX	LT Cap Gain Rein	12/22/20	12/22/20
1,018.2	VANGUARD EMERGING MKTS S: VEMAX	Cash Dividend	12/18/20	12/18/20
2,484.6	JPMORGAN MID CAP VALUE L: FLMVX	Cash Dividend	12/18/20	12/18/20
1,266.9	COHEN & STEERS PREFERRED: CPXIX	Div For Reinvest	12/17/20	12/17/20
3,471.82	MATTHEWS PACIFIC TIGER I: MIPTX	Sttm Cap Gn Rein	12/16/20	12/16/20
12,155.3	MATTHEWS PACIFIC TIGER I: MIPTX	LT Cap Gain Rein	12/16/20	12/16/20
1,017.0	MATTHEWS PACIFIC TIGER I: MIPTX	Cash Dividend	12/16/20	12/16/20
12,420.0	JPMORGAN MID CAP VALUE L: FLMVX	LT Cap Gain Rein	12/11/20	12/11/20
1,737.80	MFS INSTL INTERNATIONAL: MIEIX	Cash Dividend	12/10/20	12/10/20
470.0	MFS INSTL INTERNATIONAL: MIEIX	LT Cap Gain Rein	12/10/20	12/10/20
1,687.38	PIMCO INVESTMENT GRADE C: PIGIX	LT Cap Gain Rein	12/09/20	12/09/20
6.1	T. ROWE PRICE SUMMIT MUN: PRSMX	Sttm Cap Gn Rein	12/08/20	12/08/20
Credit/(Debit)	Description	Activity	Date	Date Date

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Total Transaction Detail

269,004.93

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<i>charles</i> sciiwab	Schwab One® Trust Account of ANTHONY S FAUCI TTEE ANTHONY S FAUCI REVOCABLE TR U/A DTD 03/19/2014		Account Number	er December 1-31, 2020	d 31, 2020
Money M	Money Market Fund (Sweep) Detail				
SCHWAB (SCHWAB GOVT MONEY FUND Activity				
Settle Date	Transaction	Quantity	Unit Price	Purchase/Debit	Sale/Credit
Opening # a	Opening # of Shares: 79,646.1500				
12/01/20	Purchased	2,421.9700	1.0000	2,421.97	
12/11/20	Purchased	1,737.8000	1.0000	1,737,80	
12/17/20	Purchased	1,017.0600	1.0000	1,017.06	And the rest of the second
12/21/20	Purchased	3,502.8400	1.0000	3,502.84	
12/24/20	Purchased	524.5100	1,0000	524.51	A CONTRACTOR OF A CONTRACTOR O
12/29/20	Purchased	23.0600	1.0000	23,06	
12/31/20	Purchased	12,695.4300	1,0000	12,695,43	
12/31/20	Dividend	1.0600	1.0000	1,06	
Total SCh	Total SCHWAB GOVT MONEY FUND Activity			21,923.73	
Total Mone	Total Money Market Fund (Sweep)			21,923.73	
SCHWAB GOV	SCHWAB GOVT MMF Average Yield For The Most Recent Pay Period: 0.01%; 7-Day Yield: 0.01%				
Endnotes	Endnotes For Your Account				
Symbol Endi Ø Divid	Endnote Legend Dividends paid on this security will be automatically reinvested.				

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Attachment D: Fauci 2020 278e Report, Section 5 TTEE

U/A DTD 03/19/2014 SPOUSE **REVOCABLE TR**

Account Number

Statement Period December 1-31, 2020

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Money Market Fund (Sweep) Detail	9

spouse TTEE **REVOCABLE TR** U/A DTD 03/19/2014



PRIVATE WEALTH MANAGEMENT

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SCHWAB charles

U/A DTD 03/19/2014 Schwab One® Trust Account of Shonze **REVOCABLE TR** TTEE

Account Number

December 1-31, 2020 Statement Period

Terms and Conditions

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demand which, although accounted for on our books of record, is not Cash: Aný Schwab representative. Cash: Any Free Credit Balance owed by us to you payable upon

section of the statement, using site searcheat period in period inclusion in using site searcheat period inclusion in the section of the statement. Interest, Bank Sweep for Mark Sweep for Statement Period, Balances include interest paid for a period that differs from the Statement Period, Balances include interest paid as indicated on your statement Period after interest is paid. The interest paid may include interest is paid for a period that differs from the Schwab One Interest from the second-to-last business day of the prior innonth and is posted on the second-to-last business day of the prior innonth and is posted on the second-to-last business day of the prior innonth and is posted on the second-to-last business day of the prior innonth and is posted on the second-to-last business day of the prior innonth and is posted on the second-to-last business day of the prior innonth and is posted on the second-to-last business day of the prior innonth and is posted on the second-to-last business day of the prior innonth and is posted on the second-to-last business day of the prior innonth and is posted on the second-to-last business day of the prior innonth and is posted on the second-to-last business day of the prior innonth and is certreat actual to the first business day after the 15th of the current innonth. If, on any given day, the interest fatures actuales for the Free up of account is less than \$2005, you will not accrue any interest on that day. For balances in the Schwab One linerest feature, interest on that day. Sweep and Bank Sweep for Benetit Planted with Schwab m the banks will not accrue any interest on that day. Sweep for Benetit Plante at the schwab box in the schwab one interest feature in the schwab box in the schwab box interest feature and the prior box account is less than \$2005.

Margin Account Customers: This is a combined statement of your margin account and special memorandum account manitamed for you under Section 220.5 of Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the separate account as required by Regulation T is available for your inspection. Securities puchased on margin are Schwab's collateral for the loan to you. It is important that you fully understand the risks involved in

trading securities on margin. These risks include:
You can lose more funds than you deposit in the margin account.
Schwab can force the sale of securities or other assets in any of

your account(s) to maintain the required account equity without

Fouracting you.
 You are not entitled to choose which assets are liquidated nor are you cantiled to an extension of time on a margin call.
 Schwab can increase us "house" maintenance margin requirements at any time without advance written notice to you.
 Market Price: The most recent price evaluation available to Schwab on the last business day of the report period, normally the last trade

 Scuritics, you may see that the value credicted on your monthly account statement for this security is unpriced. NASD rules require that certain Linuted Partnerships (direct participation programs) and that certain Linuted Partnerships (direct participation programs) and y Real Estate Investment Trust (REIT) securities. In thave not been priced within 18 months, must show as unpriced on customer statements. Note that these securities are greenerally illiquid, the value of the securities are currate valuation information may not be available. Market Value The Market Value is computed by multiplying the Market Price by the Quantity of Shures. This is the dollar value of of the Market Value summed over multiple accounts.
 Non-Publicly Traded Securities: All assets shown on this statement, other than certain direct investments which may be held by a third party as provided by a third party as provided by a third party as provided by a structed by a third party is provided by a structure of securities investion of summary for the accuratey or imperiation. Schwab shall have no tesponsibility for the accurate Corporation (SIPC) does not cover many limited price or bid as of market close. Unpriced securities denote thal no market evaluation update is currently available. Frice evaluations are obtained from outside parties Schwab shall have no responsibility for the accuracy or timeliness of any such valuations. Pricing of assets not beid at Schwab is for informational purposes only. Some securities, especially thinly traded equities in the OTC market of foreign markets, may not report the most current price and are indicated as Stale Priced. For Linited Partnerships and Real Estate Investment Trust (REIT) accuration was used that the value reflected on your barefully.

for insking investment decisions. Fees and Charges: For those fees described in the statement as "Fee to Advisor", as authorized by your Schwab debited your Account to pay the fees as instructed by your Advisor. It is your responsibility, and not Schwab's, to verify the accuracy of the fees. Margin interest charged to your Account during the statement period is included in this

transactions will be furnished upon written request. Estimated Annual Income: Estimated annual income is derived from mformation provided by outside parties. Schwab cannot guarantee the accuracy of such information. Since the interest and dividends are

subject to change at any time, they should not be relied upon exclusively

were effected by Schwab acting as a principal for its own account, ex for the reinvestment of Schwab dividends, for which an independent

except

Dividend Reinvestment Customers: Dividend reinvestment transactions

broker-dealer acted as the buying agent. Further information on these

segregated and may be used in the conduct of this firm's business. Current Vield: Annual dividend paid on an equity divided by the

current market price,

Option Customersts. Difference of the contraction of option transactions have been included in confirmations of such transactions previously furnished to you and will be made available promptly upon request 2) you should advise us promptly of any material changes in your investment objectives or financial situation 3) Exercise assignment unices for the option contracts are allocated among customer short positions pursuant to an automated procedure which randomly selects for manong all customer short option positions those contracts which are subject to

 exercise, including positions established on the day of assignment
 4) Realized ganzloss of underlying securities is adjusted to reflect the premiums of assigned on exercised options. Please consult your tax advisor or IRS publication 550, Investment Income and Expenses, for additional information on Options.
 Schwab Sweep Money Fands: Includes the primary money market funds into which Free Credit Balances may be automatically invested prismant to your Account Agreement. Schwab or an affiliate acts and neceives compensation as the Investment Advisor, Transfer Agent, Shareholder Service Agent and Distributor for the Schwab Sweep Money Funds. The amount of such compensation is disclosed in the prospectus. The yield information for Schwab Sweep Money Funds is the current 7-day yield as of the statement period. Yields vary, If on any given day, the accrued daily dividend for your scleeted sweep money fund as calculated for your account is less than ½ of 1 cent [20,045], your account will not earn a dividend for that day. In addition, if you do not accrue at least 1 daily dividend of \$0.01 during

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(10) Account Value. (11) Yeld to Maturity: This is the actual average annual return on a note if led(to maturity: Gain (or Loss) and Realized Gain or (Loss) and Realized Gain or (Loss) sections ("Gain/Loss Section(s)") contain a gain or a loss summary of your Account. This information has been provided on this sutement at the request of your Advisor. This information is not a solicitation or a recommendation to buy or set!. It may, however, be lepfoil for investment and encourages you to consult with your tax professional. Please view the Cost Basis Disclosure Statement and how gain (or loss) is calculated and how Schwab reports adjusted cost basis information to the IRS. Accrued income the two sites and/or accuut, but the interest and/or accured dividends on positions held in your Account, but the interest and/or accured dividends on positions in the line adjusted cost basis information to a laserned and unit actually received amounts shown (or any other amount) will be received. Accrued amounts are not convered by SIPC account, but the interest and/or accured. Account and amounts are not convered by SIPC account is not on the received and held in the Account. Securities Products and Services: Securities products and services are offered by Charles Schwab & Co., Inc., Member SIPC. Securities products and services, including unswept intraday funds and net credit balances held in brokerage accounts are not deposits or other obligations of, or guaranteed by, any bank, are not FDIC insured, and are subject to investment risk and may lose value. SIPC does not cover balances held at banks affiliated with Schwab in the Bank Sweep and Bank Sweep for Benefit Plans features. Short Positions: Securities sold short will be identified through an "S" in Investment Detail. The market value of these securities will be expressed as a debit and be netted against any long positions in Total IN CASE OF COMPLAINTS: If you have a complaint regarding your Schwab statement, products or services, please write to the Clicit Advocacy Team at Charles Schwab & Co., Chenton: Clicit Advocacy Team, 211 Main St., San Francisco, CA 94105, USA, or call Schwab Signature Alliance at 800-515-2157. error or discrepancy relating to your brokerage activity (other than an electronic fund transfer) you must notify us promptly, but no fater than 10 days after this statement is sent or made available to you. If this statement shows that we have malled or delivered security certificate(s) that you have not received, notify Schwab immediately. You may call us at 800-515-2157. Any oral communications should be re-confirmed in writing to further protect your rights, including rights under the Securities Investor Protection Act (SIPA). If you do not so notify us, you agree that the statement (SIPA). If you do not so notify us, you agree that the statement activity and Account balance are correct for all purposes with a pay period, you will not receive a money market dividend for that period. Schwab and the Schwab Sweep Money Funds investment advisor may be voluntarily reducing a portion of a Schwab Sweep Money Fund's expenses. Without flese reductions, yields would have been respect to those brokerage transactions. IN CASE OF ERRORS OR DISCREPANCIES: If you find an Terms and Conditions (continued) SCHWAB charles U/A DTD 03/19/2014 SPUUSE TTEE Address Changes: If you fail to notify Schwab in writing of any change of address or phone number, you may not receive important notifications about your Account, and trading or other restrictions might be placed on (1017-7MAX) your Account **REVOCABLE TR**

Account Number

Schwab One® Trust Account of

December 1-31, 2020 Statement Period

Additional Information: We are required by law to report to the Internal Revenue Service adjusted cost basis unformation (if applicable), certain payments to you and credits to your Account during the calendar year. Retain this statement for income tax purposes. A financial statement for your supperion is available at Schwab's offices or a copy will be mailed to you upon written request. Any third party trademarks appearing herein are the property of their respective owners. Schwab and Charles Schwab Bank are affiliates of each other and subsidiaries of the Charles Schwab Corporation.

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 23% Bond Funds 76% Equity Funds 1% Cash, MMFs [Sweep] 	10076	\$ 1,90Z,013.Z7	rotal Account value
	1000/	4 1,904,019.21	Total Assets Luig
	%01	1,482,121.15	Total Access Long
	23%	451,443.71	Bond Funds
	1%	\$ 28,647.81	Cash and Money Market Funds [Sweep]
Overview	% of Account Assets	Market Value	Asset Composition
3120 6/20 9/20 12/20			
	\$ 163,517.03	\$ 61,930.52	Total Change in Account Value
350	\$ 1,962,819.27	\$ 1,962,819.27	Ending Value on 12/31/2020
700	156,123,43	56,061.96	Change in Value of Investments
1050	(45,036.07)	(1,702.25)	Income Reinvested
1400	0.00	0.00	Transfer of Securities (In/Out)
1750	(13,569.55)	0.00	Debits
2100	65,999.22	7,570.81	Credits
	\$ 1,799,302.24	\$ 1,900,888.75	Starting Value
Year to Date Account Value [in Thousands]	Year to Date	This Period	Change in Account value

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U/A DTD 03/19/2014

REVOCABLE TR

Account Number

Statement Period December 1-31, 2020

Schwab One® Trust Account of S.f.OUSE TTEE

Your Independent Investment Advisor is not affinited with or an agent of Schwab and Schwab does not supervise or endorse your Advisor Page 4 of 9

PRIVATE WEALTH MANAGEMENT

CIBC PRI

Schwab One® Trust Account of グア04 <i>ダゼ</i> TTEE REVOCABLE TR U/A DTD 03/19/2014	Account Number	Statement Period December 1-31, 2020
Realized Gain or (Loss) This Per	riod	Unrealized Gain or (Loss)
Short Term	Long Term	
\$0.00	\$0.00	\$403,509.02
adjusted in certain cases, Statement information	should not be used for tax prepara	tion, instead refer to official tax documents
This Period	Y	Year to Date
Federally Tax-Exempt ¹ Federally Taxable	Federally Tax-Exempt ¹	Federally Taxable
0.00 0.40	0.00	82.06
918.42 6,192.10	9,958.47	12,246,64
0.00 459.89	0.00	43,712.05
	0 050 17	
918.42 6,652.39	2,900.47	56,040.75
Bdvisor.		56,040.75
	This Period	56,040.75 Year to Date
		56,040.75 Year to Date \$ 31,862.61
		56,040.75 Year to Date \$ 31,862.61 0.00
		56,040.75 Year to Date \$ 31,862.61 0.00 584,339.54
		56,040.75 Year to Date \$ 31,862.61 0.00 584,339.54 65,999.22
		56,040.75 Year to Date \$ 31,862.61 0.00 584,339.54 65,999.22 0.00
		56,040.75 Year to Date \$ 31,862.61 0.00 584,339.54 65,999.22 0.00 (639,984.01)
		56,040.75 Year to Date \$ 31,862.61 0.00 584,339.54 65,999.22 0.00 (639,984.01) (13,569.55)
		56,040.75 Year to Date \$ 31,862.61 0.00 584,339.54 65,999.22 0.00 (639,984.01) (13,569.55) (3,214.80)
	rt of TEE EVOCABLE TR Realized Gain or (Loss) This Pel Short Term \$0.00 djusted in certain cases. Statement information djusted in certain cases. Statement information djuste	CABLE TR Account Number Realized Gain or (Loss) This Period Long Term Short Term Long Term \$0.00 \$0.00 \$0.00 \$0.00 din certain cases. Statement information should not be used for tax prepared for the statement information should not be used for tax prepared for the statement information should not be used for tax prepared for the statement information should not be used for tax prepared for the statement information should not be used for tax prepared for the statement information should not be used for tax prepared for the statement information should not be used for tax prepared for the statement information should not be used for tax prepared for the statement information should not be used for tax prepared for the statement information should not be used for tax prepared for the statement information should not be used for tax prepared for the statement information should not be used for tax prepared fo

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings.

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scharles SCHWAB ULA	Schwab One® Trust Account of SptuSE REVO U/A DTD 03/19/2014	aunt of TTEE REVOCABLE TR		Account Number	Statement Period December 1-31, 2020	1, 2020
Investment Detail - Cash and Money Market Funds [Sweep]	ey Market Func	¹s [Sweep]				
Cash			Starting Balance	Ending Balance	% of Account Assets	
Cash			786,95	918.42	<1%	
Total Cash			786.95	918.42	<1%	
Money Market Funds [Sweep]	s	Quantity	Market Price	Market Value	% of Account Assets	
SCHWAB GOVT MONEY FUND: SWGXX		27,729.3900	1.0000	27,729.39	1%	
Total Money Market Funds [Sweep]				27,729.39	1%	
Total Cash and Money Market Funds [Sweep]	5			28,647.81	1%	
Investment Detail - Mutual Funds						
Bond Funds	Quantity	Market Price	Market Value	Cost Basis	Unrealized Gain or (Loss)	% of Account Assets
T. ROWE PRICE SUMMIT MUN ICIPAL INTM INV SYMBOL: PRSMX	36,436.1350	12.39000	451,443.71	434,782.38	16,661,33	23%
Total Bond Funds	36,436.1350		451,443.71		10 001 22	
Equity Funds BOSTON COMMON ESG IMPACT	Quantity 7,824.1390	Market Price		434,782.38	10,001.33	23%
INTL SYMBOL: BCAIX		37.33000	Market Value 292,075.11	434,782.38 Cost Basis 200,000.00	Unrealized Gain or (Loss) 92,075.11	23% % of Account Assets 15%

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1,530,662.44	Total Cost Basis	Total				
1,962,819.27	Total Account Value	Total Acc				
1,962,819.27	ment Detail	Total Investment Detail				
%66	403,509.02	1,530,662.44	1,934,171.46		74,234.2500	Total Mutual Funds
76%	386,847.69	1,095,880.06	1,482,727.75		37,798.1150	Total Equity Funds
2%	9,454.11	36,713.21	46,167.32	15,99000	2,887.2620	PAX.SMALL CAP INSTITUTIO NAL SYMBOL: PXSIX
5%	9,922.33	80,015.00	89,937.33	30,50000	2,948.7650	PAX ELLEVATE GLBL WOMENS LDRSP INST SYMBOL: PXWIX
44%	233,033.78	630,167.30	863,201.08	53,75000	16,059.5550	PARNASSUS CORE EQUITY IN STITUTIONAL SYMBOL: PRILX
5%	18,441,16	80,000,00	98,441,16	66,94000	1,470.5880	HARDING LOEVNER EMERGING MARKETS ADVISOR SYMBOL: HLEMX
5%	23,921,20	68,984.55	92,905.75	14,06000	6,607.8060	CIBC ATLAS INCOME OPPORT UNITIES INSTL SYMBOL: AWIIX
% of Account Assets	Unrealized Gain or (Loss)	Cost Basis	Market Value	Market Price	Quantity	Equity Funds (continued)
					ds (continued)	Investment Detail - Mutual Funds (continued)

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> Schwab One® Trust Account of *STOUSE* TTEE 'REVOCABLE TR U/A DTD 03/19/2014

> > Account Number

Statement Period December 1-31, 2020

<i>charles</i> scriwab	Schwab One® Trust Account of <i>\$₽₽U\$€</i> TTEE ' REVOCABLE TR U/A DTD 03/19/2014	Account Number	Statement Period December 1-31, 2020	^{yd} ∶31, 2020
Transaction Detail - Purchases & Sales	& Sales			
Bond Funds Activity				
Settle Date Trade Date Transaction	Description	Quantity	Unit Price	Total Amount
12/08/20 12/08/20 Reinvested Shares	ST. ROWE PRICE SUMMIT MUN ICIPAL INTM INV: PRSMX	0.2940	12.3700	(3.64)
Total Bond Funds Activity				(3.64)
Equity Funds Activity				
Settle Date Trade Date Transaction	Description	Quantity	Unit Price	Total Amount
12/22/20 12/22/20 Reinvested Shares	S PAX SMALL CAP INSTITUTIO NAL: PXSIX	78.2260	15.8300	(1,238.32)
12/22/20 12/22/20 Reinvested Shares	IS PAX SMALL CAP INSTITUTIO NAL: PXSIX	29.0520	15.8300	(459.89)
Total Equity Funds Activity				(1,698.21)
Total Purchases & Sales	Total Purchases & Sales			(1,701.85)
Transaction Process Date Date Activity	Description			Credil/(Debit)
12/08/20 12/08/20 Sttm Cap Gn Rein 12/15/20 12/15/20 Cash Dividend	T. ROWE PRICE SUMMIT MUN: PRSMX HARDING LOEVNER EMERGING: HLEMX			3.64 319.23

459.89	PAX SMALL CAP INSTITUTIO; PXSIX	12/22/20 LT Cap Gain Rein	12/22/20	12/22/20
563.98	PAX ELLEVATE GLBL WOMEN": PXWIX	12/22/20 Cash Dividend	12/22/20	12/22/20
1,785,82	PARNASSUS CORE EQUITY IN: PRILX	12/17/20 Cash Dividend	12/17/20	12/17/20
1,846.32	BOSTON COMMON ESG IMPACT: BCAIX	12/17/20 Cash Dividend	12/17/20	12/17/20
319.23	HARDING LOEVNER EMERGING: HLEMX	Cash Dividend	12/15/20	12/15/20
3.64	T. ROWE PRICE SUMMIT MUN; PRSMX	12/08/20 Sttm Cap Gn Rein	12/08/20	12/08/20
Credit/(Debit)	Description	Activity	Date	Date
			n Process	Transaction Process

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	Account Number	Statement Period December 1-31, 2020	1, 2020
-und dividends	reinvested) (continu	ued)	
			Credil/(Debit)
			1,238.32
			434.79
SCHWAB GOVT MONEY FUND: SWGXX			0.40
T. ROWE PRICE SUMMIT MUN; PRSMX			918.42
			7,570.81
Total Transactic	n Detail		5,868.96
Quantity	Unit Price Pr	Jrchase/Debit	Sale/Credit
786.9500	1.0000	786.95	
319.2300	1.0000	319.23	
3,632.1400	1.0000	3,632.14	
563.9800	1.0000	563.98	
434.7900	1.0000	434.79	
0.4000	1.0000	0.40	
		5,737.49	
		5,737.49	
	Total Transactio	Total Transaction Detail Price Price 786.9500 1.0000 563.9800 1.0000 434.7900 1.0000 1.0000 0.4000 1.0000	Account Number und dividends reinvested) (continued) Total Transaction Detail Quantity Unit Price 786.9500 1.0000 3,632.1400 1.0000 434.7900 1.0000 0.4000 1.0000 5.7

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 and not Schwab's, to verify the accuracy of the fees. Margin interest, and not Schwab's, to verify the accuracy of the fees. Margin interest, and not Schwab's, to verify the accuracy of the fees. Margin interest, and not Schwab's, to verify the accuracy of the fees. Margin interest, and instances in the statement.
 Interest: For the Schwab one interest, Bank Sweep, and Bank's Weep for Benefit Plans features, interest is paid for a period that differs from the statement Period. Balances include interest paid as indicated on your statement by Schwab or one or more of its affiliated banks. These Statement Period after interest is paid. The interest paid mary include interest that accrued in the more Statement Period. For the Schwab One finterest that may have accrued the prior month and is posted on the second-to-last business day of the prior month and is posted on the second-to-last business day of the prior month and is posted on the second-to-last business day of the prior month and is circle feature, interest feature in the Schwab One first business day off the prior month and is circle feature interest feature interest feature interest feature interest feature interest on the second-to-last business day off the prior month and is circle feature interest of the free Credit Balances in the Schwab One interest features interest on that accrue even if the amount is less than \$2005.
 Margin Account Customers: This is a conthined stature, interest feature on that accrue even if the amount is less than \$2005. margin account and special memorandum account nanwamed for you under Section 220.5 of Regulation T issued by the Board of Governoss of the Federal Reserve System. The permanent record of the separate account as required by Regulation T is available for your mspection. Securities purchased on margin are Schwab's collateral for the loan to you. It is unportant that you fully understand the risks involved in trading securities on margin. These risks include:
You can lose more the sale of securities or other assets in any of Schwab can force the sale of securities or other assets in any of the securities or other securities or oth subject to change at any time, they should not be relied upon exclusively for making investment decisions. Fees and Charges: For those fees described in the statement as "Fee

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- You are not entitled to choose which assets are liquidated nor are you entitled to an extension of time on a margin call. Schwab can increase its "house" maintenance margin requirements

at any time without advance written notice to you. Market Price: The most recent price evaluation available to Schwab on the last business day of the report period, normally the last trade

may not cyorr the most current price and are indicated as Sfale Priced.
For Limited Parinerships and Real Estate Investment Trust (REIT) securities, you may see that the value reflected on your monthly account statement for this security is unpriced. NASD rules require that certain Limited Parinerships (direct participation programs) and Real Estate Investment Trust (REIT) securities. That for this security is unpriced on customer statements, Note that these securities are generally illiquid, the value of the securities will be different than is purchase price, and, if applicable, that accurate values The Quantity of Shares. This is the dollar value of your present holdings in your specified Schwab Account or a summary of the Market Value Summed Securities. All assets show on this statement, other than certain direct investments which may be held by a third party, are held in your Account. Values of certain Non-Publicly price or bid as of market close. Unpriced securities denote that no market evaluation update is currently available. Price evaluations are obtained from outside parties. Schwab shall have no responsibility for the accuracy or inneliness of any such valuations. Pricing of assets not held at Schwab is for informational purposes only. Some securities, especially thinly traded equities in the OTC market or foreign markets. Traded Securities' may be furnished by a third party as provided by Schwab's Account Agreement. Schwab shall have no responsibility for the accuracy or timeliness of such valuations. The Securities Investor Protection Corporation (SIPC) does not cover many limited

y Option: Customers: Be aware of the following: I) Commissions and other charges related to the execution of option transactions have been included in confirmations of such transactions previously furnished to you and will be made available prioring! Upper request 2) You should advise us promptly of any material charges in your investment objectives or financial situation 3) Exercise assignment notices for the option contracts are allocated among customly selects from among all customer short option positions established on the day of assignment of a subject to exercise, including positions established on the day of assignment at available premium soft assigned or exercised options. Please consult your has adjusted gam/based publication 550, lawes the primary money market finds into which Free Credit Balances may be automatically invested prinsuant to your Account Agreement. Schwab or an affiliate acts and receives compensation as the investment for the Schwab Sweep Money Funds. The unount of such compensation is described in the prespectus. The yield information for Schwab Sweep Money Funds is the current 7-day yield as of the schwab for yields vary. If on any given day, the accrued for your around a for the schwab for yields.

sweep money fund as calculated for your account is less than ½ of 1 cent (\$0.005), your account will not earn a dividend for that day. In addition, if you do not accrue at least 1 daily dividend of \$0.01 during

SIPC has taken the position than it will not cover the balances held in your deposit accounts mavianed under programs like our Bank Sweep feature. Please see your Cash Feature Disclosure Statement for more information on insurance coverage. © 2016 Charles Schwab & Co. Inc. "Schwab", Maring traveners lifeC. This statement is furnished solely for your account as Schwab. Except as noted in this statement's Terms and Conditions. Investment Advisors whose names appear in this statement are not attianted with Schwab. Please see Terms and Conditions. (0616-1204)

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SCHWAI charles

5⁰0456 CHARLES SCHWAB & CO INC CUST Contributory IRA of IRA CONTRIBUTORY

Account Number

December 1-31, 2020 Statement Period

on a note if held to maturity Gain (or Loss) sections (Coss) and Realized Gain or (Loss) sections (Cain/Loss Sections)?) contain a gain or a loss summary of your Account. This information has been provided on this statement at the request of your Advisor. This information is not a solicitation or an recommendation to buy or sell. It may, however, be helpful for investment and tax planning strategies. Schwab does not provide tax advice and encourages you to censuit why your (ray professional. Please view the Cost Basis Disclosure Statement for additional information on how gain (or loss) is calculated and how Schwab reports adjusted cost basis information to the IRS. Accrued Income: Accrued Income is the sum of the total accrued interest and/or accrued dividends on positions held in your Account, but the interest and/or dividends have not been received into your solution other annount) will be received. Accrued another announts slown (or any other annount) but the received. Accrued another the announts slown (or any other annount) will be received and held in the Account. Securities Products and Services: Securities products and services are offered by Charles Schwab & Co., Inc., Member SIPC Securities products and services, including unswept intrady funds and net credit balances held in brokerage accounts are not deposits or other obligations of, or guaranteed by, any bank, are not EDIC insured, and are subject to investment risk and may lose value. SIPC does not cover balances held at banks affiliated with Schwab in the Bank Sweep and Bank Sweep for Benefit Plans features. Short Positions: Securities sold short will be identified through an "S" in Investment Detail. The market value of these securities will be expressed as a debit and be netted against any long positions in Total Account Value. Vield to Maturity: This is the actual average annual return error or discrepancy relating to your brokerage activity (other than an electronic fund transfer) you must notify us promptly, but no haer than 10 days after (this statement is sent or made available to you. If this statement shows that we have malled or delivered scurity certificate(s) that you have not received, notify Schwab immediately. You may call us at 800-515-2127. Any oral communications should be re-confirmed in writing to further protect your rights, including rights under the Securities Investor Protection Act (SIPA). If you do not so notify us, you agree that the statement activity and Account balance are correct for all purposes with reserved to the ab before an experiment. a pay <u>period</u> you will not receive a money market dividend for that period. Schwab and the Schwab Sweep Money Funds investment advisor may be voluminily reducing a portion of a Schwab Sweep Money Fund's expenses. Without these reductions, yields would have been respect to those brokerage transactions. IN CASE OF ERRORS OR DISCREPANCIES: If you find an Terms and Conditions (continued) UNACL SCHWAB charles SPOUSE CHARLES SCHWAB & CO INC CUST IRA CONTRIBUTORY

IN CASE OF COMPLAINTS: If you have a complaint regarding your Schwab statement, products or services, please write to the Client Advocacy Team at Charles Schwab & Co., Inc., Attention: Client Advocacy Team, 211 Main St., San Francisco, CA 94105, USA, or call Schwab Signature Alliance at 800-515-2157.

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Contributory IRA of

Account Number

December 1-31, 2020 Statement Period

Additional Information: We are required by law to report to the Internal Revenue Service adjusted cost basis information (if applicible), certain payments to you and credits to your Account during the calendar year. Retain this statement for income tax purposes. A financial statement for your inspection is available at Schwab's offices or a copy will be mailed to you upon written request. Any third party trademarks appearing herein are the property of their respective owners. Schwab and Charles Schwab Bank are affiliates of each other and subsidiaries of the Charles Schwab Corporation.

(1017-7MAX)

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	Total Account Value	Total Assets Long	Equity Funds	Bond Funds	Cash and Bank Sweep ^{xz}	Asset Composition		Total Change in Account Value	Ending Value on 12/31/2020	Change in Value of Investments	Income Reinvested	Transfer of Securities (In/Out)	Debits	Credits	Starting Value	Change in Account Value		<i>charles</i> schwab
	\$ 120,277.82	\$ 120,277.82	103,210.19	15,739.36	\$ 1,328.27	Market Value		\$ 4,664.27	\$ 120,277.82	4,258.12	(544.50)	0.00	0.00	950.65	\$ 115,613.55	This Period		Contributory IRA of ເລັດ ເລີຍເປັນ CHARLES SCHWAB & CO INC CUST IRA CONTRIBUTORY
	100%		86%	13%	1%	% of Account Assets		\$ 12,809.09	\$ 120,277.82	11,843.92	(2,954.58)	0.00	0.00	3,919.75	\$ 107,468.73	Year to Date		CO INC CUST
 13% Bond Funds 86% Equity Funds 1% Cash, Bank Sweep [X.Z] 	(Overview	מסבה מסבה אסרה		25	55	75	100	125	150		Account Value [in Thousands]	Account Value	Account Number
																	Account Value as of 12/31/2020:\$ 120,277.82	Statement Period

Your Independent Investment Advisor is not afiliated with or an agent of Schwab and Schwab does not supervise or endorse your Advisor Page 4 of 11

CIBC PRIVATE WEALTH MANAGEMENT

CHARLES SCHWAB & CO INC CUST	Annun Mumher	Statement Period December 1-31, 2020
Gain or (Loss) on Inv		Unrealized Gain or (Loss)
This Peric	X	
	\$145.56	\$18,831.47
Values may not reflect all of your gainsfosses. Cost basis may change and be adjusted in certain case. For additional gain or (loss) information refer to Terms and Conditions.	s. Statement information should not be used for tax pre	paration, instead refer to official tax documents
This Period	Year To Date	
0.01	0.59	
692.63	1,251.07	
258,01	2,668.09	
69.066	3,919.75	
Cash Transactions Summary	This Period	Year to Date
	\$ 922.12	\$ 2,811.13
	0.00	0.00
	5,000.00	71,611.97
	950.65	3,919.75
	0.00	0.00
	(5,544.50)	(77,014.58)
	0.00	0.00
	406.15	(1,482.86)
	\$ 1,328.27	\$ 1,328.27
	- SPUSE CHARLES SCHWAB & CO INC CUST IRA CONTRIBUTORY Gain or (Loss) on In This Pariod 0.01 692.63 258.01 950.65	IWAB & CO INC CUST Arrows Mumba Gain or (Loss) on Investments Sold S145.56 This Period \$145.56 and be adjusted in certain cases. Statement information should not be used for tax 1s Period Year To Date 0.01 0.59 692.63 1.251.07 258.01 2.668.09 950.65 This Period 1 State 1 1.251.07 258.01 2.668.09 950.65 0.00 50.00 0.00 50.00 0.00 50.00 950.65 0.00 5.000.00 50.00 0.00 50.00 0.00 50.00 0.00 50.00 0.00 50.00 0.00 50.00 0.00 50.00 0.00 51.328.27 3.328.27

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.

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charles SCHWAB IRA	Contributorv iRA مر چېدنونځو CHARLES SCHWAB & CO INC CUST IRA CONTRIBUTORY	CO INC CUST		Accourt Number	Statement Period December 1-31, 2020	2020
Investment Detail - Cash and Bank Sweep	Sweep					
Cash			Starting Balance	Ending Balance	% of Account Assets	
Cash			41.46	37.61	<1%	
Total Cash			41.46	37.61	<1%	
Bank Sweep			Starting Balance	Ending Balance	% of Account Assets	
Bank Sweep XZ			880.66	1,290.66	1%	
Total Bank Sweep			880.66	1,290.66	1%	
Total Cash and Bank Sweep				1,328.27	1%	
Investment Detail - Mutual Funds						
Bond Funds VANGUARD INTERM-TERM INV ESTMENT-GRDE ADM SYMBOL: VFIDX	Quantity 1,503.2820	Market Price 10,47000	Market Value 15,739,36	Cost Basis 15,559.50	Unrealized Gain or (Loss) 179.86	% of Account Assets 13%
Total Bond Funds	1,503.2820		15,739.36	15,559.50	179.86	13%
Equity Funds	Quantity	Market Price	Market Value	Cost Basis	Unrealized Gain or (Loss)	% of Account Assets
BOSTON COMMON ESG IMPACT INTL SYMBOL: BCAIX	630.7710	37.33000	23,546.68	17,000.09	6,546,68	20%
Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.	wherever possible for m xplanation of the endnote	ost investments. Cost codes and symbols o	basis data may be incomple in this statement.	ale or unavailable for some	of your holdings.	Page 6 of 11

120,277.82 100,118.08	ıl Account Vaiue Total Cost Basis	Total Account Vaiue Total Cost Basis				
120,277.82	ent Detail	Total Investment Detail				÷
%66	18,831.47	100,118.08	118,949.55		3,869,4020	Total Mutual Funds
86%	18,651.61	84,558,58	103,210.19		2,366.1200	Total Equity Funds
3%	796,63	3,160.56	3,957,19	15.99000	247.4790	PAX SMALL CAP INSTITUTIO NAL SYMBOL: PXSIX
8%	1,102.95	9,015,00	10,117.95	30,50000	331.7360	PAX ELLEVATE GLBL WOMENS LDRSP INST SYMBOL: PXWIX
40%	7,715.87	40,383.02	48,098.89	53,75000	894,8630	PARNASSUS CORE EQUITY IN STITUTIONAL SYMBOL: PRILX
15%	2,489.48	15,000.00	17,489.48	66.94000	261.2710	HARDING LOEVNER EMERGING MARKETS ADVISOR SYMBOL: HLEMX
% of Account Assets	Unrealized Gain or (Loss)	Cost Basis	Market Value	Market Price	Quantity	Equity Funds (continued)
G.					Inds (continued)	Investment Detail - Mutual Funds (continued)
od -31, 2020	Statement Period December 1-31, 2020	Accourt Number		& CO INC CUST	SPOUSE SHOUSE CHARLES SCHWAB & CO INC CUST IRA CONTRIBUTORY	<i>charles</i> SCHWAB

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.

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<i>charles</i> SCHWAB		70 0	Contributory IRA of SPt USE CHARLES SCHWAB (IRA CONTRIBUTORY	Contributory IRA_of SPEUSE CHARLES SCHWAB & CO INC CUST IRA CONTRIBUTORY	IC CUST		Account Number	Statement Period December 1-31, 2020	riod 1-31, 2020
Gain or (I	Loss) on	Gain or (Loss) on Investments Sold	old						
Investments	(A)			Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Gain or (Loss)
VANGUARD I ADM: VFIDX	INTERM-TE	VANGUARD INTERM-TERM INVESTMENT-GRDE ADM: VFIDX	RDE	469,1300	05/27/20	12/08/20	5,000.00	4,854,44	145.56
Total Gain	or (Loss) o	Total Gain.or (Loss) on Investments Sold					5,000.00	4,854.44	145.56
Schwab has pi investment is n Option Custom Expenses, for i	ovided accurr of available, f ers: Gain/los additional info	Schwab has provided accurate realized gain and loss information investment is not available, the investment will not be listed here option Customers: Gain/loss on investments sold is adjusted to Expenses, for additional information on Options.	information wi listed here adjusted to refl	herever possible for n ect the premiums of a	nost investments Issigned or exen	, Cost basis dat cised options. Pi	Schwab has provided accurate realized gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. If all data for a given investment is not available, the investment will not be listed here. Delion Customers: Gain/loss on investments sold is adjusted to reflect the premiums of assigned or exercised options. Please consult IRS publication 550, investment income and Expenses, for additional information on Options.	for some of your holdin nvestment Income and	gs. If all data for a give
Transacti		Transaction Detail - Purchases &	Sales						
Bond Funds Activity	on Detai		Calco						
	on Detai s Activity								
Settle Date	on Detai s Activity Trade Date		Description				Quantity	Unit Price	Total Amount
Settle Date 12/09/20	on Detai s Activity Trade Date 12/08/20		Description VANGUAR ESTMENT-	Sales Description VANGUARD INTERM-TERM INV ESTMENT-GRDE ADM: VFIDX	× N		Quantity (469.1300)	Unit Price 10.6900	Total Amount 5,000.00
Settle Date 12/09/20 12/29/20	on Detai s Activity Trade Date 12/08/20 12/29/20		Description VANGUAR ESTMENT- VANGUAR ESTMENT-	Sales Description VANGUARD INTERM-TERM INV ESTMENT-GRDE ADM: VFIDX VANGUARD INTERM-TERM INV ESTMENT-GRDE ADM: VFIDX	×v		Quantity (469.1300) 17.2580	Unit Price 10.6900 10.4500	Total Amount 5,000.00 (180.35)
Settle Date 12/09/20 12/29/20 12/29/20	on Detai s Activity Trade Date 12/08/20 12/29/20		Description VANGUAR ESTMENT- VANGUAR ESTMENT- VANGUAR	Sales Description VANGUARD INTERM-TERM INV ESTMENT-GRDE ADM: VFIDX VANGUARD INTERM-TERM INV ESTMENT-GRDE ADM: VFIDX VANGUARD INTERM-TERM INV	× N × N × N		Quantity (469.1300) 17.2580 20.9180	Unit Price 10.6900 10.4500	Total Amount 5,000.00 (180.35) (218.59)
Settle Date 12/09/20 12/29/20 12/29/20 Total Bon	ansaction Detail - P ind Funds Activity Ittle Date Trade Date Tran 12/08/20 Sold 29/20 12/29/20 Rein 29/20 12/29/20 Rein 29/20 12/29/20 Rein		Description VANGUAR ESTMENT- VANGUAR ESTMENT- VANGUAR ESTMENT-	d Interm-Term Grde Adm: VFID D Interm-Term Grde Adm: VFID D Interm-Term Grde Adm: VFID	×w×v×v		Quantity (469.1300) 17.2580 20.9180	Unit Price 10.6900 10.4500 10.4500	Total Amount 5,000.00 (180.35) (218.59) 4,601.06
Settle Date Trade Date 12/09/20 12/08/20 12/29/20 12/29/20 12/29/20 12/29/20 12/29/20 12/29/20 Total Bond Funds Activity Equity Funds Activity	on Detai s Activity Trade Date 12/08/20 12/29/20 12/29/20 d Funds Ac		Description VANGUAR ESTMENT- VANGUAR ESTMENT- VANGUAR ESTMENT-	d Interm-Term Grde Adm: VFID D Interm-Term Grde Adm: VFID D Interm-Term Grde Adm: VFID	× v × v		Quantily (469.1300) 17.2580 20.9180	Unit Price 10.6900 10.4500	Total Amount 5,000.00 (180.35) (218.59) 4,601.06
Settle Date 12/09/20 12/29/20 12/29/20 Total Bon Equity Fund Settle Date	ion Detai s Activity Trade Date 12/08/20 12/29/20 12/29/20 12/29/20 ds Activity Trade Date		Description VANGUAR ESTMENT- VANGUAR ESTMENT- VANGUAR ESTMENT-	d interm-term Grde Adm: VFID Interm-term Grde Adm: VFID D Interm-term Grde Adm: VFID	× W × W		Quantity (469.1300) 17.2580 20.9180	Unit Price 10.6900 10.4500 10.4500	Total Amount 5,000.00 (180.35) (218.59) (218.59) Total Amount

Schwab has provided accurate gain and toss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.

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Quantity Unit Price Total Amount 6.7050 15.8300 (106.14) 2.4900 15.8300 (39.42) 2.4900 15.8300 (39.42) (5.145.56) (5.145.56) (5.145.56) (5.145.56) (5.145.56) (5.145.56) ScAix Jremovel (5.145.56) Nix Jremovel (5.145.56) Nix ScAix ScAix Jremovel Account number ScAix Nix ScAix ScAix Jremovel ScAix ScAix ScAix ScAix ScAix Jreadition ScAix ScAix ScAix ScAix ScAix Jreadition ScAix ScAix ScAix ScAix ScAix <th>Rein VANGUARD INTERM-TERM INV: VFIDX Rein VANGUARD INTERM-TERM INV: VFIDX VANGUARD INTERM-TERM INV: VFIDX</th> <th></th> <th></th>	Rein VANGUARD INTERM-TERM INV: VFIDX Rein VANGUARD INTERM-TERM INV: VFIDX VANGUARD INTERM-TERM INV: VFIDX		
y Unit Price Total 0 15.8300 (5 (5)		Total Dividends & Interest	Total D
y Unit Price Total 0 15.8300 (5 (5)			120020
y Unit Price Total 0 15.8300 (5 (5)		10/21/20	49/24/20
y Unit Price Total 0 15.8300 (5 (5)			12/29/20
y Unit Price Total 0 15.8300 (5 (5)		0 12/29/20 Sttm Cap Gn Rein	12/29/20
y Unit Price Total 0 15.8300 (5 (5, 10) いからよう	Rein PAX SMALL CAP INSTITUTIO: PXSIX	0 12/22/20 Sttm Cap Gn Rein	12/22/20
y Unit Price Total 0 15.8300 (5 (5, 15, 15, 15, 15, 15, 15, 15, 15, 15, 1	Rein PAX SMALL CAP INSTITUTIO: PXSIX	0 12/22/20 LT Cap Gain Rein	12/22/20
y Unit Price Total 0 15.8300 (5 (5, 10, 15, 10, 10, 10, 10, 10, 10, 10, 10, 10, 10	PAX ELLEVATE GLBL WOMEN: PXWIX	0 12/22/20 Cash Dividend	12/22/20
y Unit Price Total 0 15.8300 (5 0 15.8300 (5 (5)	PARNASSUS CORE EQUITY IN: PRILX	0 12/17/20 Cash Dividend	12/17/20
y Unit Price Total 0 15.8300 (5 0 15.8300 (5	BOSTON COMMON ESG IMPACT: BCAIX	12/17/20	12/17/20
y Unit Price Total 0 15.8300 (5	BANK INT	0 12/16/20 Bank Interest ^{X,Z}	12/15/20
y Unit Price Total 0 15.8300 (5 (5)	HARDING LOEVNER EMERGING: HLEMX	12/15/20	12/15/20
y Unit Price Total 0 15.8300 (5	Description	Date Activity	Date
y Unit Price Total 0 15.8300 (5		Transaction Process	Transacli
Unit Price Total 15.8300 15.8300 (5	Transaction Detail - Dividends & Interest (including Money Market Fund dividends reinvested)	saction Detail - Dividen	Transa
Unit Price Total 15.8300 15.8300 (5		Total Purchases & Sales	Total P
Unit Price Total 15.8300 15.8300		Total Equity Funds Activity	Total
Unit Price Total 15.8300	NAL: PXSIX	U 12/22/20 Reinvested Shares	12122120
Unit Price	1	12/22/20	12/22/20
	Description	Sate Trade Date Transaction	Settle Date
		Equity Funds Activity (continued)	Equity
	Transaction Detail - Purchases & Sales (continued)	saction Detail - Purchas	Transa

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Contributory IRA of

Account Number

Statement Period December 1-31, 2020

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Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.

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<i>charles</i> SCHWAB	Contributory IRA າ နှက်မနိုင် CHARLES SCHWAB & CO INC CUST IRA CONTRIBUTORY	5	Agreewad Alumber	Statement Period December 1-31, 2020	, 2020
Bank Sweep Activity					
Transaction Date Transaction	Description		Withdrawał	Deposit	Balance ^{x,z}
Opening Balance X,Z					880.66
12/01/20 Auto Transfer	BANK CREDIT FROM BROKERAGE ×			41.46	922.12
12/15/20 Interest Paid ^{X.Z}	BANK INTEREST			0.01	922.13
12/16/20 Auto Transfer	BANK CREDIT FROM BROKERAGE ×			56.72	978.85
				248.36	1,227.21
12/23/20 Auto Transfer	BANK CREDIT FROM BROKERAGE X			63.45	1,290.66
Total Activity			0.00	410.00	
Ending Balance ^{x,z}					1,290.66
Bank Sweep: Interest Rate as of 120 Contribution Summary	Bank Sweep: Interest Rate as of 12/31/20 was 0.01%. Your interest period was 11/16/20 - 12/15/20. ² Contribution Summary				
		2019	2020		
Traditional IRA		0.00	0.00		
Year To Date Total		0.00	0.00		
Endnotes For Your Account	count		47 11		
Symbol Endnote Legend X Bank Sweep deposits a	Endnote Legend Bank Sweep deposits are held at FDIC-insured bank(s) ("Banks") that are affiliated with Charles Schwab & Co., Inc.	with Charles Schwab & Co	, Inc.		

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Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.

Symbol Endnole Legend

Endnotes For Your Account (continued)

charles SCHWAB

Contributor In .

SPOUSE

CHARLES SCHWAB & CO INC CUST

Account Number

Statement Period December 1-31, 2020

IRA CONTRIBUTORY

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For Bank Sweep and Bank Sweep for Benefit Plans features, interest is paid for a period that differs from the Statement Period. Balances include interest paid as indicated on your statement by Schwab or one or more of its affiliated banks. These balances do not include interest that may have accrued during the Statement Period after interest is paid. The interest paid may include interest that accrued in the prior Statement Period.

4/5/2021

Filter by Transaction Types

Show Total Condensed Table view

PRIVATE WEALTH MANAGEMENT Contact your advisor Not affiliated with Schwab

Attachment F: Fauci 2020 278e Report, Section 7 Mathony S. Fauci Independent Investment Advisor* CIBC PRIVATE WEALTH ADVRS, INC IRA Contributory Transactions - 2020

1/5

Transactions From 04/05/2017 To 04/05/2021

Date v	Action	Details	Symbol	Description*	Quantity	Price	Fees & Comm	Amoun
03/31/208	Cash Dividend		VFIDX	VANGUARD INTERM-TERM INVESTMENT- GRDE ADM				\$95.03
03/31/2021	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BOND INSTL				\$63.61
03/31/2021	Reinvest Shares		VFIDX	VANGUARD INTERM-TERM INVESTMENT- GROP ADM	24,559	\$9.98		-\$245.10
03/31/2021	Reinvest Shares		VFIDX	VANGUARD INTERM-TERM INVESTMENT- GRDE ADM	7.408	\$9.98		-\$73.93
03/31/2021	Long Term Cap Gain Reinvest	/	VFIDX	VANGUARO INTERM-TERM INVESTMENT- GRDE ADM				\$245.10
03/31/2021	Short Term Cap Gain Reinvest		VFIDX	VANGUARD INTERM-TERM INVESTMENT- GRDE ADM				\$73.93
03/31/2021	Reinvest Dividend		RPIFX	T.ROWE PRICE INSTL FLOATING RATE				\$122.24
03/31/2021	Reinvest Shares		RPIFX	T. ROWE PRICE INSTL FLOATING RATE	12.55	\$9.74	,	-\$122.24

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03/30/2021	Cash Dividend		AWIIX	CIBC ATLAS INCOME OPPORTUNITIES INSTL				\$132.09
03/16/2021 as (03/11/2021	Bank Interest			BANK INT SCHWAB BANK	accoun numbe	.t	/	\$0.01
03/01/2021 as of 02/26/2021	Cash Dividend		VFIDX	VANGUARD INTERM-TERM INVESTMENT- GRDE ADM			/	\$97.85
02/26/2021	Crish Divisiend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BOND INSTL	/	/		\$100.65
02/26/2021	Reinvest Dividend		RPIFX	T. ROWE PRICE INSTL FLOATING RATE				\$37.79
02/26/2021	Reinvest Shares		RPIFX	T. ROWE PRICE INSTL FLOATING RATE	3.872	\$9.76		-\$37.79
02/16/2021	Sell	Trade Details	PIGIX	PIMCO INVESTMENT GRADI CREDIT BOND INSTL	2,356.431	\$11.04	\$15.00	\$26,000.00
02/16/2021	Buy	Trade Details	RPIFX	TROWE PRICE INSTL FLOATING PATE	3,582.395	\$9.77	\$15,00	-\$35,015.00
02/16/2021	Sell	Trade Details	AWIIX	CIBC ATLAS INCOME OPPORTUNITIES INSTL	2,110.759	\$14.22	\$15.00	\$30,000.00
02/16/2021	Buy	Trade Details	PCRIX	PIMCO COMMODITY REAL RET STRAT INSTL	5,359.877	\$6.53	\$15.00	-\$35,015.00
02/16/2021 as of 02/15/2021	Bank Interest	/		BANK IN SCHWAB BANK	remover account number			\$0.13
01/29/2021	Cash Dividend		VFIDX	VANGUARD INTERM-TERM INVESTMENT- GRDE ADM	num pa			\$97.09
01/29/2021	Cush Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BOND INSTL				\$125.67
01/19/2021	Bank			BANK INT	removed	1000		\$0,12
as of	Interest		Contract Contract	BANK	number	Contraction of the local division of the loc		
12/31/2020	Cash Dividend		VFIDX	VANGUARD INTERM-TERM	U VIN JA			\$114.51
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21		Charle	s Schwab Client Center A INVESTMENT- GRDE ADM	accounts History	1	
12/31/2020	Cash Dividend	PIGIX	PIMCO INVESTMENT GRADE CREDIT BOND INSTL		23	\$148.13
12/30/2020	Reinvest Shares	AWEIX	CIBC ATLAS DISCIPLINED EQUITY INSTL	48.239	\$26.23	-\$1,265.32
12/30/2020	Cash Dividend	AWIIX	CIBC ATLAS INCOME OPPORTUNITIES INSTL			\$284.71
12/30/2020	Reinvest Dividend	AWEIX	CIBC ATLAS DISCIPLINED EQUITY INSTL			\$1,265.32
12/29/2020	Reinvest Shares	VFIDX	VANGUARD INTERM-TERM INVESTMENT- GRDE ADM	69.04	\$10.45	-\$721.47
12/29/2020	Reinvest Shares	VFIDX	VANGUARD INTERM-TERM INVESTMENT- GRDE ADM	56.963	\$10.45	-\$595,26
12/29/2020	Long Term Cap Gain Reinvest	VFIDX	VANGUARD INTERM-TERM INVESTMENT- GRDE ADM		<u>1</u>	\$721.47
12/29/2020	Short Term Cap Gain Reinvest	VFIDX	VANGUARD INTERM-TERM INVESTMENT- GRDE ADM			\$595.26
12/28/2020	Cash Dividend	PIGIX	PIMCO INVESTMENT GRADE CREDIT BOND INSTL			\$2.67
12/22/2020	Reinvest Shares	AWMIX	CIBC ATLAS MID CAP EQUITY INSTITUTIONAL	53.847	\$19.96	-\$1,074.79
12/22/2020	Long Term Cap Gain Reinvest	AWEIX	CIBC ATLAS DISCIPLINED EQUITY INSTL			\$4,073.78
12/22/2020	Long Term Cap Gain Reinvest	AWMIX	CIBC ATLAS MID CAP EQUITY INSTITUTIONAL			\$1,074.79
12/22/2020	Short Term Cap Gain	EGFIX	EDGEWOOD GROWTH INSTL			\$397.67
12/22/2020	Long Term Cap Gain	EGFIX	EDGEWOOD GROWTH INSTL	1- 5.1 sin		\$2,158.64
12/22/2020	Reinvest Shares	AWEIX	CIBC ATLAS DISCIPLINED EQUITY INSTL	156.563	\$26 02	-\$4,073.78

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12/18/2020	Cash Dividend	FLMVX	JPMORGAN MID CAP VALUE L			\$511.92
12/16/2020	Reinvest Shares	MIPTX	MATTHEWS PACIFIC TIGER INSTL	115.869	\$33.57	-\$3,889.72
12/16/2020	Reinvest Shares	MIPTX	MATTHEWS PACIFIC TIGER INSTL	33.094	\$33.57	-\$1,110.98
12/16/2020	Short Term Cap Gain Reinvest	MIPTX	MATTHEWS PACIFIC TIGER INSTL			\$1,110.98
12/16/2020	Long Term Cap Gain Reinvest	MIPTX	MATTHEWS PACIFIC TIGER INSTL			\$3,889,72
12/16/2020	Cash Dividend	MIPTX	MATTHEWS PACIFIC TIGER INSTL	74		\$325.46
12/16/2020 as of 12/15/2020	Bank Interest		BANK INT SCHWAB BANK	removed account number		\$0.09
12/11/2020	Long Term Cap Gain Reinvest	FLMVX	JPMORGAN MID CAP VALUE L			\$2,558.99
12/11/2020	Reinvest Shares	FLMVX	JPMORGAN MID CAP VALUE L	69,368	\$36.89	-\$2,558.99
12/10/2020	Cash Dividend	MIEIX	MFS INSTL INTERNATIONAL EQUITY			\$144.14
12/10/2020	Reinvest Shares	MIEIX	MFS INSTL INTERNATIONAL EQUITY	1.302	\$29.94	-\$38.99
	Long Term Cap Gain	MIEIX	MFS INSTL			

Transactions Total

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CIBC	PRIVATE WEALTH MANAGEMENT
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Transactions From 04/05/2017 To 04/05/2021

	Date 🔻	Action	Details	Symbol	Description*	Quantity	Price	Fees & Comm	Amoun
	12/09/2020	Reinvest Shares	8	PIGIX	PIMCO INVESTMENT GRADE CREDIT BOND INSTL	17.422	\$11.20	Comm	-\$195.13
	12/09/2020	Long Term Cap Gain Reinvest		PIGIX	PIMCO INVESTMENT GRADE CREDIT BOND INSTL				\$195.13
. ac	11/30/2020	Cash Dividend		VFIDX	VANGUARD INTERM-TERM INVESTMENT- GRDE ADM				\$ 103.65
	11/30/2020	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BOND INSTL				\$125.95
	11/16/2020 as of 11/15/2020	Bank Interest			BANK INT SCHWAB BANK	removed account number			\$0.09
	10/30/2020	Cash Dividend		VFIDX	VANGUARD INTERM-TERM INVESTMENT- GRDE ADM		¥0.		\$101.78
	10/30/2020	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BOND INSTL				\$145.17
7.65	10/16/2020 as of 10/15/2020	Bank Interest			BANK INT SCHWAB BANK	removed account number			\$0.08
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09/30/2020	Cash Dividend		VFIDX	VANGUARD INTERM-TERM INVESTMENT- GRDE ADM				\$101.92
09/30/2020	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BOND INSTL				\$133.57
09/29/2020	Cash Dividend		AWHX	CIBC ATLAS INCOME OPPORTUNITIES INSTL				\$253.13
09/16/2020 as of 09/15/2020	Bank Interest			BANK INT SCHWAB BANK	removed account number			\$0.08
08/31/2020	Cash Dividend		VFIDX	VANGUARD INTERM-TERM INVESTMENT- GRDE ADM				\$105.60
08/31/2020	Cash		PIGIX	PIMCO				\$128.06
	Dividend			INVESTMENT GRADE CREDIT BOND INSTL				anggenanningsjög förferta skrive Arrestigarifertillarsan
08/17/2020 as of 08/15/2020	Bank Interest			BANK INT SCHWAB BANK	stmoved account number			\$0.22
08/07/2020	Sell	Trade Details	FLMVX	JPMORGAN MID CAP VALUE L	1,027,134	\$34.09	\$15.00	\$35,000.00
08/07/2020	Buy	Trade Details	MIPTX	MATTHEWS PACIFIC TIGER INSTL	2,599.09	\$30.78	\$15.00	-\$80,015.00
08/07/2020	Sell	Trade Details	EPSYX	MAINSTAY EPOCH GLOBAL EQUITY YIELD I	1,460,948	\$16.54	\$15.00	\$24,149.08
07/31/2020	Cash Dividend		VFIDX	VANGUARD INTERM-TERM INVESTMENT- GRDE ADM				\$110.90
07/31/2020	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BOND INSTL	110			\$145.49
07/16/2020 as of 07/15/2020	Bank Interest			BANK INT SCHWAB BANK	removed account number	-		\$0.24
06/30/2020	Cash Dividend		VFIDX	VANGUARD INTERM-TERM INVESTMENT- GRDE ADM				\$110.49
06/30/2020	Cash Dividend		PIGIX actionhistory/#/	PIMCO				\$126.87

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	06/30/2020	Reinvest Dividend		EPSYX	MAINSTAY EPOCH GLOBAL EQUITY YIELD I				\$174.42
	06/30/2020	Reinvest Shares		EPSYX	MAINSTAY EPOCH GLOBAL EQUITY YIELD I	11.11	\$15.70		-\$174.4
	06/29/2020	Cash Dividend		AWIIX	CIBC ATLAS INCOME OPPORTUNITIES INSTL				\$261.3
	06/16/2020 as of 06/15/2020	Bank Interest		-1	BANK INT SCHWAB BANK	removed account number	×		\$0.41
	05/29/2020	Cash Dividend		VFIDX	VANGUARD INTERM TERM INVESTMENT GRADE ADMIRALSHARE				\$14.84
	05/29/2020	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT				\$132.16
					BD INST				
	05/27/2020	Buy	Trade Details	VFIDX	VANGUARD INTERM TERM INVESTMENT GRADE ADMIRALSHARE	4,835.59	\$10.34	\$15.00	-\$50.01
	05/18/2020 as of 05/15/2020	Bank Interest			BANK INT SCHWAB BANK	removed account number			\$0.65
	04/30/2020	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST				\$143.88
	04/16/2020 as of 04/15/2020	Bank Interest			BANK INT - SCHWAB BANK	removed account number			\$0.34
	03/31/2020	Reinvest Dividend		EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I				\$321.10
	03/31/2020	Reinvest Shares		EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I	22.741	\$14.12		-\$321.1
	03/31/2020	Buy	Trade Details	PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST	4,427.657	\$10.16	\$15.00	-\$45,00
	03/31/2020	Sell	Trade Detaits	TBGVX	TWEEDY BROWNE GLOBAL VALUE FUND	1,654.59	\$22.01	\$15.00	\$36.402
	03/31/2020	Sell	Trade	HIEMX	VIRTUS	1,970.618	\$8.82	\$15.00	\$17,365



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\$3,598.20

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Attachment G: Fauci 2020 278e Report, Section 7.

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Date -

Anthony S. Fauci Defined Benefit Plan Transactions - 2020

Transactions From 04/05/2017 To 04/05/2021

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Date 🔻	Action	Details	Symbol	Description*	Quantity	Price	Fees &	Amoun
							Comm	
03/31/2021	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BOND INSTL				\$543.93
 03/31/2021	Cash Dividend		VFIDX	VANGUARD INTERM-TERM INVESTMENT- GRDE ADM				\$228.07
03/31/2021	Reinvest Shares		CPXIX	COHEN & STEERS PREFERRED SEC & INC I	45.12	\$14.21		-\$641.16
03/31/2021	Reinvest Shares		VFIDX	VANGUARD INTERM-TERM INVESTMENT- GRDE ADM	58.943	\$9.98		-\$588.25
 03/31/2021	Reinvest Shares		VFIDX	VANGUARD INTERM-TERM INVESTMENT- GRDE ADM	17.779	\$9.98		-\$177,43
03/31/2021	Reinvest Dividend		CPXIX	COHEN & STEERS PREFERRED SEC & INC I				\$641.16
03/31/2021	Long Term Cap Gain Reinvest		VFIDX	VANGUARD INTERM-TERM INVESTMENT- GRDE ADM				\$588.25
03/31/2021	Short Term Cap Gain Reinvest		VFIDX	VANGUARD INTERM-TERM INVESTMENT- GRDE ADM				\$177.43

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03/31/2021	Reinvest Shares		RPIFX	T. ROWE PRICE	50,201	\$9.74		-\$488.96
03/31/2021	Reinvest Dividend		RPIFX	RATE T. ROWE PRICE INSTL FLOATING RATE				\$488.96
03/30/2021	Cash Dividend		AWIIX	CIBC ATLAS INCOME OPPORTUNITIES INSTL				\$560.06
03/19/2021	Cash Dividend		VEMAX	VANGUARD EMERGING MKTS STOCK IDX ADM				\$145.03
03/16/2021 as of 03/15/2021	Bank Interest			BANK INT SCHWAB BANK	remove	4		\$0.18
03/01/2021 as of 02/26/2021	Cash Dividend		VFIDX	VANGUARD INTERM-TERM INVESTMENT- GRDE ADM	numbe	Ξ		\$234.77
02/26/2021	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BOND INSTL				\$751.04
02/26/2021	Reinvest Dividend		RPIFX	T. ROWE PRICE INSTL FLOATING RATE				\$151.16
02/26/2021	Reinvest Shares		RPIFX	T. ROWE PRICE INSTL FLOATING RATE	15.488	\$9.76		-\$151.16
02/26/2021	Reinvest Shares		CPXIX	COHEN & STEERS PREFERRED SEC & INC I	45.002	\$14.19		-\$638.58
02/26/2021	Reinvest Dividend		CPXIX	COHEN & STEERS PREFERRED SEC & INC I				\$638.58
02/22/2021	Advisor Fee			TO ADVISOR				-\$2,880.21
02/16/2021	Sell	Trade Details	PIGIX	PIMCO INVESTMENT GRADE CREDIT BOND INSTL	13,588.315	\$11.04	\$15.00	\$150,000.00
02/16/2021	Buy	Trade Details	RPIFX	T. ROWE PRICE INSTL FLOATING RATE	14,329.58	\$9.77	\$15.00	-\$140,015.00
02/16/2021	Sell	Trade Details	AWIIX	CIBC AT AS INCOME OPPORTUNITIES INSTL	8,791,491	\$14.22	\$15.00	\$125,000.00
02/16/2021	Buy	Trade	PCRIX					

			COMMODITY REAL			
		Details	RET STRAT INSTL			
02/16/2021 as of	Bank Interest		BANK INT SCHWAB	removed		\$0.25
02/15/2021	interest		BANK	number		
01/29/2021	Cash Dividend	PIGIX	PIMCO INVESTMENT GRADE CREDIT BOND INSTL			\$889,15
01/29/2021	Cash Dividend	VFIDX	VANGUARD INTERM-TERM INVESTMENT- GRDE ADM			\$233.00
01/29/2021	Reinvest Shares	CPXIX	COHEN & STEERS PREFERRED SEC & INC I	44,446	\$14,31	-\$636.02
01/29/2021	Reinvest Dividend	CPXIX	COHEN & STEERS PREFERRED SEC & INC I			\$636.02
01/19/2021 as of 01/15/2021	Bank Interest		BANK INT SCHWAB BANK	remove account number		\$0.22
12/31/2020	Cash Dividend	PIGIX	PIMCO INVESTMENT GRADE CREDIT BOND INSTL			\$1,048.10
12/31/2020	Cash Dividend	VFIDX	VANGUARD INTERM-TERM INVESTMENT- GRDE ADM			\$274.82
12/30/2020	Reinvest Shares	AWEIX	CIBC ATLAS DISCIPLINED EQUITY INSTL	132.924	\$26.23	-\$3,486.60
12/30/2020	Cash Dividend	HHDFX	HAMLIN HIGH DIVIDEND EQUITY INSTL			\$3,043.47
12/30/2020	Cash Dividend	AWIIX	CIBC ATLAS INCOME OPPORTUNITIES INSTL			\$1,196.80
12/30/2020	Reinvest Dividend	AWEIX	CIBC ATLAS DISCIPLINED EQUITY INSTL			\$3,486.60
12/29/2020	Reinvest Shares	VFIDX	VANGUARD INTERM-TERM INVESTMENT- GRDE ADM	165.697	\$10.45	-\$1,731.53
12/29/2020	Reinvest Shares	VFIDX	VANGUARD INTERM-TERM INVESTMENT- GRDE ADM	136.711	\$10.45	-\$1,428.63
12/29/2020	Long Term Cap Gain	VFIDX	VANGUARD			\$1,731.53

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	Reinvest		Charles Schwab Client C INVESIMENI- GRDE ADM		s natory	
12/29/2020	Short Term Cap Gain Reinvest	VFIDX	VANGUARD INTERM-TERM INVESTMENT- GRDE ADM			\$1,428.63
12/28/2020	Cash Dividend	PIGIX	PIMCO INVESTMENT GRADE CREDIT BOND INSTL			\$18.87
12/22/2020	Reinvest Shares	AWMIX	CIBC ATLAS MID CAP EQUITY INSTITUTIONAL	130.413	\$19.96	-\$2,603.05
12/22/2020	Reinvest Shares	EGFIX	EDGEWOOD GROWTH INSTL	253.226	\$51.62	-\$13,071.5
12/22/2020	Reinvest Shares	EGFIX	EDGEWOOD GROWTH INSTL	46.65	\$51.62	-\$2,408.07
12/22/2020	Long Term Cap Gain Reinvest	AWEIX	CIBC ATLAS DISCIPLINED EQUITY INSTL			\$11,225.36
12/22/2020	Long Term Cap Gain Reinvest	AWMIX	CIBC ATLAS MID CAP EQUITY INSTITUTIONAL			\$2,603.05
12/22/2020	Short Term Cap Gain Reinvest	EGFIX	EDGEWOOD GROWTH INSTL			\$2,408.07
12/22/2020	Long Term Cap Gain Reinvest	EGFIX	EDGEWOOD GROWTH INSTL			\$13.071.51
12/22/2020	Reinvest Shares	AWEIX	CIBC ATLAS DISCIPLINED EQUITY INSTL	431,413	\$26.02	-\$11,225.36
12/18/2020	Reinvest Shares	FLMVX	JPMORGAN MID CAP 36.5 VALUE L	19 \$36	.48	-\$1,332 22

-\$74.67

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Transactions From 04/05/2017 To 04/05/2021

	Date 🔻	Action	Details	Symbol	Description*	Quantity	Price	Fees &	Amou
								Comm	
	12/18/2020	Cash Dividend		VEMAX	VANGUARD EMERGING MKTS STOCK IDX ADM				\$680.32
	12/18/2020	Reinvest Dividend		FLMVX	JPMORGAN MID CAP VALUE L				\$1,332.2
	12/17/2020	Reinvest Shares		CPXIX	COHEN & STEERS PREFERRED SEC & INC I	44.084	\$14.37		-\$633.49
	12/17/2020	Reinvest Dividend		CPXIX	COHEN & STEERS PREFERRED SEC & INC I				\$633.49
	12/16/2020 as of 12/15/2020	Bank Interest			BANK INT SCHWAB BANK	removed account number			\$0.17
	12/11/2020	Long Term Cap Gain Reinvest		FLMVX	JPMORGAN MID CAP VALUE L				\$6,659.50
	12/11/2020	Reinvest Shares		FLMVX	JPMORGAN MID CAP VALUE L	180.523	\$36.89		-\$6,659.50
	12/10/2020	Cash Dividend		MIEIX	MFS INSTL INTERNATIONAL EQUITY				\$402.75
	12/10/2020	Reinvest Shares		MIEIX	MFS INSTL INTERNATIONAL EQUITY	3.639	\$29.94		-\$108.94
	12/10/2020	Long Term Cap Gain		MIEIX	MFS INSTL INTERNATIONAL				\$108.94
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/5/2021	Reinvest		Charles Schwab Client Co EQUIT 7		
12/09/2020	Reinvest Shares	PIGIX	PIMCO INVESTMENT GRADE CREDIT BOND INSTL	123.275 \$11.20	-\$1,380.68
12/09/2020	Long Term Cap Gain Reinvest	PIGIX	. PIMCO INVESTMENT GRADE CREDIT BOND INSTL		\$1,380.68
12/08/2020	Journaled Shares	AWEIX	CIBC ATLAS DISCIPLINED EQUITY INSTL	-9,241.92 \$26.69	
11/30/2020	Cash Dividend	PIGIX	PIMCO INVESTMENT GRADE CREDIT BOND INSTL		\$891.24
11/30/2020	Cash Dividend	VFIDX	VANGUARD INTERM-TERM INVESTMENT- GRDE ADM		\$248.75
11/30/2020	Reinvest Shares	CPXIX	COHEN & STEERS PREFERRED SEC & INC I	48.043 \$14.27	-\$685.57
11/30/2020	Reinvest Dividend	CPXIX	COHEN & STEERS PREFERRED SEC & INC I		\$685.57
11/16/2020 as of 11/15/2020	Bank Interest		BANK INT SCHWAB BANK	removed account	\$0.19
11/10/2020	Advisor Fee		TO ADVISOR	number	-\$2,692.03
0/30/2020	Cash Dividend	PIGIX	PIMCO INVESTMENT GRADE CREDIT BOND INSTL		\$1,027.20
10/30/2020	Cash Dividend	VFIDX	VANGUARD INTERM-TERM INVESTMENT- GRDE ADM		\$244,24
10/30/2020	Reinvest Dividend	CPXIX	COHEN & STEERS PREFERRED SEC & INC I		\$682.49
0/30/2020	Reinvest Shares	CPXIX	COHEN & STEERS PREFERRED SEC & INC I	49.206 \$13.87	-\$682.49
0/16/2020 is of 0/15/2020	Bank Interest		BANK INT (SCHWAB BANK	removed account number	\$0.17
9/30/2020	Cash Dividend	PIGIX	PIMCO INVESTMENT GRADE CREDIT	i vium per	\$945.07

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Charles Schwab Client Center | Accounts History

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	09/30/2020	Cash Dividend		VFIDX	VANGUARD INTERM-TERM INVESTMENT- GRDE ADM				\$244.64
	09/30/2020	Reinvest Shares		CPXIX	COHEN & STEERS PREFERRED SEC & INC I	49.091	\$13.84		-\$679.42
	09/30/2020	Reinvest Dividend		CPXIX	COHEN & STEERS PREFERRED SEC & INC I				\$679.42
	09/29/2020	Cash Dividend		AWIIX	CIBC ATLAS INCOME OPPORTUNITIES INSTL				\$1,064,02
	09/18/2020	Cash Dividend		VEMAX	VANGUARD EMERGING MKTS STOCK IDX ADM				\$969.83
	09/16/2020 as of 09/15/2020	Bank Interest			BANK INT SCHWAB BANK	remove account numbe	dt		\$0.15
	08/31/2020	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BOND INSTL				\$980.94
	08/31/2020	Cash Dividend		VFIDX	VANGUARD INTERM-TERM INVESTMENT- GRDE ADM				\$253.51
	08/31/2020	Reinvest Dividend		CPXIX	COHEN & STEERS PREFERRED SEC & INC I				\$676.41
	08/31/2020	Reinvest Shares		CPXIX	COHEN & STEERS PREFERRED SEC & INC I	48.281	\$14.01		-\$676.41
	08/17/2020	Advisor Fee			TO ADVISOR				-\$2,474.62
	08/17/2020 as of 08/15/2020	Bank Interest			BANK INT SCHWAB BANK	removed account number			\$0.57
	08/07/2020	Sell	Trade Details	PIGIX	PIMCO INVESTMENT GRADE CREDIT BOND INSTL	11,102.575	\$11.26	\$15.00	\$125,000.0(
1	08/07/2020	Sell	Trade Details	FLMVX	JPMORGAN MID CAP VALUE L	733 793	\$34.09	\$15.00	\$25,000.00
	08/07/2020	Buy	Trade Details	CPXIX	COHEN & STEERS PREFERRED SEC & INC I	10,822,511	\$13.86	\$15,00	-\$150,015.0
	07/31/2020	Cash		PIGIX	PIMCO				\$1,394.32
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	Dividend			INVESTMENT GRADE CREDIT BOND INSTL				
07/31/2020	Cash Dividend		VFIDX	VANGUARD INTERM-TERM INVESTMENT- GRDE ADM				\$266.16
07/30/2020	Buy	Trade Details	MIEIX	MFS INSTL INTERNATIONAL EQUITY	1,668.521	\$26.97	\$15.00	-\$45,015.00
07/30/2020	Sell	Trade Details	IVIQX	IVA INTERNATIONAL I	3,229,946	\$13 _: 76	\$ 15.00	\$44,429.06
07/30/2020	Buy	Trade Details	VEMAX	VANGUARD EMERGING MKTS STOCK IDX ADM	2,767,783	\$36.13	\$15.00	-\$100,015.0
07/16/2020 as of 07/15/2020	Bank Interest			BANK INT SCHWAB BANK	removed account			\$0.95
06/30/2020	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST				\$1,215.85
06/30/2020	Cash Dividend		VFIDX	VANGUARD INTERM-TERM INVESTMENT- GRDE ADM				\$265.16
06/30/2020	Cash Dividend		HHDFX	HAMLIN HIGH DIVIDEND EQUITY INSTL				\$4,081.75
06/29/2020	Cash Dividend		AWIIX	CIBC ATLAS INCOME OPPORTUNITIES INSTL	2			\$1,098.58
Trar	saction	s Total						-\$88,173.84

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Transactions From 04/05/2017 To 04/05/2021

					•				
	Date 💌	Action	Details	Symbol	Description*	Quantity	Price	Fees &	Amour
								Comm	ST Lindow
	06/16/2020 as of 06/15/2020	Bank Interest			BANK INT SCHWAB TRUST BANK	removed	1		\$0.18
	06/16/2020 as of 06/15/2020	Bank Interest			BANK INT SCHWAB BANK	number	t		\$1.40
	05/29/2020	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST	V.			\$1,266.56
	05/29/2020	Cash Dividend		VFIDX	VANGUARD INTERM TERM INVESTMENT GRADE ADMIRALSHARE				\$35.61
	05/27/2020	Buy	Trade Details	AWMIX	CIBC ATLAS MID CAP EQTY FD INST	3,082.614	\$16.22	\$15.00	-\$50,015.0
	05/27/2020	Buy	Trade Details	HHDFX	HAMLIN HIGH DIV EQTY INSTL	3,662.109	\$20,48	\$15.00	-\$75,015.0
	05/27/2020	Sell	Trade Details	FLMVX	JPMORGAN MID CAP VALUE L	1,547,494	\$32.32	\$15.00	\$50,000.0(
	05/27/2020	Виу	Trade Details	VFIDX	VANGUARD INTERM TERM INVESTMENT GRADE ADMIRALSHARE	11,605.416	\$10.34	\$15.00	-\$120,015.4
	05/27/2020	Sell	Trade	EPSYX	MAINSTAY EPOCH	58.882	\$15.61	\$15.00	\$904,15
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		Details		Charles Schwab Client C GLOBAL EQTY YIELD I	Series 1 Accounts	Thatory		
05/18/2020 as of 05/15/2020	Bank Interest			BANK INT SCHWAB TRUST BANK	removed	ļ		\$0.47
05/18/2020 as of 05/15/2020	Bank Interest			BANK INT SCHWAB BANK	numbe	- -		\$2.04
05/11/2020	Advisor Fee			TO ADVISOR				-\$2,551.37
04/30/2020	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST				\$1,378.81
04/16/2020 as of 04/15/2020	Bank Interest			BANK INT SCHWAB TRUST BANK	removed a ccount			\$0.22
04/16/2020 as of 04/15/2020	Bank Interest			BANK INT SCHWAB BANK	number			\$1.79
03/31/2020	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST				\$1,543.63
03/31/2020	Reinvest Dividend		EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I				\$831.42
03/31/2020	Reinvest Shares		EPSYX	MAINSTAY EPOCH GLOBAL EQTY	58.882	\$14.12		-\$ 831,42
03/31/2020	Buy	Trade Details	AWEIX	YIELD I CIBC ATLAS DISCIPLINED EQTY FD INST	2,677.29	\$18.67	\$15.00	-\$50,000.00
03/31/2020	Buy	Trade Details	EGFIX	EDGEWOOD GROWTH INSTL	1,466.266	\$34.09	\$15.00	-\$50,000.00
03/31/2020	Sell	Trade Details	TBGVX	TWEEDY BROWNE GLOBAL VALUE FUND	1,745.272	\$22.01	\$15.00	\$38,398.44
03/31/2020	Sell	Trade Details	HIEMX	VIRTUS VONTOBEL EMRG MKTS OPPTY FD I	15,076.292	\$8.82	\$15.00	\$132,957.9
03/31/2020	Sell	Trade Details	EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I	3,695,188	\$14.12	\$15,00	\$52,161.05
03/30/2020	Cash Dividend		AWIIX	CIBC ATLAS INCM OPPTY FD INST				\$1,174,97
03/16/2020 as of 03/15/2020	Bank Interest			BANK INT SCHWAB BANK	emoved account number			\$20,26

Charles Schwab Client Center | Accounts History

	02/28/2020	Cash Dividend	£.	PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST				\$1,456.80
	02/26/2020	Sell	Trade Details	ΜΙΡΤΧ	MATTHEWS PACIFIC TIGER FD INSTL	5,671.849	\$26.85	\$15.00	S152,274
	02/18/2020 as of 02/15/2020	Bank Interest			BANK INT SCHWAB BANK	removed account number			\$7.09
	02/10/2020	Advisor Fee			TO ADVISOR				-\$2,978.25
	01/31/2020	Cash Dividend	5. 6.	PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST				\$1,577.03
	01/16/2020 as of 01/15/2020	Bank Interest			BANK INT SCHWAB BANK	removed account number	,		\$6.42
	12/31/2019	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST				\$1,557.18
	12/30/2019	Desh Divitiend		AWIIX	CIBC ATLAS INCM OPPTY FD INST				\$1,616.95
		Cash Dividend		HHDFX	HAMLIN HIGH DIV				\$1,614,99
	12/30/2019	Reinvest Dividend		AWEIX	CIBC ATL S DISCIPLINED FUTY FD INST				\$5,234.46
	12/30/2019	Reinvest Shares		AWEIX	CIBC ATLAS DISCIPLINED DISTY FD INST	231	\$22.66		-\$5,234.46
	12/20/2019	Reinvest Shares	/	HIEMX	VIRTUS VONTOBED EMRG MKTS OPPTY FU	30.575	\$11.57		-\$353,75
	12/20/2019	Reinvest Shares		HIEMX	VIRTUS VONTOBEL EMRG MKTS OPPTY FD I	250.945	\$11.57		-\$2,903 43
	12/20/2019	Runvest Shares		HIEMX	VIRTUS VONTOBEL EMRG MKTS OPPTY FD I	21.373	\$11.57		-\$247.29
1	121 0/2019	Long Term Cap Gain Reinvest		HIEMX	VIRTUS VONTOBEL EMRG MKTS OPPTY FD I				\$353.75
	12/20/2019	Reinvest Dividend		HIEMX	VIRTUS VONTOBEL EMRG MKTS OPPTY FD I				\$2 03.43

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Attachment H: Fauci 2020 278e Report, Section 7

Anthony S. Fanci Revocable Trust Transactions - 2020

	endent Investment Advisor* ATE WEALTH ADVRS, INC				
CIBC	PRIVATE WEALTH MANAGEMENT				
Contact your advisor					
- Not affilia	ted with Schwab				

Transactions From 04/05/2017 To 04/05/2021

Date 🔻	Action	Details	Symbol	Description*	Quantity	Price	Fees & Comm	Amoun
12/29/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	23.06	\$1.00		-\$23.06
12/28/2020	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BOND INSTL				\$23 06
12/24/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	524,51	\$1.00		-\$524.51
12/23/2020	Cash Dividend		VSMAX	VANGUARD SMALL CAP INDEX ADM	92A			\$524.51
12/22/2020	Reinvest Shares		AWMIX	CIBC ATLAS MID CAP EQUITY INSTITUTIONAL	359.891	\$19.96		-\$7,183.43
12/22/2020	Long Term Cap Gain Reinvest		AWEIX	CIBC ATLAS DISCIPLINED EQUITY INSTL				\$35,727.94
12/22/2020	Long Term Cap Gain Reinvest		AWMIX	CIBC ATLAS MID CAP EQUITY INSTITUTIONAL				\$7,183.43
12/22/2020	Reinvest Shares		AWEIX	CIBC ATLAS DISCIPLINED EQUITY INSTL	1,373.095	\$26.02		-\$35,727.94
12/21/2020	Виу	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	3,502.84	S1.00		-\$3,502.84
12/18/2020	Cash Dividend		VEMAX	VANGUARD EMERGING MKTS				\$1,018.23
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STOCK IDX ADM

12/18/2020	Cash Dividend		FLMVX	JPMORGAN MID CAP VALUE L			\$2,484.61
12/17/2020	Reinvest Shares		CPXIX	COHEN & STEERS PREFERRED SEC & INC I	88.168	\$14.37	-\$1,266.97
12/17/2020	Reinvest Dividend		CPXIX	COHEN & STEERS PREFERRED SEC & INC I			\$1,266.97
12/17/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	1,017.06	\$1.00	-\$1,017.06
12/16/2020	Reinvest Shares		MIPTX	MATTHEWS PACIFIC TIGER INSTL	362.091	\$33.57	-\$12,155.38
12/16/2020	Reinvest Shares		MIPTX	MATTHEWS PACIFIC TIGER INSTL	103.42	\$33.57	-\$3,471.82
12/16/2020	Short Term Cap Gain Reinvest		MIPTX	MATTHEWS PACIFIC TIGER INSTL			\$3,471.82
12/16/2020	Long Term Cap Gain Reinvest		MIPTX	MATTHEWS PACIFIC TIGER INSTL			\$12,155.38
12/16/2020	Cash Dividend		MIPTX	MATTHEWS PACIFIC TIGER INSTL			\$1,017.06
12/11/2020	Long Term Cap Gain Reinvest		FLMVX	JPMORGAN MID CAP VALUE L			\$12,420,03
12/11/2020	Reinvest Shares		FLMVX	JPMORGAN MID CAP VALUE L	336.677	\$36,89	-\$12,420.03
12/11/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	1,737.8	\$1.00	-\$1,737.80
12/10/2020	Reinvest Shares		MIEIX	MFS INSTL INTERNATIONAL EQUITY	15.7	\$29,94	-\$470.05
12/10/2020	Cash Dividend		MIEIX	MFS INSTL INTERNATIONAL EQUITY			\$1,737.80
12/10/2020	Long Term Cap Gain Reinvest		MIEIX	MFS INSTL INTERNATIONAL EQUITY			\$470.05
12/09/2020	Reinvest Shares		PIGIX	PIMCO INVESTMENT GRADE CREDIT BOND INSTL	150 659	\$11.20	-\$1,687.38
12/09/2020	Long Term Cap Gain		PIGIX	PIMCO INVESTMENT			\$1,687.38

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121	Reinvest		Unane	es Schwab Client Center A GRADE CREDIT BOND INSTL		/		
12/08/2020	Reinvest Shares		PRSMX	T. ROWE PRICE SUMMIT MUNICIPAL INTM INV	0.499	\$12.37		- \$ 6.17
12/08/2020	Short Term Cap Gain Reinvest		PRSMX	T. ROWE PRICE SUMMIT MUNICIPAL INTM INV				\$6.17
12/08/2020	Journaled Shares		AWEIX	CIBC ATLAS DISCIPLINED EQUITY INSTL	9,241.92	\$26.69		
12/01/2020	Виу	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	2,421.97	\$1.00		-\$2,421.
11/30/2020	Cash Dividend		PRSMX	T. ROWE PRICE SUMMIT MUNICIPAL INTM INV				\$1,332.7
11/30/2020	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BOND INSTL				\$1,089.2
11/30/2020	Reinvest Shares		CPXIX	COHEN & STEERS PREFERRED SEC & INC I	96,085	\$14.27		-\$1,371.
11/30/2020	Reinvest Dividend		CPXIX	COHEN & STEERS PREFERRED SEC & INC I				\$1,371.1
11/17/2020 as of 11/16/2020	Cash Dividend		SWGXX	SCHWAB GOVT MONEY FUND	0.7			
11/12/2020	Sell	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	5,787 57	\$1.00		\$5,787.5
11/10/2020	Advisor Fee			TO ADVISOR				-\$5,787.5
11/05/2020	Виу	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	727 49	\$1.00		\$727.49
11/04/2020	Cash Dividend		VCRRX	VERSUS CAP REAL ASSETS FD LLC UNIT LTD PARTN				\$727.49
11/02/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	2,724.13	\$1.00	-	\$2,724.1
10/30/2020	Cash Dividend		PRSMX	T. ROWE PRICE SUMMIT MUNICIPAL INTM INV			5	1,468.78

4/5/2021	Dividend			Charles Schwab Client Ce INVESTMENT GRADE CREDIT BOND INSTL	nter Accounts	History	
10/30/2020	Reinvest Dividend		CPXIX	COHEN & STEERS PREFERRED SEC & INC I			\$1,364.99
10/30/2020	Reinvest Shares		CPXIX	COHEN & STEERS PREFERRED SEC & INC I	98,413	\$13.87	-\$1,364.99
10/16/2020 as of 10/15/2020	Cash Dividend		SWGXX	SCHWAB GOVT MONEY FUND	0.82		
10/08/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	393.51	\$1.00	-\$393.51
10/07/2020	Cash Dividend		VCMIX	VERSUS CAP MULTI-MANGR REAL ES SH BEN INT I			\$393.51
10/01/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	2,550.56	\$1.00	-\$2,550.56
09/30/2020	Cash Dividend		PRSMX	T. ROWE PRICE SUMMIT MUNICIPAL INTM INV			\$1,395,53
Tran	saction	s Total					-\$1,155.03
Transactions may inc age, your account sta	ilude Bank Swee atements and dis	p deposit informat sclosed in your acc	ion, Bank Sweep count documents	deposits are held at the FD SIPC does not cover balan	IC-insured depo ces held in the E	sitory institution(s) reference ank Sweep feature.	d on the Balances detail

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Transactions From 04/05/2017 To 04/05/2021

	Date 🔻	Action	Details	Symbol	Description*	Quantity	Price	Fees & Comm	Amour
	09/30/2020	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BOND INSTL				\$1,155.03
	09/30/2020	Reinvest Shares		CPXIX	COHEN & STEERS PREFERRED SEC & INC I	98.183	\$13.84		-\$1,358.85
	09/30/2020	Reinvest Dividend		CPXIX	COHEN & STEERS PREFERRED SEC & INC I				\$1,358 85
	09/30/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	1,421	S1.00		-\$1,421.00
	09/29/2020	Cash Dividend		AWIIX	CIBC ATLAS INCOME OPPORTUNITIES INSTL				\$1,421.00
	09/25/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	319.73	\$1.00		-\$319.73
	09/24/2020	Cash Dividend		VSMAX	VANGUARD SMALL CAP INDEX ADM				\$319.73
	09/21/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	1,451.53	\$1.00		-\$1,451.53
	09/18/2020	Cash Dividend		VEMAX	VANGUARD EMERGING MKTS STOCK IDX ADM				\$1,451.53
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									11.5

4/5/2021				Charles Schwab Client Ce	enter Accounts	History		
as of 09/15/2020	Dividend			MONEY FUND				
09/01/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	2,610.57	\$1.00		-\$2,610.57
08/31/2020	Cash Dividend		PRSMX	T. ROWE PRICE SUMMIT MUNICIPAL INTM INV				\$1,443.26
08/31/2020	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BOND INSTL				\$1,167.31
08/31/2020	Reinvest Dividend		CPXIX	COHEN & STEERS PREFERRED SEC & INC I				\$1,352.81
08/31/2020	Reinvest Shares		CPXIX	COHEN & STEERS PREFERRED SEC & INC 1	96.56	\$14.01		-\$1,352.81
08/18/2020 as of 08/17/2020	Cash Dividend		SWGXX	SCHWAB GOVT MONEY FUND	3.41			
08/18/2020	Sell	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	5,475.09	\$1.00		\$5,475.09
08/17/2020	Advisor Fee			TO ADVISOR				-\$5,475.09
08/10/2020	Sell	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	400,045	\$1.00		\$400,045.00
08/07/2020	Sell	Trade Details	PIGIX	PIMCO INVESTMENT GRADE CREDIT BOND INSTL	8,882.327	\$11.26	\$15.00	\$100,000.00
08/07/2020	Buy	Trade Details	VEMAX	VANGUARD EMERGING MKTS STOCK IDX ADM	4,142,502	\$36.21	\$15.00	-\$150,015,00
08/07/2020	Buy	Trade Details	МІРТХ	MATTHEWS PACIFIC TIGER INSTL	8,122.157	\$30.78	\$15.00	-\$250,015.00
08/07/2020	Sell	Trade Details	PRSMX	T. ROWE PRICE SUMMIT MUNICIPAL INTM INV	16,181.23	\$12,36		\$200,000.00
08/07/2020	Buy	Trade Details	CPXIX	COHEN & STEERS PREFERRED SEC & INC I	21,645.022	\$13.86	\$15.00	-\$300,015.00
08/06/2020	Βυγ	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	727 49	\$1.00		-\$727.49
08/05/2020	Cash Dividend		VCRRX	VERSUS CAP REAL ASSETS FD LLC UNIT LTD				\$727.49

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08/03/2020	Buy	Trade	SWGXX	SCHWAB GOVT	3,531.33	\$1.00	-\$3,531.33
		Details		MONEY FUND			40.001.0
07/31/2020	Cash Dividend		PRSMX	T. ROWE PRICE SUMMIT MUNICIPAL INTM INV			\$1,981.25
07/31/2020	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BOND INSTL			\$1,550.04
07/16/2020 as of 07/15/2020	Cash Dividend		SWGXX	SCHWAB GOVT MONEY FUND	3.9		
07/09/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	378.37	\$1.00	-\$378.37
07/08/2020	Cash Dividend		VCMIX	VERSUS CAP MULTI-MANAGER REAL SH BEN INT I			\$378.37
07/01/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	3,199.25	\$1.00	-\$3,199.25
06/30/2020	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI INTERM INV			\$1,847,58
06/30/2020	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST			\$1,351.67
06/30/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	1,467.15	\$1.00	-\$1,467.15
06/29/2020	Cash Dividend		AWNX	CIBC ATLAS INCOME OPPORTUNITIES INSTL			\$1,467.15
06/25/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	187.62	S1.00	-\$187.62
06/24/2020	Cash Dividend		VSMAX	VANGUARD SMALL CAP INDEX ADMIRAL SHARE			\$187.62
06/16/2020 as of 06/15/2020	Cash Dividend		SWGXX	SCHWAB GOVT MONEY FUND	4.54		
06/01/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	2,962.59	\$1.00	-\$2,962,59
05/29/2020	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI INTERM INV			\$1,919.66

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05/29/2020	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST				\$1,042.93
05/28/2020	Sell	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	285,045	\$1.00		\$285,045.00
05/27/2020	Buy	Trade Details	PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST	13,992.537	\$10.72	\$15.00	-\$150,015.00
05/27/2020	Buy	Trade Details	AWEIX	CIBC ATLAS DISCIPLINED EQTY FD INST	2,289.377	\$21.84	\$15.00	-\$50,015.00
05/27/2020	Buy	Trade Details	VSMAX	VANGUARD SMALL CAP INDEX ADMIRAL SHARE	1,225.49	\$69.36	\$15.00	-\$85,015.00
05/18/2020 as of 05/15/2020	Cash Dividend		SWGXX	SCHWAB GOVT MONEY FUND	6.58			
05/12/2020	Sell	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	5,782.62	\$1.00		\$5,782.62
05/11/2020	Advisor Fee			TO ADVISOR				-\$5,782.62
Tran	sactions	Total					ļ	\$1,155.03

Transactions Total

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Transactions From 04/05/2017 To 04/05/2021

Date 🔻	Action	Details	Symbol	Description*	Quantity	Price	Fees & Comm	Amoun
05/07/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	766.81	\$1.00		-\$766.81
05/06/2020	Cash Dividend		VCRRX	VERSUS CAP REAL ASSET FUND				\$766.81
05/01/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	2,957,28	\$1.00	×.	-\$2,957.28
04/30/2020	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI INTERM INV				\$1,879.13
04/30/2020	Cash Dìvidend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST				\$1,078.15
04/21/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	55,521.11	\$1.00		-\$55,521,1
04/20/2020 as of 04/17/2020	Sell	Trade Details	VCMIX	VERSUS CAP MULTI MNGR REAL ESTATE INCM FD INST	2,082.344	S26.67	\$15.00	\$55,521.11
04/20/2020 as of 04/17/2020	Cancel Selt	Trade Details		VERSUS CAP MULTI MNGR REAL ESTATE INCM FD INST	3,595,829	\$26,67	\$15.00	-\$95,885.7€
04/17/2020	Sell	Trade Details		VERSUS CAP MULTI MNGR REAL ESTATE INCM FD	3,595.829	\$26 67	\$15.00	\$95,885.76

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04/16/2020 as of	Cash Dividend		SWGXX	SCHWAB GOVT MONEY FUND	49.95			
04/15/2020								
04/08/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	1,006,83	\$1.00		-\$1,006.83
04/07/2020	Cash Dividend		VCMIX	VERSUS CAP MULTI MNGR REAL ESTATE INCM FD INST				\$1,006.83
04/06/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	198,118.53	S1.00		-\$198,118,53
04/02/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	104,815.42	\$1.00		-\$104,815.42
04/01/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	4,318.19	\$1.00		-\$4,318.19
03/31/2020	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI INTERM INV				\$1,850,17
03/31/2020	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST				\$1,207.01
03/31/2020	Cash Dividend		EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I				\$1,261.01
03/31/2020	Sell	Trade Details	HLMEX	HARDING LOEVNER INST EMRG MKTS CL I	12,125.675	\$16,34	\$15.00	\$198,118.53
03/31/2020	Buy	Trade Details	AWEIX	CIBC ATLAS DISCIPLINED EQTY FD INST	5,355.383	\$18.67	\$15.00	-\$100,000.00
03/31/2020	Sell	Trade Details	TBGVX	TWEEDY BROWNE GLOBAL VALUE FUND	5,711,486	\$22.01	\$15.00	\$125,694.81
03/31/2020	Sell	Trade Details	EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I	5,604,505	\$14.12	\$15.00	\$79,120.61
03/31/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	1,569.17	\$1.00		-\$1,569.17
03/30/2020	Cash Dividend		AWIIX	CIBC ATLAS INCM OPPTY FD INST				\$1,569.17
03/27/2020	8uy	Trade Details	SWGXX	SCHWAB GOVT NONEY FUND	73,012.55	\$1.00		-\$73,012.55
03/25/2020	Sell	Trade Details Jaccounts/transa	VSMAX	VANGUARD SMALL CAP INDEX	1,365.001	\$53.50	\$15.00	\$73,012.55

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03/17/2020 as of 03/16/2020	Cash Dividend		SWGXX	SCHWAB GOVT MONEY FUND	158.98			
03/11/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	305.49	\$1.00		-\$305.49
03/10/2020 as of 03/09/2020	Cash Dividend		VSMAX	VANGUARD SMALL CAP INDEX ADMIRAL SHARE				\$305,49
03/02/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	2,828.61	\$1.00		-\$2,828.6
02/28/2020	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI INTERM INV				\$1,689.47
02/28/2020	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST				\$1,139.14
02/28/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	224,096.5	\$1.00		-\$224,096
02/26/2020	Sell	Trade Details	MIPTX	MATTHEWS PACIFIC TIGER FD INSTL	8,346.797	\$26.85	\$15.00	\$224,096
02/19/2020 as of 02/18/2020	Cash Dividend		SWGXX	SCHWAB GOVT MONEY FUND	89.42			
02/11/2020	Sell	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	6,483 21	\$1.00		\$6,483.21
02/10/2020	Advisor Fee			TO ADVISOR				-\$6,483.2
02/06/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	865,12	\$1.00		-\$865.12
02/05/2020	Cash Dividend		VCRRX	VERSUS CAP REAL ASSET FUND				\$865.12
02/03/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	3,165.94	\$1.00		-\$3,165.94
01/31/2020	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI INTERM INV				\$1,932,82
01/31/2020	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST				\$1,233.12
01/22/2020	Buy	Trade	SWGXX	SCHWAB GOVT MONEY FUND	3,080	\$1.00		-\$3,080.0

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01/21/2020 Journal			JOURNAL FRM removed \$3,080. account number	.00
01/21/2020 Buy	Trade Details	SWGXX	NUM 627 SCHWAB GOVT 3.160 \$1.00 -\$3,160 MONEY FUND	0.00
01/17/2020 Journal			JOURNAL FRM Jernoved \$3,160.	.00
01/16/2020 Cash as of Dividend 01/15/2020		SWGXX	SCHWAB GOVT 34.9 MONEY FUND	
01/09/2020 Buy	Trade Details	SWGXX	SCHWAB GOVT 1,060.77 \$1.00 -\$1,060 MONEY FUND).77
01/08/2020 Cash Dividend		VCMIX	VERSUS CAP \$1,060 MULTI MNGR REAL ESTATE INCM FD INST	.77
01/02/2020 Buy	Trade Details	SWGXX	SCHWAB GOVT 3,114.07 \$1.00 -\$3,114 MONEY -\$3,114 FUND	.07
Transaction	ns Total		-\$3,114	.07

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SPOUSE Revocable Trust Transactions - 2020

Transactions From 04/05/2017 To 04/05/2021

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Date 🔻	Action	Details	Symbol	Description*	Quantity	Price	Fees &	Amoun
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01/2021	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	1,574.85	\$1.00	-	-\$1,574.85
03/31/2021	Cash Dividend		PRSMX	T. ROWE PRICE SUMMIT MUNICIPAL INTM INV	/			\$445.86
03/31/2021	Cash Dividend		PRILX	PARNASSUS CORE EQUID INSTITUTIONAL				\$1,128.99
03/31/2021	Reinvest Shares		RPIFX	T. BOWE PRICE INSTL FLOATING RATE	35.858	\$9.74		-\$349.26
03/31/2021	Reinvest Dividend		RPIFY	T. ROWE PRICE INSTL FLOATING RATE				\$349.26
03/31/2021	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	393.83	\$1.00		-\$393.83
03/30/2021	Cash Dividend		AWIIX	CIBC ATLAS INCOME OPPORTUNITIES INSTL				\$393.83
03/16/2021 as of 03/15/2021	Cash Dividend		SWGXX	SCHWAB GOVT MONEY FUND	0.23			
03/01/2021	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	603.62	\$1.00		-\$603.62

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02/26/2021	Cash Dividend		PRSMX	T. ROWE PRICE SUMMIT MUNICIPAL INTM INV			/	\$603.62
02/26/2021	Neinvest Dividend		RPIFX	T. ROWE PRICE INSTL FLOATING RATE		/		\$107.98
02/26/2021	Reinvest Shares		RPIFX	T. ROWE PRICE INSTL FLOATING RATE	11.064	\$6.76		-\$107.98
02/23/2021	Sell	Thede Details	SWGXX	SCHWAB GOVT MONEY FUND	3,967.4	\$1.00		\$3,967.41
02/22/2021	Advisor Fee			TO ADVISOR				-\$3,967,41
02/17/2021	Sell	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	30	\$1,00		\$30,00
02/17/2021 as of 02/16/2021	Cash Dividend		SWGXX	SCHUAB GOVT	0,25			
02/16/2021	Buy	Trade Details	RPIFX	T. ROWE PRICE INSTL FLOANNG RATE	10,235.415	\$9.77	\$15.00	-\$100,015.00
02/16/2021	Sell	Trade Details	PREMIX	T. ROWE PRICE SUMMIT MUNICIPAL INTM INV	16,038.492	\$12.47		\$200,000.00
02/16/2021	Buy	Trade Details	PCRIX	PIMCO COMMODITY REAL RET STRAT INSTL	15,313,936	\$6.53	\$15.00	-\$100,015.00
02/01/2021	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	748.57	\$1.00		-\$748.57
01/29/2021	Cash Divigend		PRSMX	T. ROWE PRICE SUMMIT MUNICIPAL INTM INV				\$748.57
01/19/2021 as of 01/15/2021	Cash Dividend		SWGXX	SCHWAB GOVT MONEY FUND	0.13			
01/04/2021	Виу	Details		SCHWAB GOVT MONEY FUND	918.42	\$1.00		-\$918.42
12/31/2020	Cash Dividend		PRSMX	T. ROWE PRICE SUMMIT MUNICIPAL INTM INV				\$918.42
12/31/2020	Cash Dividend		SWGXX	SCHWAB GOVT	0.4			

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	12/31/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	434,79	\$1.00	-\$434.
	12/30/2020	Cash Dividend		AWIIX	CIBC ATLAS INCOME OPPORTUNITIES INSTL			\$434.7
	12/23/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	563.98	\$1.00	-\$563.
	12/22/2020	Reinvest Shares		PXSIX	PAX SMALL CAP	29,052	\$15.83	-\$459
	12/22/2020	Reinvest Shares		PXSIX	PAX SMALL CAP	78.226	\$15,83	-\$1,23
	12/22/2020	Short Term Cap Gain Reinvest		PXSIX	PAX SMALL CAP			\$1,238
	12/22/2020	Long Term Cap Gain Reinvest		PXSIX	PAX SMALL CAP			\$459.8
	12/22/2020	Cash Dividend	The second second	PXWIX	PAX ELLEVATE GLBL-WOMEN'S LDRSP INST			\$563.5
	12/18/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	3,632.14	\$1.00	-\$3,63
1	12/17/2020	Cash Dividend		BCAIX	BOSTON COMMON ESG IMPACT INTL			\$1,846
	12/17/2020	Cash Dividend		PRILX	PARNASSUS CORE EQUITY INSTITUTIONAL			\$1,785
1	12/16/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	319.23	S1.00	-\$319.
1	12/15/2020	Cash Dividend		HLEMX	HARDING LOEVNER EMERGING MARKETS ADVISOR			\$319.2
1	12/08/2020	Reinvest Shares		PRSMX	T. ROWE PRICE SUMMIT MUNICIPAL INTM INV	0.294	\$12.37	-\$3.64
1	12/08/2020	Short Term Cap Gain Reinvest		PRSMX	T. ROWE PRICE SUMMIT MUNICIPAL INTM INV			\$3.64
1	12/01/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT	786.95	\$1.00	-\$786.
1	1/30/2020	Cash Dividend		PRSMX	T. ROWE PRICE SUMMIT MUNICIPAL INTM			\$786.9

				INV				80	
11/19/2020	Long Term Cap Gain Reinvest		PRILX	PARNASSUS CORE EQUITY INSTITUTIONA					\$43,252.16
11/19/2020	Reinvest Shares		PRILX	PARNASSUS CORE EQUITY INSTITUTIONA		839.033	\$51,55		-\$43,252.16
11/17/2020 as of 11/16/2020	Cash Dividend		SWGXX	SCHWAB GOV MONEY FUND		0.26			
11/12/2020	Sell	Trade Details	SWGXX	SCHWAB GOV MONEY FUND	т	3,679.91	\$1.00		\$3,679.91
11/10/2020	Advisor Fee			TO ADVISOR					-\$3,679.91
11/02/2020	Buy	Trade Details	SWGXX	SCHWAB GOV MONEY FUND		867.33	\$1.00		-\$867.33
10/30/2020	Cash Dividend		PRSMX	T. ROWE PRIC SUMMIT MUNICIPAL INT					\$867.33
				INV					
10/16/2020 as of 10/15/2020	Cash Dividend		SWGXX	SCHWAB GOVT MONEY FUND	0.29				
Tran	sactions	s Total							\$0.00

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Independent Investment Advisor*									
CIBC PRIVATE WEALTH ADVRS, INC									
CIBC	PRIVATE WEALTH MANAGEMENT								
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Transactions From 04/05/2017 To 04/05/2021

	Date 🔻	Action	Details	Symbol	Description*	Quantity	Price	Fees &	Amoun
								Comm	
	10/01/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	1,991.44	\$1.00		-\$1,991.44
	09/30/2020	Cash Dividend		PRSMX	T. ROWE PRICE SUMMIT MUNICIPAL INTM INV				\$824.03
	09/30/2020	Cash Dividend		PRILX	PARNASSUS CORE EQUITY INSTITUTIONAL				\$1,167.41
	09/30/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	386.56	\$1.00		-\$386.56
	09/29/2020	Cash Dividend		AWIIX	CIBC ATLAS INCOME OPPORTUNITIES INSTL				\$386.56
	09/16/2020 as of 09/15/2020	Cash Dividend		SWGXX	SCHWAB GOVT MONEY FUND	0.24			
а.	09/01/2020	Виу	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	827.16	\$1.00		-\$827.16
	08/31/2020	Cash Dividend		PRSMX	T. ROWE PRICE SUMMIT MUNICIPAL INTM INV				\$827.16
	08/18/2020 as of 08/17/2020	Cash Dividend		SWGXX	SCHWAB GOVT MONEY FUND	0.53	1		

	uy eli	Trade Details Trade Details Trade Details Trade Details Trade Details	SWGXX HLEMX AWIIX PXWIX PRSMX	TO ADVISOR SCHWAB GOVT MONEY FUND HARDING LOEVNER EMERGING MARKETS ADVISOR CIBC ATLAS INCOME OPPORTUNITIES INSTL PAX ELLEVATE GLBL WOMEN'S LDRSP INST T. ROWE PRICE SUMMIT MUNICIPAL INTM	40,030 1,470.588 4,615.385 2,948.765 4,854.369	\$1.00 \$54.40 \$13.00 \$27.13 \$12.36	\$15.00	-\$3,331.51 \$40,030.00 -\$80,000.00 \$59,985.00 -\$80,015.00 \$60,000.00
08/07/2020 Bu 08/07/2020 Se 08/07/2020 Bu 08/07/2020 Se	uy eli	Details Trade Details Trade Details Trade Details Trade Details	HLEMX AWIIX PXWIX	MONEY FUND HARDING LOEVNER EMERGING MARKETS ADVISOR CIBC ATLAS INCOME OPPORTUNITIES INSTL PAX ELLEVATE GLBL WOMEN'S LDRSP INST T. ROWE PRICE SUMMIT	1,470.588 4,615.385 2,948.765	\$54.40 \$13.00 \$27.13		-\$80,000.00 \$59,985.00 -\$80,015.00
08/07/2020 Se 08/07/2020 Bu 08/07/2020 Se	ell uy ell	Details Trade Details Trade Details Trade Details	AWIIX PXWIX	LOEVNER EMERGING MARKETS ADVISOR CIBC ATLAS INCOME OPPORTUNITIES INSTL PAX ELLEVATE GLBL WOMEN'S LDRSP INST T. ROWE PRICE SUMMIT	4,615 385 2,948 765	\$13.00 \$27.13		\$59,985.00
08/07/2020 Bu 08/07/2020 Se	uy ell	Details Trade Details Trade Details	PXWIX	INCOME OPPORTUNITIES INSTL PAX ELLEVATE GLBL WOMEN'S LDRSP INST T. ROWE PRICE SUMMIT	2,948.765	\$27.13		-\$80,015.00
08/07/2020 Se	ell 	Details Trade Details		GLBL WOMEN'S LDRSP INST T. ROWE PRICE SUMMIT	- 22. - 1997 - 1987		\$15.00	
	11 (Ann 1 11)	Details	PRSMX	SUMMIT	4,854.369	\$12.36		\$60.000.00
08/03/2020 Bu	uy V			where do have been all the second strengtheness and the second strengtheness of the se				
		Trade Details	SWGXX	INV SCHWAB GOVT MONEY FUND	1,050.35	\$1.00		-\$1,050.35
	ash vidend		PRSMX	T. ROWE PRICE SUMMIT MUNICIPAL INTM INV				\$1,050.35
	ash vidend		SWGXX	SCHWAB GOVT MONEY FUND	0.56			
07/01/2020 Bu		Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	2,372.14	\$1.00		-\$2,372.14
	ash vidend		PRSMX	T ROWE PRICE SUMMIT MUNI INTERM INV				\$979.46
	ash vidend		PRILX	PARNASSUS CORE EQUITY INSTITUTIONAL				\$1,392.68
06/30/2020 Bu	-	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	677.88	\$1.00		-\$677.88
	ash vidend		AWIIX	CIBC ATLAS INCOME OPPORTUNITIES INSTL		1 -		\$677.88
06/16/2020 Ca as of Div 06/15/2020	ash vidend		SWGXX	SCHWAB GOVT MONEY FUND	0.95			

Charles Schwab Client Center | Accounts History MONEY FUND

Details

05/29/2020	Cash					alan pelanter ann ann a pellan agus	and the set of the second s	
	Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI INTERM INV				\$786.63
05/28/2020	Sell	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	160,015	\$1.00		\$160,015.0
05/27/2020	Buy	Trade Details	PXSIX	PAX SMALL CAP	2,779.984	\$12.59	\$15.00	-\$35,015.0
05/27/2020	Buy	Trade Details	PRSMX	T ROWE PRICE SUMMIT MUNI INTERM INV	10,373.444	\$12.05		-\$125,000
05/18/2020 as of 05/15/2020	Cash Dividend		SWGXX	SCHWAB GOVT MONEY FUND	1.92			
05/12/2020	Sell	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	3,017.23	\$1.00		\$3,017.23
05/11/2020	Advisor Fee			TO ADVISOR				-\$3,017.23
05/01/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	745.9	\$1.00		-\$745.90
04/30/2020	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI INTERM INV				\$745.90
04/16/2020 as of 04/15/2020	Cash Dividend		SWGXX	SCHWAB GOVT MONEY FUND	11.5			
04/01/2020	Sell	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	149,176.05	\$1.00	28	\$149,176.0
03/31/2020	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI INTERM INV				\$734.40
03/31/2020	Cash Dividend		PRILX	PARNASSUS CORE EQTY FD INST			22.5	\$1,673.18
03/31/2020	Cash Dividend		EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I				\$31.81
03/31/2020	Buy	Trade Details	PRILX	PARNASSUS CORE EQTY FD INST	1,920.23	\$39.05	\$15.00	-\$75,000.00
03/31/2020	Виу	Trade Details	BCAIX	BOSTON COMMON ESG IMPACT INTL FD	7,824.139	\$25.56	\$15.00	-\$200,000.0
03/31/2020	Sell	Trade Details	FLMVX	JPMORGAN MID CAP VALUE L	4,488.659	\$27.05	\$15.00	\$121,403.2

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4/5/2021			с	harles Schwab C	lient Cer	iter Accounts H	listory		
U3/31/2U2U	5611	Trade Details	64317	GLOBAL EQT		14 1.300	⊅14.1∠	313,00	91,901,33
03/31/2020	Buy	Trade Details	SWGXX	SCHWAB GOV MONEY FUND		725.02	\$1,00		-\$725.02
03/30/2020	Cash Dividend		AWIIX	CIBC ATLAS IN OPPTY FD INS					\$725.02
03/30/2020	Buy	Trade Details	SWGXX	SCHWAB GOV MONEY FUND		340,969.98	\$1.00		-\$340,969,98
03/26/2020	Sell	Trade Details	TROCX	TOUCHSTONE GLOBAL ESG EQUITY INST	1	15,623.593	\$17,80	\$15.00	\$278,084.96
03/26/2020	Sell	Trade Details	PXSIX	PAX SMALL C	AP	5,922 789	\$10.62	\$15.00	\$62,885.02
03/17/2020 as of 03/16/2020	Cash Dividend	2	SWGXX	SCHWAB GOVT MONEY FUND	20.58				

Transactions Total

Transactions may include Bank Sweep deposit information. Bank Sweep deposits are held at the FDIC-insured depository institution(s) referenced on the Balances detail lage, your account statements and disclosed in your account documents. SIPC does not cover balances held in the Bank Sweep feature.

Account

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Bank Sweep deposits are held at one or more FDIC-insured banks that are affiliated with Charles Schwab & Co., Inc. ("Affiliated Banks"). Funds deposited at Affiliated Banks are insured, in aggregate, up to \$250,000 per Affiliated Bank, per depositor, for each account ownership category, by the Federal Deposit Insurance Corporation (FDIC). Securities products and services (including unswept or intraday cash, net credit or debit balances, and money market funds) offered by Charles Schwab & Co., Inc. (member SIPC ¹²) are not deposits or obligations of the Affiliated Banks, are subject to investment risk, are not FDIC insured, may lose value, and are not Affiliated Bank-guaranteed. Charles Schwab & Co., Inc. and the Affiliated Banks are separate entities and are all affiliates of The Charles Schwab Corporation.

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Independent Investment Advisor*									
CIBC PRIVATE WEALTH ADVRS, INC									
CIBC PRIVATE WEALTH MANAGEMENT									
Contact your advisor									
Not affilia	ted with Schwab								

Transactions From 04/05/2017 To 04/05/2021

	Date 🔻	Action	Details	Symbol	Description*	Quantity	Price	Fees &	Amoun	
								Comm		
	03/02/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	670,63	\$1.00		-\$670.63	
	02/28/2020	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI INTERM INV				\$670,63	
	02/19/2020 as of 02/18/2020	Cash Dividend		SWGXX	SCHWAB GOVT MONEY FUND	31,46				
	02/11/2020	Sell	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	3,540.9	\$1.00		\$3,540.90	
	02/10/2020	Advisor Fee			TO ADVISOR				-\$3,540.90	
	02/03/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	767.21	\$1.00		-\$767.21	
	01/31/2020	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI INTERM INV				\$767.21	
	01/16/2020 as of 01/15/2020	Cash Dividend		SWGXX	SCHWAB GOVT MONEY FUND	13.37				
	01/02/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	752.78	\$1.00		-\$752.78	
0	12/31/2019	Cash		PRSMX	T ROWE PRICE				\$752.78	
	-	Dividend			INTERM INV	and the second	and the state of the		And the second second	
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Independent Investment Advisor* CIBC PRIVATE WEALTH ADVRS, INC							
CIBC PRIVATE WEALTH MANAGEMENT							
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Attachment J: Fauci 2020 278° Report, Section 7 spouse Independent Investment Advisor* CIBC PRIVATE WEALTH ADVRS, INC

Transactions From 04/05/2017 To 04/05/2021

Date 💌	Action	Details	Symbol	Description*	Quantity	Price	Fees & Comm	Amoun
03/31/2021	Cash Dividend		VFIDX	VANGUARD INTERM-TERM INVESTMENT- GRDE ADM		/		\$28.76
03/31/2021	Cash Dividend		PRILX	PARNASSUS CORE EQUITY INSTITUTIONAL	/			\$62.91
03/31/2021	Reinvest Shares		VFIDX	VANGUARD INTERM-TERM INVESTMENT- GRDE ADM	7.441	\$9.98		-\$74,26
03/31/2021	Reinvest Shares		VFIDX	HANGUARD INTERM-TERM INVESTMENT- GRDE ADM	2.244	\$9.98		-\$22.40
03/31/2021	Long Term Cap Gain Reinvest	/	FIDX	VANGUARD INTERM-TERM INVESTMENT- GRDE ADM				\$74.26
03/31/2021	Short Term Cap Gain Reinvest		VFIDX	VANGUARD INTERM-TERM INVESTMENT- GRDE ADM				\$22.40
03/16/2021 as of 03/15/2021	Bank Interest			BANK INT	removed account number			\$0.01
03/01/2221 as of 02/26/2021	Cash Dividend		VFIDX	VANGUARD INTERM-TERM INVESTMENT- GRDE ADM				\$29.65

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as of 02/15/2021	Bank Internet		BANK INT SCHWAB BANK	remov account nymet	-	\$0.01
01/29/2021	Cash Dividend	VFIDX	VANGUARD INTERM-TERM INVESTMENT- GRDE ADM			\$29.41
01/19/2021	Bank		BANK INT	removed		\$0.01
as of 01/45/2021	Interest		SCHWAB BANK	numbe		
12/31/2020	Cash Dividend	VFIDX	VANGUARD INTERM-TERM INVESTMENT- GRDE ADM			\$37.61
12/29/2020	Reinvest Shares	VFIDX	VANGUARD INTERM-TERM INVESTMENT- GRDE ADM	20.918	\$10.45	-\$218.59
12/29/2020	Reinvest Shares	VFIDX	VANGUARD INTERM-TERM INVESTMENT- GRDE ADM	17.258	\$10.45	-\$180.35
12/29/2020	Long Term Cap Gain Reinvest	VFIDX	VANGUARD INTERM-TERM INVESTMENT- GRDE ADM			\$218,59
12/29/2020	Short Term Cap Gain Reinvest	VFIDX	VANGUARD INTERM-TERM INVESTMENT- GRDE ADM			\$180.35
12/22/2020	Reinvest Shares	PXSIX	PAX SMALL CAP	2.49	\$15.83	-\$39.42
12/22/2020	Reinvest Shares	PXSIX	PAX SMALL CAP	6.705	\$15.83	-\$106.14
12/22/2020	Short Term Cap Gain Reinvest	PXSIX	PAX SMALL CAP			\$106.14
12/22/2020	Long Term Cap Gain Reinvest	PXSIX	PAX SMALL CAP			\$39.42
12/22/2020	Cash Dividend	PXWIX	PAX ELLEVATE GLBL WOMEN'S LDRSP INST			\$63.45
12/17/2020	Cash Dividend	BCAIX	BOSTON COMMON ESG IMPACT INTL			\$148.85
12/17/2020	Cash Dividend	PRILX	PARNASSUS CORE EQUITY INSTITUTIONAL			\$99.51

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as of 12/15/2020	Interest			SCHWAB BANK	account			
12/15/2020	Cash Dividend		HLEMX	HARDING LOEVNER EMERGING MARKETS ADVISOR	num bo			\$56.72
12/08/2020	Buy	Trade Details	HLEMX	HARDING LOEVNER EMERGING MARKETS ADVISOR	77.447	\$64.56		-\$5,000.0
12/08/2020	Sell	Trade Details	VFIDX	VANGUARD INTERM-TERM INVESTMENT- GRDE ADM	469.13	\$10.69	\$15.00	\$5,000.00
11/30/2020	Cash Dividend		VFIDX	VANGUARD INTERM-TERM INVESTMENT- GRDE ADM				\$41.46
11/19/2020	Reinvest Shares		PRILX	PARNASSUS CORE EQUITY INSTITUTIONAL	46,752	\$51.55		\$2,410.0
11/19/2020	Long Term Cap Gain Reinvest		PRILX	PARNASSUS CORE EQUITY INSTITUTIONAL				\$2,410.08
11/16/2020 as of 11/15/2020	Bank Interest			BANK INT	removed account number			\$0.01
10/30/2020	Cash Dividend		VFIDX	VANGUARD INTERM-TERM INVESTMENT- GRDE ADM				S40.71
10/16/2020 as of 10/15/2020	Bank Interest			BANK INT SCHWAB	removed account number			\$0.01
09/30/2020	Cash Divldend		VFIDX	VANGUARD INTERM-TERM INVESTMENT- GRDE ADM				\$40.78
09/30/2020	Cash Dividend		PRILX	PARNASSUS CORE EQUITY INSTITUTIONAL				\$65.05
09/16/2020 as of 09/15/2020	Bank Interest			BANK INT / SCHWAB BANK	vemovel account number			\$0.01
08/31/2020	Cash Dividend		VFIDX	VANGUARD INTERM-TERM INVESTMENT- GRDE ADM				\$42.22
08/17/2020 as of 08/15/2020	Bank Interest			BANK INT SCHWAB BANK	removed account number			\$0.05
4/5/2021

Charles Schwab Client Center | Accounts History

08/07/2020	Вчу	Trade Details	HLEMX	HARDING LOEVNER EMERGING MARKETS ADVISOR	183.824	\$54.40		-\$10,000.00
08/07/2020	Sell	Trade Details	AWIIX	CIBC ATLAS INCOME OPPORTUNITIES INSTL	1.008.509	\$13.00	\$15.00	\$13,095.62
08/07/2020	Buy	Trade Details	PXWIX	PAX ELLEVATE GLBL WOMEN'S LDRSP INST	331.736	\$27.13	\$15.00	-\$9,015.00
07/31/2020	Cash Dividend		VFIDX	VANGUARD INTERM-TERM INVESTMENT- GRDE ADM				\$44.36
07/16/2020 as of 07/15/2020	Bank Interest			BANK INT SCHWAB BANK	removed account num be	_		\$0.05
06/30/2020	Cash Dividend		VFIDX	VANGUARD INTERM-TERM INVESTMENT- GRDE ADM				\$44.21
06/30/2020	Cash Dividend		PRILX	PARNASSUS CORE EQUITY INSTITUTIONAL				\$77.60
06/29/2020	Cash Dividend		AWIIX	CIBC ATLAS INCOME OPPORTUNITIES INSTL			1	\$60.91
06/16/2020 as of 06/15/2020	Bank Interest			BANK INT 1 SCHWAB BANK	removed account			S0.04
05/29/2020	Cash Dividend		VFIDX	VANGUARD INTERM TERM INVESTMENT GRADE ADMIRALSHARE				\$5.94
05/27/2020	Sell	Trade Details	TROCX	TOUCHSTONE GLOBAL ESG EQUITY INST	2,675.23	\$20.01	\$15.00	\$53,516.35
05/27/2020	Buy	Trade Details	PRILX	PARNASSUS CORE EQTY 609.2 FD INST	206 \$44,3	2 \$15	.00	-\$27,015.00
		ns Total		ep deposits are held at the FE				\$21,602.29

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CIBC PRIVA	ATE WEALTH ADVRS, INC
CIBC	PRIVATE WEALTH MANAGEMENT
Contact yo	ur advisor
Not affilia	ted with Schwab

Transactions From 04/05/2017 To 04/05/2021

Date v	Action	Details	Symbol	Description*	Quantity	Price	Fees & Comm	Amoun
05/27/2020	Buy	Trade Details	PXSIX	PAX SMALL CAP INST	238.284	\$12.59	\$15.00	-\$3,015.00
05/27/2020	Buy	Trade Details	VFIDX	VANGUARD INTERM TERM INVESTMENT GRADE ADMIRALSHARE	1,934,236	\$10.34	\$15.00	-\$20,015,0
05/18/2020 as of 05/15/2020	Bank Interest			BANK INT SCHWAB BANK	vemore	ed		\$0.02
04/16/2020 as of 04/15/2020	Bank Interest			BANK INT) SCHWAB BANK	numb	t		\$0.02
03/31/2020	Cash Dividend		PRILX	PARNASSUS CORE EQTY FD INST				\$30.05
03/30/2020	Cash Dividend		AWIIX	CIBC ATLAS INCM OPPTY FD INST				\$65,15
03/16/2020 as of 03/15/2020	Bank Interest			BANK INT SCHWAB BANK				\$0.10
02/18/2020 as of 02/15/2020	Bank Interest			BANK INT SCHWAB BANK	remoi accoi num	ved int		\$0.14
01/16/2020 as of 01/15/2020	Bank Interest			BANK INT SCHWAB BANK	r (My)	(H. 1. 1)		\$0.13
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Executive Branch Personnel Public Financial Disclosure Report(OGE Form 278e)

Filer's Information

FAUCI, Anthony S. NIAID/OD -- Director, National Institute of Allergy and Infectious Diseases, DHHS/NIH Date of Appointment: 2/1/1985

Other Federal Government Positions Held During the Preceding 12 Months:

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge. /s/ FAUCI, Anthony S [electronically signed on 4/21/2020 by FAUCI, Anthony S in NEES]

Agency Ethics Official Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below). /s/ TABAK, Lawrence Allan [electronically signed on 5/18/2020 by TABAK, Lawrence Allan in NEES]

Other review conducted by

U.S office of Government Ethics Certification

1. Filer's Positions Held Outside United States Government

	NAME	CITY, STATE	ORG TYPE	POSITION	FROM	то
1	Doris Duke Charitable Foundation (DDCF) (Filer Changes)	New York, NY	Foundation	Member	10/1996	Present
2	McGraw-Hill Publishing Company (Filer Changes)	Burr Ridge, IL	Publisher/Journal	Editor, Associate	11/1983	Present

2. Filer's Employment Assets and Income

#	DESCRIPTION	EIF		VALUE	INCOME TYPE	
1	Jackson-Fillmore (Italian Resta Venture, Restaurant), San Fra			\$1,001 - \$15,000		None
2	McGraw-Hill Publishers, Inc Royalty for editing "Harrison's Medicine"			None	Rents and Royalties	\$100,001 - \$1,000,000
3	A Attachment: 2019 - Filer - Revo Summary	rocable Trust - Account			*	
4	Attachment: 2019 - Filer - Defi Summary	ined Benefit Plan - Account				
5	Attachment: 2019 - Filer - Cont Summary	tributory IRA - Account				
6	Attachment: 2019 - Spouse - R Summary	Revocable Trust - Account	=		·	

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding \$5,000 in a year

None

5. Spouse's Employment Assets and Income

None

6. Other Assets and Income

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Dreyfus Liquid Assets Cla Fund, Investment Fund, o	ss I - Money Market, Mutual r Pool (Filer Changes)	Y	\$250,001 - \$500,000	Dividends	\$2,501 - \$5,000
2		ation Plan for Child #3: Moderate , Mutual Fund, Investment Fund,	Y	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNIT
	· · · · · · · · · · · · · · · · · · ·		DATE	AMOUNT
1 F	Attachment: 2019 - Spouse - IRA Contributory - Transactions	<u>. </u>		
2 G,	Attachment: 2019 - Spouse - Revocable Trust - Transactions			
з Н	Attachment: 2019 - Filer - Defined Benefit Plan - Transaction	s		
4 I	Attachment: 2019 - Filer - IRA Contributory - Transactions			
5 J	Attachment: 2019 - Filer - Revocable Trust - Transactions			
None				
). Gifts an	nd Travel Reimbursements			
). Gifts an	Nd Travel Reimbursements	ĊITY, STATE	BRIEF DESCRIPTION	VALUE

	}			
2 Reimbursement exper (Filer Changes)	nse, Doris Duke Charitable Foundation (DDC	F) New York, NY	Reimbursement for travel to New York City on 11/11/2019 to attend meeting of the Board of Directors of the Doris Duke Charitable Foundation	\$422
3 Reimbursement exper Changes)	se, McGraw-Hill Publishing Company (File	Burr Ridge, IL	Travel expense reimbursement for trip to La Jolla, CA to attend meeting of the Editorial Board of Harrison's Principles of Internal Medicine (McGraw-Hill Publishing) from 07/07/2019 to 07/13/2019	\$6328
4 ResearchtAmerica (Fil	er Changes)	Washington, DC	WAG - Attended Annual Advocacy Awards Dinner on March 13, 2019 in Washington, DC.	\$500
Endnotes COVER PAGE COMMENTS				
NEO Reviewer -	(05/01/2020 7:41 a.m	ı.)		
Initial Review 4/28/20 pursuant to filer. Compliant w		n filer on 4/28/20 -	additional info received from filer 4/30	/20. Revisions made
				_ = _ ,

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MANAGEMENT



	Total Account Value	Total Assets Long	Equity Funds	Bond Funds	[Sweep]	Asset Composition Cash and Money Market Funds
	\$ 4,655,570.16	\$ 4,655,570.16	3,265,106,42	1,307,853.52	\$ 82,610.22	Market Value
	100%		70%	28%	2%	% of Account Assets
Sweep] 28% Bond Funds 70% Equity Funds	2% Cash MMFs		1			Overview

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3/19

\$ 1,297,196.44

Ending Value on 12/31/2019 **Total Change in Account Value**

\$ 4,655,570.16 \$ 97,047.84

(31,380.31)

70,336.27

Change in Value of Investments

6/19 9/19 12/19

charles SCHWAB

Attachment A: Fauci 2019 278e Report, Section 2 ANTHONY S FAUCI REVOCABLE TR Schwab One® Trust Account of ANTHONY S FAUCI TTEE U/A DTD 03/19/2014

Account Number

Statement Period December 1-31, 2019

Account Value as of 12/31/2019:\$ 4,655,570.16

Change in Account Value	This Period	Year to Date	Year to Date Account Value [in Thousands]
Starting Value	\$ 4,558,522.32	\$ 3,358,373.72	
Credits	58,091,88	872,456.84	
Debits	0.00	(121,033.81)	4250
Transfer of Securities (In/Out)	0.00	0.00	3400
Income Reinvested	(31,380.31)	(33,443.87)	

\$ 4,655,570.16 (33,443.87) 579,217.28 1700 2550 028

ANTHONY S FAUCI TTEE ANTHONY S FAUCI REVOCABLE U/A DTD 03/19/2014	TR	Account Number	Statement Period December 1-31, 2019
Realiz	zed Gain or (Loss) This Peri	od	Unrealized Gain or (Loss)
S	hort Term	Long Term	
	\$0.00	\$0.00	\$663,589,88
Values may not reflect all of your gains/losses. Cost basis may change and be adjusted in certa For additional gain or (loss) information refer to Terms and Conditions.	in cases. Statement information s	should not be used for tax preparati	on, inslead refer to official tax documents.
This Per	lod	Ye	Year to Date
Federally Tax-Exempt	Federally Taxable	Federally Tax-Exempt ¹	Federally Taxable
0.00	359.02	0,00	2,422.58
1,896,46	24,957,49	21,753.85	51,872.39
0.00	30,070,91	0.00	30,878.91
Certain income in this category may qualify for state tax exemption; consult your tax advisor.	00,140,TE	CD,	85,1/3.88
		This Period	Year to Date
		\$ 305,569.63	\$ 121,630.72
		0.00	765,529.11
		0.00	115,757.76
		58,091.88	106,927.73
		0.00	(100,000.00)
		(281,051.29)	(906,201.29)
		0.00	(21,033.81)
		(222,959.41)	(39,020.50)
		\$ 82,610.22	\$ 82,610.22
	ANTHONY S FAUCI TTEE ANTHONY S FAUCI REVOCABLE UIA DTD 03/19/2014 Realia st basis may change and be adjusted in certa mis and Conditions. This Per Federally Tax-Exempt ¹ 0.00 1.896.46 0.00 1.896.46 1.896.46 te tax exemption; consult your tax advisor.	ANTHONY S FAUCI TTEE ANTHONY S FAUCI REVOCABLE TR Realized Gain or (Loss) This Peri Short Term So.00 it basis may change and be adjusted in certain cases. Statement information s mis and Conditions. This Period Federally Tax-Exempt ¹ Federally Taxable 0.00 359.02 1,896.46 24,957.49 0.00 30,878.91 1,896.45 56,195.42 te tax exemption; consult your tax advisor.	AUCI TTEE AUCI REVOCABLE TR Nort Term Short Term So.00 So.00 So.00 So.00 So.00 So.00 So.00 So.00 So.00 So.00 This Period This Period 1,896,46 So,195,42 So,195,42 So,195,42 This Period 1,896,46 So,195,42 So,

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings.

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Total Bond Funds	T ROWE PRICE SUMMIT MUNI INTERM INV SYMBOL: PRSMX	Bond Funds PIMCO INVESTMENT GRADE CREDIT BD INST SYMBOL: PIGIX	Total Cash and Money Market Funds [Sweep] Investment Detail - Mutual Funds	Total Money Market Funds [Sweep]	SCHWAB GOVT MONEY FUND: SWGXX	Money Market Funds [Sweep]	Total Cash	Cash	Cash	Investment Detail - Cash and Money Market Funds [Sweep]	<i>chavles</i> SCHWAB
111,065.1810	77,886,6790	Q uantity 33,178.5020	Sweep] ds							Money Market Fu	Schwab One® Trust Account of ANTHONY S FAUCI TTEE ANTHONY S FAUCI REVC U/A DTD 03/19/2014
	12.14000	Market Price 10,92000			79,496.1500	Quantity				unds [Sweep]	Schwab One® Trust Account of ANTHONY S FAUCI TTEE ANTHONY S FAUCI REVOCABLE TR U/A DTD 03/19/2014
1,307,853.52	945,544.28	Market Value 362,309.24	2			Market Price	3	<u>ں</u>	Starting Balance		~
28%	20%	% of Account Assets 8%			1.0000	Price	3,133.94	3,133.94	alance		
	11.82	Average Cost Basis 10.63	82,610.22	79,496.15	79,496,15	Market Value	3,114.07	3,114.07	Ending Balance		Account Number
1,270,850.75	918,072,82	Cost Basis 352,777.93			1.10%	Current Yield					Statement Period December 1-31, 2019
37,002.77	27,471,46	Unrealized Gain or (Loss) 9,531.31	2%	2%	2%	% of Account Assets	<1%	<1%	% of Account Assets		iod -31, 2019

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MFS INSTL INTL EQUITY SYMBOL: MIEIX	MATTHEWS PACIFIC TIGER FD INSTL SYMBOL: MIPTX	MAINSTAY EPOCH GLOBAL EQTY YIELD I SYMBOL: EPSYX	JPMORGAN MID CAP VALUE L SYMBOL: FLMVX	HARDING LOEVNER INST EMRG MKTS CL I SYMBOL: HLMEX	CIBC ATLAS MID CAP EQTY FD INST SYMBOL: AWMIX	CIBC ATLAS INCM OPPTY FD INST SYMBOL: AWIIX	CIBC A LAS DISCIPLINED EQTY FD INST SYMBOL: AWEIX	Equity Funds	Investment Detail - Mutual Funds (continued)	charles SCHWAB
7,199.4240	8,346,7970	5,604.5050	5,166,5720	12,125.6750	14,395,6420	24,290.5390	53,058.3870	Quantity	Funds (continued	Schwab One® Trust Account of ANTHONY S FAUCI TTEE ANTHONY S FAUCI REVO U/A DTD 03/19/2014
27.86000	28.71000	19.06000	39.67000	22,58000	17,44000	12.82000	22.71000	Market Price	I)	Schwab One® Trust Account of ANTHONY S FAUCI TTEE ANTHONY S FAUCI REVOCABLE TR U/A DTD 03/19/2014
200,575.95	239,636.54	106,821.87	204,957.91	273,797.74	251,060.00	311,404.71	1,204,955.97	Market Value		TR
4%	5%	2%	4%	6%	5%	7%	26%	% of Account Assets		
27,78	27.78	18.06	33.61	18.97	12.63	10.33	15.84	Average Cost Basis		Account Number
200,015.00	231,877.79	99,097.99	173,655.98	230,030.00	181,860.76	250,888,24	814,311.39	Cost Basis		
560,95	7,758.75	7,723.88	31,301.93	43,767.74	69,199.24	60,516.47	390,644,58	Unrealized Gain or (Loss)		Statement Period December 1-31, 2019

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3,909,370.06	Total Cost Basis	Tota					
4,655,570.16	Total Account Value	Total Ac					
4,655,570.16	Total Investment Detail	Total Invest					
663,589,88	3,909,370.06		98%	4,572,959.94		255,857.4010	Total Mutual Funds
626,587.11	2,638,519,31		70%	3,265,106.42		144,792.2200	Total Equity Funds
2,496,93	99,036,68	25.19	2%	101,533.61	25,82000	3,932,3630	VE RSUS C AP REAL ASSET FUND SYMBOL: VCRRX
2,373.59	99,783.91	27,75	2%	102,157.50	28.41000	3,595,8290	VERSUS CAP MULTI MNGR REAL ESTATE INCM FD INST SYMBOL: VCMIX
8,325,13	100,015,00	73.27	2%	108,340.13	79.37000	1,365.0010	VANGUARD SMALL CAP INDEX ADMIRAL SHARE SYMBOL: VSMAX
1,917.92	157,946.57	27.65	3%	159,864,49	27.99000	5,711,4860	VAL VAL SYMBOL: TBGVX
Unrealized Gain or (Loss)	Cost Basis	Average Cost Basis	% of Account Assets	Market Value	Market Price	Quantity	Equity Funds (continued)
)	Funds (continued	Investment Detail - Mutual Funds (continued)

Schwab One® Trust Account of ANTHONY S FAUCI TTEE ANTHONY S FAUCI REVOCABLE TR U/A DTD 03/19/2014

December 1-31, 2019 Statement Period



Credit/(Debit) 994,46 248.89			Description MAINSTAY EPOCH GLOBAL: EPSYX MAINSTAY EPOCH GLOBAL: EPSYX	Activity LT Cap Gain Rein Cash Dividend		Transaetten Process Date Date 12/10/19 12/10/19 12/10/19 12/10/19
		lends reinvested)	Transaction Detail - Dividends & Interest (including Money Market Fund dividends rei	I - Dividends & I	tion Detai	Transact
(281,051.29)				65 5	Total Purchases & Sales	Total Purc
(281,051.29)				Activity	Total Equity Funds Activity	Total Eq
(200,015.00)	27,7800	7,199,4240	MFS INSTL INTL EQUITY: MIEIX	Bought	12/23/19	12/24/19
(50,015.00)	19.0100	2,630,1950	MAINSTAY EPOCH GLOBAL EQTY YIELD I: EPSYX	Bought	12/23/19	12/24/19
(15,063.42)	22,6900	663.8790	CIBC ATLAS DISCIPLINED EQTY FD INST: AWEIX	Reinvested Shares	12/19/19	12/19/19
(6,817.79)	28.4500	239.6410	MATTHEWS PACIFIC TIGER FD INSTL: MIPTX	Reinvested Shares	12/17/19	12/17/19
(307.54)	27,7000	11.1030	TWEEDY BROWNE GLOBAL VAL UE FUND: TBGVX	Reinvested Shares	12/12/19	12/12/19
(142.38)	27.7000	5.1400	TWEEDY BROWNE GLOBAL VAL UE FUND: TBGVX	Reinvested Shares	12/12/19	12/12/19
(7,695.70)	39.5900	194.3850	JPMORGAN MID CAP VALUE L: FLMVX	Reinvested Shares	12/12/19	12/12/19
(994.46)	18.5700	53,5520	MAINSTAY EPOCH GLOBAL EQTY YIELD I: EPSYX	Reinvested Shares	- 12/10/19	12/10/19
Total Amount	Unit Price	Quantity	Description	 Transaction 	Trade Date	Settle Date
				Ÿ	Equity Funds Activity	Equity Fu
			Sales	Transaction Detail - Purchases & Sales	tion Deta	Transac
riod 1-31, 2019	Statement Period December 1-31, 2019		Schwab One® Trust Account of ANTHONY S FAUCI TTEE ANTHONY S FAUCI REVOCABLE TR U/A DTD 03/19/2014		<u></u>	<i>charles</i> sciiwab

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Schwab One® Trust Account of ANTHONY S FAUCI TIEE ANTHONY S FAUCI TIEE Date	68	248.89	1.0000	248,8900		ied	Purchased	12/11/19
Streat Composition Statement of ANTHONY S FAUCI REVOCABLE TR UN DTD 03/19/2014 Statement Period December 1-31 Iends & Interest (Including Money Market Fund dividends reinvested) (continued) December 1-31 Description n Rein TWEEDY BROWNE GLOBAL VAL: TBGVX nind Decomposition JPMORGAN MID CAP VALUE: FLMVX TWEEDY BROWNE GLOBAL VAL: TBGVX nind THEONY TWEEDY BROWNE GLOBAL VAL: TBGVX NMATTHEWS PACIFIC TIGER. MIPTX nind MATTHEWS PACIFIC TIGER. MIPTX NATHEWS PACIFIC TIGER. MIPTX NINC DISC ATLAS DISCIPLINED: AVELX VANGUARD SMALL CAP INDEX: VSMAX nind CIBC ATLAS DISCIPLINED: AVELX VANGUARD SMALL CAP INDEX: VSMAX nind CIBC ATLAS DISCIPLINED: AVELX VANGUARD SMALL CAP INDEX: VSMAX nind FILON VANGUARD SMALL CAP INDEX: VSMAX Nind Total Transaction Detail ph/CO INVESTIVER BOOM FILOE SUMMIT MUNI: PRSMX Total Transaction Detail Furchase/Debit	94	3,133.9	1.0000	3,133.9400		ëd	Purchas	12/02/19
Right Stand Ores Trust Account of ANTHONY S FAUCI RTEE ANTHONY S FAUCI REVOCABLE TR Statement Paried December 1:31 ction Detail - Dividends & Interest (including Money Market Fund dividends reinvested) (continued) Date Description n Process Date Antivory S FAUCI REVOCABLE TR Description 121/219 LT Cap Gain Rein 121/219 Jr Cap Gain Rein 121/219 </td <td></td> <td></td> <td></td> <td></td> <td></td> <td>02.435.6900</td> <td>of Shares: 3</td> <td>Opening #</td>						02.435.6900	of Shares: 3	Opening #
ANTHONY S FAUCI TTEE ANTHONY S FAUCI TREVOCABLE TR UIA DTD 03/19/2014 Desember 1-31 Description Jemorean MID Cap Value: FLMVX TWEEDY BROWNE GLOBAL VAL: TBGVX TWEEDY BROWNE GLOBAL VAL: TBGVX TREEDY BROWNE GLOBAL VAL: TBGVX CIBC ATLAS DISCIPLINED: AWEIX CIBC ATLAS DISCIPLINED: AWEIX CIBC ATLAS DISCIPLINED: AWEIX CIBC ATLAS DISCIPLINED: AWEIX SCHWAB GOVT MONEY FUND: SWGXX T ROWE PRICE SUMMIT MUNI: PRSMX T ROWE PRICE SUMMIT MUNI: PRSMX AII		Purchase/De	Unit Price	Quantity		tion	Transac	Settle Date
With OreS Trust Account of THOMY S FAUCI TTEE Statement Period December 1-31 Interest (including Money Market Fund dividends reinvested) (continued) December 1-31 Description JPNORGAN MID CAP VALUE: FLMVX TWEEDY BROWNE GLOBAL VAL: TBGVX TWEEDY BROWNE GLOBAL VAL: TBGVX TWEEDY BROWNE GLOBAL VAL: TBGVX TWEEDY BROWNE GLOBAL VAL: TBGVX HARDING LOENVER INST: HLMEX MATTHEWS PACIFIC TIGER: MIPTX CIBC ATLAS DISCIPLINED: AWEIX CIBC ATLAS DISCIPLINED: WINX YMICO INVESTMENT GRADE: FIGIX SCHWAB GOVT MONEY FUND: SWGXX T ROWE PRICE SUMMIT MUNI: PRSMX Total Transaction Detail						NEY FUND Activity	GOVT MO	SCHWAB
Stawab One® Trast Account of ANTHONY S FAUCI REVOCABLE TR UA DTD 03/19/2014 Statement Period eaction Detail - Dividends & Interest (including Money Market Fund dividends reinvested) (continued) Description 12/12/19 LT Cap Gain Rein 12/12/19 Cash Dividend Description IVMEEDY BROWNE GLOBAL VAL: TBGX TWEEDY BROWNE GLOBAL VAL: TBGX TWEEDY BROWNE GLOBAL VAL: TBGX 12/17/19 LT Cap Gain Rein 12/17/19 Cash Dividend MATTHEWS PACIFIC TIGER: MIPTX HARDING LOE/NEED SHOWNE GLOBAL VAL: TBGX TWEEDY BROWNE GLOBAL VAL: TBGX 12/17/19 Cash Dividend MATTHEWS PACIFIC TIGER: MIPTX CBC ATLAS DISCIPLINED: AWEIX CBC ATLAS DISCIPLINED: AWEIX CBC ATLAS DISCIPLINED: AWEIX 12/21/19 Cash Dividend CBC ATLAS DISCIPLINED: AWEIX CBC ATLAS DISCIPLINED: AWEIX CBC ATLAS DISCIPLINED: AWEIX CBC ATLAS DISCIPLINED: AWEIX 12/21/19 Cash Dividend CBC ATLAS DISCIPLINED: AWEIX CBC ATLAS DISCIPLINED: AWEIX CBC ATLAS DISCIPLINED: AWEIX CBC ATLAS DISCIPLINED: AWEIX 12/21/19 Cash Dividend CBC ATLAS DISCIPLINED: AWEIX CBC ATLAS DISCIPLINED: AWEIX TROWE PRICE SUMMIT MUNI: PRSMX 12/21/19 Cash Dividend SCHWAB GOVT MONEY FUND: SWGXX TROWE PRICE SUMMIT MUNI: PRSMX Total Tansaction Detail						ind (Sweep) Detail	Narket Fu	Money f
Schwalz One® Trust Account of ANTHONY S FAUCI REVOCABLE TR UA DTD 03/19/2014 Statement Period December 1-31, 2019 eaction Detail - Dividends & Interest (including Money Market Fund dividends reinvested) (continued) Continued) Ion Process Date Cash Rein 12/12/19 Description LT Cap Gain Rein 12/12/19 Description LT Cap Gain Rein 12/12/19 Continued Credit Continued 1 12/12/19 LT Cap Gain Rein 12/12/19 JF Cap Gain Rein 12/12/19 JF Cap Gain Rein 12/12/19 JF Cap Gain Rein 12/12/19 Credit TWEEDV BROWNE GLOBAL VAL: TBGVX TWEEDV BROWNE GLOBAL VAL: TBGVX 12/119 Credit 12/12/19 Credit 12/12/19 Credit 12/12/19 Credit Credit 12/119 Credit 12/12/19 Credit 12/119 Credit 12/119<	(222,959.41)			Total Transactic			1	1
Schwab One® Trust Account of ANTHONY S FAUCI TEE ANTHONY S FAUCI TEE Date Stamment Period December 1-31, 2019 ion Process Date Activity Decemption December 1-31, 2019 ion Process Date Activity Description Credit 12/12/19 LT Cap Gain Rein 12/12/19 JT Cap Gain Rein 12/12/19 JE Cap Gain Rein 12/12/19 Description TWEEDY BROWNE GLOBAL VAL: TBOX TWEEDY BROWNE GLOBAL VAL: TBOX TWEE	58,091.88					erest	ldends & Int	Total Div
Schwab Conset Trust Account of ANTHONY'S FAUCI TIEEE ANTHONY'S FAUCI TIEEE' Date Aclivity Description Statement Period December 1-31, 2019 tion Process Date Aclivity Date Aclivity 12/1219 Description Credit JPMORGAN MD CAP VALUE: FLMYX TWEEDY BROWNE GLOBAL VAL: TBGYX Credit TWEEDY BROWNE GLOBAL VAL: TBGYX 12/1219 L'Cap Gain Rein 12/1719 TWEEDY BROWNE GLOBAL VAL: TBGYX Credit TWEEDY BROWNE GLOBAL VAL: TBGYX 7 12/1719 Cash Dividend 12/1719 TCap Gain Rein 12/1719 TWEEDY BROWNE GLOBAL VAL: TBGYX 2 12/1719 Cash Dividend 12/1719 Cash Dividend CIBC ATLAS DISCIPLINED: AWEIX VARUARD SMALL CAP INDEX: VSMAX 2 12/1719 Cash Dividend 12/20/19 Cash Dividend CIBC ATLAS DISCIPLINED: AWEIX CIBC ATLAS NEW COPPTY: AWIX VARUARD SMALL CAP INDEX: VSMAX 1 12/1719 Cash Dividend 12/20/19 Cash Dividend CIBC ATLAS DISCIPLINED: AWEIX CIBC ATLAS DISCIPLINED: MONEY FUND: SWGXX 2 12/21/19 Cash Dividend CIBC ATLAS DISCIPLINED: MONEY FUND: SWGXX 2 2	1,896.46				T ROWE PRICE SUMMIT MUNI: PRSMX	Cash Dividend	12/31/19	12/31/19
Stream One® Trust Account of ANTHONY S FAUCI TREVOCABLE TR Statement Period December 1-31, 2019 action Detail - Dividends & Interest (including Money Market Fund dividends reinvested) (continued) December 1-31, 2019 Iton Process Date Description Artify Description Credit 12/12/19 LT Cap Gan Rein 12/12/19 Description TWEEDY BROWNE GLOBAL VAL: TBGVX Credit 12/12/19 LT Cap Gan Rein 12/12/19 Description TWEEDY BROWNE GLOBAL VAL: TBGVX Credit 12/12/19 LT Cap Gan Rein 12/17/19 Description Cash Dividend Credit 2 12/12/19 LT Cap Gan Rein 12/17/19 MATHEWS PACIFIC TIGER: MIPTX CIBC ATLAS DISCIPLINED: AWEIX 12/17/19 Cash Dividend CIBC ATLAS DISCIPLINED: AWEIX 12/17/19 Cash Dividend CIBC ATLAS DISCIPLINED: AWEIX 12/17/19 1 Cash Dividend CIBC ATLAS DISCIPLINED: AWEIX 12/20/19 1 2 1/2/19/19 Cash Dividend CIBC ATLAS DISCIPLINED: AWEIX 12/20/19 2 2 2 1/2/19/19 Cash Dividend CIBC ATLAS DISCIPLINED: AWEIX 12/20/19 2 2 2 1/2/19/19 Cash Dividend CIBC ATLAS NICM OPPTY: AWIX 2 2 2 1/2/19/19 Cash Dividend CIBC ATLAS NICM OPPTY: AWIX 2 2 2 2 1/2/19/	359.02				SCHWAB GOVT MONEY FUND: SWGXX	Dividend	12/31/19	12/31/19
ANTHONY S FAUCI TTEE ANTHONY S FAUCI REVOCABLE TR UA DTD 03/19/2014 action Detail - Dividends & Interest (including Money Market Fund dividends reinvested) (continued) tion Process Date Date Activity Date Date Date Date Date Date Date Date	-1.00.1 1.217.61				PIMCO INVESTMENT GRADE: PIGIX	Cash Dividend	12/31/19	12/31/19
Anthony Schwab One® Trust Account of ANTHONY S FAUCI TTEE ANTHONY S FAUCI REVOCABLE TR Statement Period Description Statement Period Desc	2,010,00				CIBC ATLAS INCM OPPTY: AWIIX	Cash Dividend	12/30/19	12/30/19
Schwab One® Tracacount of ANTHONY S FAUCI TTEE ANTHONY S FAUCI TTEE ANTHONY S FAUCI TTEE ANTHONY S FAUCI TTEE ANTHONY S FAUCI REVOCABLE TR Statement Period December 1-31, 2019 action Detail - Dividends & Interest (including Money Market Fund dividends reinvested) (continued) Desember 1-31, 2019 ion Process Description Description Credit Date Activity Description Credit 12/12/19 LT Cap Gain Rein JPMORGAN MID CAP VALUE: FLMVX Credit 12/12/19 LT Cap Gain Rein JPMORGAN MID CAP VALUE: FLMVX Credit 12/12/19 LT Cap Gain Rein JPMORGAN MID CAP VALUE: FLMVX Credit 12/12/19 Cash Dividend HARDING LOEVNER GLOBAL VAL: TBGVX Credit 12/12/19 Cash Dividend MATTHEWS PACIFIC TIGER: MIPTX 2 12/17/19 Cash Dividend MATTHEWS PACIFIC TIGER: MIPTX 2 12/19/19 Cash Dividend MATTHEWS PACIFIC TIGER: MIPTX 4 12/19/19 Cash Dividend MATTHEWS PACIFIC TIGER: MIPTX 4 12/19/19 Cash Dividend MATTHEWS PACIFIC TIGER: MIPTX 4 1 Description 1 1 1 Description 5	0 A 10 A 0				CIBC ATLAS DISCIPLINED: AWEIX	Cash Dividend	12/30/19	12/30/19
And Anthony S FAUCI THEE ANTHONY S FAUCI THEE ANTHONY S FAUCI REVOCABLE TR UA DTD 03/19/2014 Statement Period December 1-31, 2019 action Detail - Dividends & Interest (Including Money Market Fund dividends reinvested) (continued) Statement Period December 1-31, 2019 Lion Process Date Calivity Description Continued Date Activity Description Credit 12/12/19 LT Cap Gain Rein 12/12/19 Description Credit 12/12/19 Cash Dividend TWEEDY BROWNE GLOBAL VAL: TBCVX TWEEDY BROWNE GLOBAL VAL: TBCVX 12/17/19 Cash Dividend MATHEWS PACIFIC TIGER: MIPTX 4 12/17/19 Cash Dividend MATHEWS PACIFIC TIGER: MIPTX 4 12/19/19 Cash Dividend MATHEWS PACIFIC TIGER: MIPTX 5 12/19/19 Cash Dividend MATHEWS PACIFIC TIGER: MIPTX 5 12/19/19 Cash Dividend MATHEWS PACIFIC TIGER: MIPTX 5 12/19/19 Cash Dividend	0 683				VANGUARD SMALL CAP INDEX: VSMAX	Cash Dividend	12/23/19	12/23/19
All Schwab One® Trust Account of ANTHONY S FAUCI TTEE ANTHONY S FAUCI TTEE UNA DTD 03/19/2014 Stamment Period December 1-31, 2019 Stamment Period December 1-31, 2019 action Detail - Dividends & Interest (including Money Market Fund dividends reinvested) (continued) December 1-31, 2019 Date Activity Date Description Condition 12/12/19 LT Cap Gain Rein 12/12/19 Description TWEEDY BROWNE GLOBAL VAL: TBGVX TWEEDY BROWNE GLOBAL VAL: TGVX TWEEDY BR	10,000,4,				JPMORGAN MID CAP VALUE: FLMVX	Cash Dividend	12/19/19	12/19/19
Aximuto One® Trust Account of ANTHONY S FAUCI TTEE ANTHONY ANTHONY S FAUCI TTEE ANTHONY ANTHONY S FAUCI TTEE ANTHONY ANTHONY S FAUCI TTEE ANTHONY ANTHONY ANT	V 230 36				CIBC ATLAS DISCIPLINED: AWEIX	LT Cap Gain Rein	12/19/19	12/19/19
Anti-Hones Schwab One® Trust Account of Anti-Hones Statement Period Detail - Dividends Statement Period December 1-31, 2019 action Detail - Dividends & Interest (including Money Market Fund dividends reinvested) (continued) December 1-31, 2019 bion Process Date Activity Description Date Activity Description Credit 12/12/19 LT Cap Gain Rein JPMORGAN MID CAP VALUE: FLMVX Credit 12/12/19 Stm Cap Gan Rein JPMORGAN MID CAP VALUE: FLMVX Credit 12/12/19 Stm Cap Gan Rein JPMORGAN MID CAP VALUE: FLMVX Credit 12/12/19 Cash Dividend TWEEDY BROWNE GLOBAL VAL: TBGVX TWEEDY BROWNE GLOBAL VAL: TBGVX 7 12/17/19 Cash Dividend TWEEDY BROWNE GLOBAL VAL: TBGVX 2 12/17/19 LT Cap Gain Rein TWEEDY BROWNE GLOBAL VAL: TBGVX 2 12/17/19 LT Cap Gain Rein TWEEDY BROWNE GLOBAL VAL: TBGVX 2 12/17/19 LT Cap Gain Rein TWEEDY BROWNE GLOBAL VAL: TBGVX 2 12/17/19 LT Cap Gain Rein TWEEDY BROWNE GLOBAL VAL: TBGVX 2 12/17/19 LT Cap Gain Rein 4 2 12/					MATTHEWS PACIFIC TIGER: MIPTX	Cash Dividend	12/17/19	12/17/19
Schwab One® Trust Account of ANTHONY S FAUCI TTEE ANTHONY S FAUCI TO BOOM AND CAP VALUE: FLMVX TWEEDY BROWNE GLOBAL VAL: TBGVX TWEEDY THE TO TH	0,100,F				MATTHEWS PACIFIC TIGER: MIPTX	LT Cap Gain Rein	12/17/19	12/17/19
Schwab One® Trust Account of ANTHONY S FAUCI TTEE ANTHONY S FAUCI REVOCABLE TR Statement Period December 1-31, 2019 Insaction Detail - Dividends & Interest (including Money Market Fund dividends reinvested) (continued) Statement Period December 1-31, 2019 Insaction Process Date Activity Description 2/19 12/12/19 LT Cap Gain Rein JPMORGAN MID CAP VALUE: FLMVX Credit 2/19 12/12/19 Stm Cap Ga Rein TWEEDY BROWNE GLOBAL VAL: TBGVX Credit 7 12/12/19 Cap Gain Rein Credit TWEEDY BROWNE GLOBAL VAL: TBGVX Credit 7 12/12/19 Cash Dividend TWEEDY BROWNE GLOBAL VAL: TBGVX 7	2,040.0				HARDING LOEVNER INST. HLMEX	Cash Dividend	12/17/19	12/17/19
Schwab One® Trust Account of ANTHONY S FAUCI TTEE ANTHONY S FAUCI TO ANTHONY ANTHONY S FAUCI TEE ANTHONY AN	2 540 0				TWEEDY BROWNE GLOBAL VAL. TBGVX	Cash Dividend	12/12/19	12/12/19
Schwab One® Trust Account of ANTHONY S FAUCI TTEE ANTHONY S FAUCI TTEE ANTHONY S FAUCI TTEE ANTHONY S FAUCI REVOCABLE TR Statement Period December 1-31, 2019 Insaction Detail - Dividends & Interest (including Money Market Fund dividends reinvested) (continued) Statement Period December 1-31, 2019 Insaction Process Date Continued Interest Description V19 12/12/19 LT Cap Gain Rein JPMORGAN MID CAP VALUE: FLMVX V19 12/12/19 LT Cap Gain Rein TWEEDY BROWNE GLOBAL VAL: TBGVX	C 100				TWEEDY BROWNE GLOBAL VAL: TBGVX	Sttm Cap Gn Rein	12/12/19	12/12/19
Schwab One® Trust Account of ANTHONY S FAUCI TTEE ANTHONY S FAUCI TTEE ANTHONY S FAUCI REVOCABLE TR Statement Period December 1-31, 201 Hsaction Detail - Dividends & Interest (including Money Market Fund dividends reinvested) (continued) Statement Period December 1-31, 201 saction Process Date Activity Description 2/19 12/12/19 LT Cap Gain Rein JPMORGAN MID CAP VALUE: FLMVX					TWEEDY BROWNE GLOBAL VAL: TBGVX	LT Cap Gain Rein	12/12/19	12/12/19
River Action Detail - Dividends & Interest (including Money Market Fund dividends reinvested) (continued) saction Process Activity Description					JPMORGAN MID CAP VALUE: FLMVX	LT Cap Gain Rein	12/12/19	12/12/19
	Cradil//Dabi				Description	Activity	n Process Date	Transaclic Date
Schwab One® Trust Account of ANTHONY S FAUCI TTEE ANTHONY S FAUCI REVOCABLE TR U/A DTD 03/19/2014		continued)	reinvested) (und dividends	iterest (including Money Market Fu	ail - Dividends & In	ction Det	Transa
nles	atement Period ecember 1-31, 2019	De			DTD 03/19/2014	U/A	B	SCHWA
					Wab One® Trust Account of THONY S FAUCI TTEE	AN	~	charle

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings.

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Schwab One® Trust Account of ANTHONY S FAUCI TTEE ANTHONY S FAUCI REVOCABLE TR U/A DTD 03/19/2014

Statement Period December 1-31, 2019

Money Market Fund (Sweep) Detail (continued)

Quantity	Unit Price	Purchase/Debit	Sale/Credit
2,540,0800	1.0000	2.540.08	
5 506 BADD	1 0000		
5,586,8400	0000.1	5,586.84	
2,667.8100	1.0000	2,667,81	
249,446,0500	1.0000		249 446 05
11,969,9300	1.0000	11 969 93	
359.0200	1 0000	350 02	
		0000.04	
		26,506.51	249.446.05
		26.508.51	249 446 NS
	Quantity 2,540,0800 5,586,8400 2,667,8100 249,446,0500 11,969,9300 359,0200		Unit Price 1.0000 1.0000 1.0000 1.0000 1.0000

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings,

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Attachment B: Fayer 2019 278e Report, Section 2 Brokerage Trust Account of ANTHONY S FAUCI TTEE

FAUCI DEFINED BENEFIT PLAN U/A DTD 12/08/1995

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Statement Period December 1-31, 2019

Account Value as of 12/31/2019:\$ 2,389,134.90

	\$ 302,296.76	\$ 61,108.38	Total Change in Account Value
500	\$ 2,389,134.90	\$ 2,389,134.90	Ending Value on 12/31/2019
CAUL	428,938.90	54,465.09	Change in Value of Investments
1500	(37,415.22)	(35,489.38)	Income Keinvested
2000	0.00	0.00	I ranster of Securities (In/Out)
2500	(258,770.02)	0.00	
0000	169,543.10	42,132.67	Credits
	\$ 2,086,838.14	\$ 2,328,026.52	Starting Value
Year to Date Account Value [in The	Year to Date A	This Period	Change in Account Value

Total Account Value	Total Assets Long	Equity Funds	Bond Funds	Cash and Bank Sweep""	Asset Composition
\$ 2,389,134.90	\$ 2,389,134.90	1,898,078.87	463,354.62	\$ 27,701,41	Market Value
100%		79%	19%	1%	% of Account Assets
(1			Overview

19% Bond Funds 79% Equity Funds 1% Cash, Bank Sweep [A,B]

CIBC PRIVATE WEALTH

MANAGEMENT

Your Independent Investment Advisor is not attilated with or an egent of Schwab and Schwab does not supervise or endorse your Advisor Page 4 of 11

<i>charles</i> SCHWAB	ANTHONY S FAUCI TTEE FAUCI DEFINED BENEFIT PLAN U/A DTD 12/08/1995	AN		Statement Period December 1-31, 2019
	R	Realized Gain or (Loss) This Period		Unrealized Gain or (Loss)
Gain or (Loss) Summary		Short Term	Long Term	
All Investments Values may not reflect all of your pains/nesses of	the state is the second view state to	\$0.00	\$0.00	\$424,159.61
For additional gain or (loss) information refer to Terms and Conditions.	Terms and Conditions.	cenain cases. Statement information s	hould not be used for tax preparation, i	instead refer to official tax documents
	This	This Period	Year to Date) Date
Income Summary	Federally Tax-Exempt	Federally Taxable	Federally Tax-Exempt	Federally Taxable
Bank Sweep Interest	0,00	5.00	0.00	289.05
	0.00	19,160.44	0.00	46,286.82
	0.00	22,967.23	0.00	22,967.23
Total Income	0.00	42,132.67	0.00	69,543.10
Cash Transactions Summary			This Period	Year to Date
Starting Cash*			\$ 21,058.12	\$ 24,478.55
Deposits and other Cash Credits			0,00	100,000,00
Investments Sold	na a contra en la contra de la contra en encontra en la contra encontra en la contra encontra en la contra enco		0.00	479,925.00
Unvidends and Interest		オイト・シーム かんかん かい 目的 アイドリング かんかい アイ・シート・・・・・・・ アール しゅう しゅう しゅう しゅう しゅう ちゅう かんしゅう かいかい かいしょう かいしょう ひょうしょう ひょうしょう ひょうしょう しょうしょう しょうしょう しょうしょう	42,132.67	69,543.10
williurawais allo other Debits		date developments (d) and the series was been as a series of the series	0.00	(246,666.72)
Face and Charges	A REAL CONTRACTOR AND A DESCRIPTION OF A D A DESCRIPTION OF A DESCRIPTIONO		(35,489.38)	(387,475.22)
			0,00	(12,103.30)
Jotal Cash Fransaction Detail			6,643.29	3,222.86
Ending Cash [*]	Ending Cash* \$ 27,		\$ 27,701.41	\$ 27,701.41

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<i>charles</i> SCHWAB	Brokerage Trust Account of ANTHONY S FAUCI TTEE FAUCI DEFINED BENEFIT PLAN U/A DTD 12/08/1995	DCI TTEE BENEFIT PLAN 995				Statement Period December 1-3	Statement Period December 1-31, 2019
Investment Detail - Cash and Bank Sweep	d Bank Sweep						
Cash			Starting Balance	3alance	Ending Balance		% of Account Assets
Cash			_	1,634.66	1,557.18		<1%
Total Cash			-	1,634.66	1,557.18		<1%
Bank Sweep			Starting Balance	lalance	Ending Balance		% of Account
CHARLES SCHWAB BANK			19	19,423.46	26,144.23		1%
Total Bank Sweep AB			19	19,423.46	26,144.23		1%
Total Cash and Bank Sweep					27,701.41		1º%
Investment Detail - Mutual Funds	unds						
Bond Funds	Quantity	Market Price	Market Value	Account Account	Average Cost Basis	Cost Rasis	Gain or (I or c)
PIMCO INVESTMENT GRADE CREDIT BD INST SYMBOL: PIGIX	42,431.7420	10.92000	463,354.62	19%	10.48	440,961,40	22,393.22
Total Bond Funds	42,431.7420		463,354.62	19%		440,961.40	22,393.22
Equity Funds CIBC ATLAS DISCIPLINED * EQTY FD INST SYMBOL: AWEIX	Quantity 28,540.6640	Market Price 22.71000	Market Value 648,158.48	% of Account Assets 27%	Average Cost Basis 14.88	Cost Basis 379,779.74	Unrealized Gain or (Loss) 268,378.74

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OtherageBrokerage Trust A ANTHONY S F/ FAUCI DEFINE UIA DTD 12/08/Investment Detail - Mutual Funds (continued)CuantityEquity Funds (continued)CuantityCIBC ATLAS INCM OPPTY FD INST SYMBOL: AWIIX18,188.4340CIBC ATLAS MID CAP EQTY FD INST SYMBOL: EGFIX2,133.9180SYMBOL: AWIIX2,133.9180CIBC EWOOD GROWTH INSTL SYMBOL: EGFIX3,694.6270HAMLIN HIGH DIV EQTY INSTL SYMBOL: HHDFX5,485.6880IVA INTL FD CL I ° SYMBOL: IVIQX3,229.9460JPMORGAN MID CAP VALUE *5,051.5520	Brokerage Trust Account of ANTHONY S FAUCI TTEE FAUCI DEFINED BENEFIT U/A DTD 12/08/1995 Inds (continued) Marke Pric 18,188.4340 Marke 12.8200 2,133.9180 17,4400 3,694.6270 38.5200 5,485.6880 23.56000 3,229.9460 15.89000 5,051.5520 39.67000	Brokerage Trust Account of ANTHONY S FAUCI TTEE FAUCI DEFINED BENEFIT PLAN U/A DTD 12/08/1995 Market PLAN S (continued) Market Quantity Price 12,133.9180 12.82000 2,133.9180 17.44000 3,694.6270 38.52000 5,485.6880 23.56000 5,051.5520 39.67000	Market Value 233,175.72 37,215.53 142,317.03 142,317.03 129,242.81 51,323.84 200,395.07	Account Assets 10% 5% 2% 8%	Average Cost Basis 11.02 11.89 34.77 19.74 16.51 33.07	Statement Period Unre December 1-31, 2019 Unre Cost Basis Gain or (200,500.59 32,0 25,380.35 11,8 128,473.15 13,8 108,260.30 20,5 51,835.25 (5) 167,267.58 33,1
investment Detail - Mutual Fu	Inds (continued)					
Equity Funds (continued)	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis
CIBC ATLAS INCM OPPTY FD INST SYMBOL: AWIIX	18,188.4340	12.82000	233,175.72	10%	11.02	200,500.59
CIBC ATLAS MID CAP EQTY FD INST SYMBOL: AWMIX	2,133.9180	17,44000	37,215.53	2%	11.89	25,380,35
EDGEWOOD GROWTH INSTL SYMBOL: EGFIX	3,694.6270	38.52000	142,317.03	6%	34.77	128,473,15
HAMLIN HIGH DIV EQTY INSTL SYMBOL: HHDFX	5,485,6880	23.56000	129,242.81	5%	19.74	108,260.30
IVA INTL FD CL I * SYMBOL: IVIOX	3,229,9460	15.89000	51,323.84	2%	16.51	51,835.25
JPMORGAN MID CAP VALUE	5,051.5520	39.67000	200,395.07	8%	33.07	167,267.58
MAINSTAY EPOCH GLOBAL [®] EQTY YIELD I SYMBOL: EPSYX	3,695.1880	19.06000	70,430.28	3%	17.46	63,077.72
MATTHEWS PACIFIC TIGER FD INSTL SYMBOL: MIPTX	5,671.8490	28.71000	162,838.78	7%	27.88	158,129.73
TWEEDY BROWNE GLOBAL VAL UE FUND SYMBOL: TBGVX	1,745.2720	27,99000	48,850.16	2%	27.65	48,045,20

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<i>charles</i> SCHWAB			Brokerage Trust Account of ANTHONY S FAUCI TTEE FAUCI DEFINED BENEFIT PLAN U/A DTD 12/08/1995	opunt of ICI TTEE BENEFIT PLAN 195				Statement Period December 1-3	Statement Period December 1-31, 2019
Investme	ent Detail	Investment Detail - Mutual Funds (continued)	s (continued)						
Equity Fur	Equity Funds (continued)	red)	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
VIRTUS VONTOBE MKTS OPPTY FD I SYMBOL: HIEMX	VIRTUS VONTOBEL EMRG * MKTS OPPTY FD I SYMBOL: HIEMX		15,076.2920	11.55000	174,131.17	7%	10.98	165,562,87	8,568.30
Total Eq	Total Equity Funds	9	92,513.4300		1,898,078.87	79%		1,496,312.48	401,766.39
Total Mutual Funds	al Funds	134	134,945.1720		2,361,433.49	%66		1,937,273.88	424,159.61
							Total Investment Detail	ment Detail	2,389,134.90
							Total Acc	Total Account Value	2,389,134.90
1							Total	Total Cost Basis	1,937,273.88
Transact	ion Detail	Transaction Detail - Purchases & Sales	Sales						
Equity Funds Activity	ds Activity								
Settle Date	Trade Date	Transaction	Description				Quantity	Unit Price	Total Amount
12/10/19	12/10/19	Reinvested Shares	MAINSTAY EPOCH GLOBAL EQTY YIELD I: EPSYX	CH GLOBAL PSYX			16.5770	18.5700	(307.83)
12/10/19	12/10/19	Reinvested Shares	MAINSTAY EPOCH GLOBAL EQTY YIELD I: EPSYX	CH GLOBAL PSYX			66.2330	18.5700	(1,229.94)
12/12/19	12/12/19	Reinvested Shares	JPMORGAN MID CAP VALUE	CAP VALUE			187.5990	39.5900	(7,427.04)
12/12/19	12/12/19	Reinvested Shares	TWEEDY BROWN	TWEEDY BROWNE GLOBAL VAL UE FUND: TBGVX		and a state of the	1.5710	27.7000	(43.51)

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Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.

250.9450 11.5700 (2,903.43) 30.5750 11.5700 (353.75) 231.0000 22.6600 (5.234.46)				
11.5700 (2 11.5700 (2		ctivity	Total Equity Funds Activity	Total Equ
11.5700 (2 11.5700	CIBC ATLAS DISCIPLINED EQTY FD INST: AWEIX	Reinvested Shares	12/2011	12130/19
11.5700	VIRTUS VONTOBEL EMRG MKTS OPPTY FD I: HIEMX			12/20/19
	VIRTUS VONTOBEL EMRG MKTS OPPTY FD I: HIEMX	Reinvested Shares	12/20/19	12/20/19
21.3730 11.5700 (247.29)	VIRTUS VONTOBEL EMRG MKTS OPPTY FD I: HIEMX	Reinvested Shares	12/20/19	12/20/19
65.3470 39,4000 (2,574.68)	JPMORGAN MID CAP VALUE L: FLMVX	Reinvested Shares	12/19/19	12/19/19
10.5080 38.3200 (402.67)	EDGEWOOD GROWTH INSTL: EGFIX	Reinvested Shares	12/19/19	12/19/19
354.2170 22.6900 (8,037,19)	CIBC ATLAS DISCIPLINED EQTY FD INST: AWEIX	Keinvested Shares	61/61/71	R1/81/71
49.9560 15.8100 (789.81)	IVA INTL FD CL I: IVIQX	Reinvested Shares	12/18/19	12/18/19
65,2480 15,8100 (1,031,57)	IVA INTL FD CL I: IVIQX	Reinvested Shares	12/18/19	12/18/19
11.3460 15.8100 (179.38)	IVA INTL FD CL I: IVIQX	Reinvested Shares	12/18/19	12/18/19
162.8420 28.4500 (4.632.85)	MATTHEWS PACIFIC TIGER FD INSTL: MIPTX	Reinvested Shares	12/17/19	12/17/19
3.3930 27.7000 (93.98)	TWEEDY BROWNE GLOBAL VAL UE FUND: TBGVX	Reinvested Shares	12/12/19	12/12/19
Quantity Unit Price Total Amount	Description	Transaction	Trade Date	Settle Date

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Brokerage Trust Account of ANTHONY S FAUC! TTEE FAUC! DEFINED BENEFIT PLAN U/A DTD 12/08/1995

Statement Period December 1-31, 2019

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Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.

Total Transaction Detail

6,643.29

42 132 67			erest	Total Dividends & Interest	Total Divi
1,557.18		PIMCO INVESTMENT GRADE: PIGIX	Cash Dividend	12/31/19	12/31/19
1,614.99		HAMLIN HIGH DIV EQTY: HHDFX	Cash Dividend		12/30/19
1,616.95		CIBC ATLAS INCM OPPTY: AWIIX	Cash Dividend	12/30/19	12/30/19
5,234,46		CIBC ATLAS DISCIPLINED: AWEIX	Div For Reinvest	12/30/19	12/30/19
353.75		VIRTUS VONTOBEL EMRG: HIEMX	LT Cap Gain Rein	12/20/19	12/20/19
2,903,43		VIRTUS VONTOBEL EMRG: HIEMX	Div For Reinvest	12/20/19	12/20/19
247.29		VIRTUS VONTOBEL EMRG: HIEMX	Sttm Cap Gn Rein	12/20/19	12/20/19
2.574.68		JPMORGAN MID CAP VALUE: FLMVX	Div For Reinvest	12/19/19	12/19/19
402.67		EDGEWOOD GROWTH INSTL: EGFIX	LT Cap Gain Rein	12/19/19	12/19/19
8.037.19		CIBC ATLAS DISCIPLINED: AWEIX	LT Cap Gain Rein	12/19/19	12/19/19
789.81		IVA INTL FD CL I: IVIQX	LT Cap Gain Rein	12/18/19	12/18/19
1.031.57		IVA INTL FD CL I: IVIQX	Div For Reinvest	12/18/19	12/18/19
179.38		IVA INTL FD CL 1: IVIOX	Sttm Cap Gn Rein	12/18/19	12/18/19
1.072.99		MATTHEWS PACIFIC TIGER: MIPTX	Cash Dividend	12/17/19	12/17/19
4 632 85		MATTHEWS PACIFIC TIGER: MIPTX	LT Cap Gain Rein	12/17/19	12/17/19
5 00	(fremined account number]	BANK INT : SCHWAB-BANK	Bank Interest ^{A,B}	12/16/19	12/15/19
776 18		TWEEDY BROWNE GLOBAL VAL: TBGVX	Cash Dividend	12/12/19	12/12/19
43.51		TWEEDY BROWNE GLOBAL VAL: TBGVX	Sttm Cap Gn Rein	12/12/19	12/12/19
86 66		TWEEDY BROWNE GLOBAL VAL: TBGVX	LT Cap Gain Rein	12/12/19	12/12/19
7.427.04		JPMORGAN MID CAP VALUE: FLMVX	LT Cap Gain Rein	12/12/19	12/12/19
1.229.94		MAINSTAY EPOCH GLOBAL: EPSYX	LT Cap Gain Rein	12/10/19	12/10/19
307.83		MAINSTAY EPOCH GLOBAL: EPSYX	Div For Reinvest	12/10/19	12/10/19
Credit/(Dehit)		Description	Activity	Date	Date
				n Process	Transaction Process

Transaction Detail - Dividends & Interest (including Money Market Fi 2 1 L Ŀ. 5

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> Brokerage Trust Account of ANTHONY S FAUCI TTEE FAUCI DEFINED BENEFIT PLAN

U/A DTD 12/08/1995

Statement Period December 1-31, 2019

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<i>chailes</i> SCHWAB		Brokerage Trust Account of ANTHONY S FAUCI TTEE FAUCI DEFINED BENEFIT PLAN U/A DTD 12/08/1995	t	Statement Period December 1-31, 2019	d 31, 2019
Bank Sv	veep for Bene	Bank Sweep for Benefit Plans Activity			
Transaction Date	n Transaction	Description	Withdrawal	Deposit	Balance AB
Opening	Opening Balance A ^B				19,423.46
12/02/19	Auto Transfer	BANK CREDIT FROM BROKERAGE A		1,634.66	21,058.12
12/13/19	Auto Transfer	BANK CREDIT FROM BROKERAGE A		776.18	21,834.30
12/15/19	Interest Paid ^{A,B}	BANK INTEREST - CHARLES SCHWAB BANK		5.00	21,839.30
12/15/19	Auto Transfer	BANK TRANSFER TO BROKERAGE	5.00		21,834.30
12/17/19	Auto Transfer	BANK CREDIT FROM BROKERAGE A		5.00	21,839.30
12/18/19	Auto Transfer	BANK CREDIT FROM BROKERAGE A		1,072.99	22,912.29
12/31/19	Auto Transfer	BANK CREDIT FROM BROKERAGE A		3,231.94	26,144.23
Total Activity	stivity		5.00	6,725.77	
Ending B	Ending Balance AB				26,144.23
Bank Sweep	for Benefit Plans: Inlei	Bank Sweep for Benefit Plans: Interest Rate as of 12/31/19 was 0.30%. ^B			
Endnote	Endnotes For Your Account	count			
Symbol En	Symbol Endnole Legend				
0 Di	vidends paid on this	Dividends paid on this security will be automatically reinvested.			

- Bank Sweep deposits are held at FDIC-insured bank(s) ("Banks") that are affiliated with Charles Schwab & Co., Inc.
- ω > Period after interest is paid. The interest paid may include interest that accrued in the prior Statement Period. indicated on your statement by Schwab or one or more of its affiliated banks. These balances do not include interest that may have accrued during the Statement For Bank Sweep and Bank Sweep for Benefit Plans features, interest is paid for a period that differs from the Statement Period. Balances include interest paid as

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<i>charles</i> SCHWAB	
Contributory IRA of ANTHONY S FAUCI CHARLES SCHWAB & CO INC CUST IRA CONTRIBUTORY	Attachment C: Fauce 2019 278e Report, Se
	t, Section 2

Statement Period December 1-31, 2019

Account Value as of 12/31/2019:\$ 589,612.35

3/19 6/19 9/19 12/19			
	\$ 92,039.97	\$ 17,646.64	Total Change in Account Value
100	\$ 589,612.35	\$ 589,612.35	Ending Value on 12/31/2019
200	113,067.82	14,073.17	Change in Value of Investments
300	(10,757.31)	(10,013.53)	Income Reinvested
400	0.00	0.00	Transfer of Securities (In/Out)
500	(25,516.53)	0.00	Debits
600]	15,245.99	13,587.00	Credits
	\$ 497,572.38	\$ 571,965.71	Starting Value
Year to Date Account Value [in Thousands]	Year to Date	This Period	Change in Account Value

Total Account Value	Total Assets Long	Equity Funds	Bank Sweep ^{5,2}	Asset Composition
\$ 589,612.35	\$ 589,612.35	581,762.28	\$ 7,850.07	Market Value
100%		%66	1%	% of Account Assets



<i>charles</i> SCHWAB	ANTHONY S FAUCI CHARLES SCHWAB & CO INC CUST IRA CONTRIBUTORY		Statement Period December 1-31, 2019
	Gain or (Loss) on Investments Sold	nvestments Sold	Unrealized Gain or (Loss)
Gain or (Loss) Summary	This Period	io d	
All Investments		\$0.00	\$126,091.84
Values may not reflect all of your gains/losses. Cost basis may change For additional gain or (loss) information refer to Terms and Conditions.	Values may not reflect all of your gains/losses. Cost basis may change and be adjusted in certain cases. Statement information should For additional gain or (loss) information refer to Terms and Conditions.	ses. Statement information should not be used for t	not be used for tax preparation, instead rafer to official tax documents.
Income Summary	This Period	Year To Date	
Bank Sweep Interest	0.22	21.91	
Cash Dividends	5,135,80	6,773.10	
Total Capital Gains Distributions	8,450.98	8,450.98	
Total income	13,587.00	10,240.00	
Cash Transactions Summary		This Period	Year to Date
Starting-Cash*		\$ 4,276.60	\$ 8,907.92
Deposits and other Cash Credits		0.00	0,00
Investments Sold		0.00	94,985.00
Dividends and Interest		13,587.00	15,245,99
Withdrawals and other Debits		0.00	(25,516.53)
Investments Purchased		(10,013.53)	(85,772.31)
Fees and Charges		0.00	0.00
Total Cash Transaction Detail		3,573.47	(1,057.85)
Ending Cash [*]		\$ 7,850.07	\$ 7,850.07
*Cash (includes any cash debit balance) held in your account plus the value of any cash invested in a sweep money fund.	held in your account plus the value of any cash		

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<i>charles</i> schwab	Contributory IRA of ANTHONY S FAUCI CHARLES SCHWAB (IRA CONTRIBUTORY	Contributory IRA of ANTHONY S FAUCI CHARLES SCHWAB & CO INC CUST IRA CONTRIBUTORY				Statement Period December 1-31, 2019	rlod 1-31, 2019
Investment Detail - Bank Sweep	dət						
Bank Sweep			Starting Balance	lance	Ending Balance		% of Account Assets
CHARLES SCHWAB BANK			4,2	4,276,60	7,850,07		1%
Total Bank Sweep XZ			4,2	4,276.60	7,850.07		1%
Total Bank Sweep					7,850.07		1%
Inv <u>estme</u> nt Detail - Mutual Funds	Inds					*	
Equity Funds	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
CIBC ATLAS DISCIPLINED * EQTY FD INST SYMBOL: AWEIX	7,975.2900	22.71000	181,118.84	31%	13,75	104,011.89	77,106.95
CIBC ATLAS INCM OPPTY FD INST SYMBOL: AWIIX	4,326,9590	12.82000	55,471.61	%6	10.43	45,115.98	10,355,63
CIBC ATLAS MID CAP EQTY FD INST SYMBOL: AWMIX	2,153,8790	17.44000	37,563,65	6%	11.61	25,000.00	12,563.65
EDGEWOOD GROWTH INSTL SYMBOL: EGFIX	852,2730	38.52000	32,829.56	6%	35.22	30,015.00	2,814.56
JPMORGAN MID CAP VALUE L SYMBOL: FLMVX	2,091,6400	39,67000	82,975.36	14%	33.44	69,953.62	13,021.74

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.

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Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.

Contributory IRA of ANTHONY S FAUCI CHARLES SCHWAB & CO INC CUS IRA CONTRIBUTORY

Page 8 of 11

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.

Equity Fur	Equity Funds Activity					
Settle Date	Trade Date	Transaction	Description	Quantity	Unit Price	Total Amount
12/10/19	12/10/19	Reinvested Shares	MAINSTAY EPOCH GLOBAL EQTY YIELD I: EPSYX	6.4020	18.5700	(118.88)
12/10/19	12/10/19	Reinvested Shares	MAINSTAY EPOCH GLOBAL EQTY YIELD I: EPSYX	25.5790	18.5700	(475.01)
12/12/19	12/12/19	Reinvested Shares	JPMORGAN MID CAP VALUE L: FLMVX	78.6950	39,5900	(3,115.54)
12/12/19	12/12/19	Reinvested Shares	MFS INSTL INTL EQUITY: MIEIX	5.1680	27.2300	(140.73)
12/12/19	12/12/19	Reinvested Shares	TWEEDY BROWNE GLOBAL VAL UE FUND: TBGVX	1.4890	27,7000	(41.25)
12/12/19	12/12/19	Reinvested Shares	TWEEDY BROWNE GLOBAL VAL UE FUND: TBGVX	3.2160	27,7000	(89.09)
12/17/19	12/17/19	Reinvested Shares	MATTHEWS PACIFIC TIGER FD INSTL: MIPTX	78,8950	28,4500	(2,244.56)
12/19/19	12/19/19	Reinvested Shares	CIBC ATLAS DISCIPLINED EQTY FD INST: AWEIX	98.9810	22.6900	(2,245.88)
12/20/19	12/20/19	Reinvested Shares	VIRTUS VONTOBEL EMRG MKTS OPPTY FD I: HIEMX	2.8410	11.5700	(32.87)
12/20/19	12/20/19	Reinvested Shares	VIRTUS VONTOBEL EMRG MKTS OPPTY FD I: HIEMX	4.0640	11.5700	(47.02)
12/30/19	12/30/19	Reinvested Shares	CIBC ATLAS DISCIPLINED EQTY FD INST: AWEIX	64.5500	22.6600	(1,462.70)
Total Equ	Total Equity Funds Activity	ctivity				(10,013.53)
					and the second se	

Statement Period December 1-31, 2019

Statement Per

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Contributory IRA of ANTHONY S FAUCI CHARLES SCHWAB & CO INC CUST

IRA CONTRIBUTORY

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Contributory IRA of ANTHONY S FAUCI CHARLES SCHWAB & CO INC CUST IRA CONTRIBUTORY

Statement Period December 1-31, 2019

Transaction Detail - Dividends & Interest (including Money Market Fund dividends reinvested)

385.93 1,462.70 384.67							Total Dividende 8 Informet
385,93 1,462.70				CIBC ATLAS INCM OPPTY: AWIX	Cash Dividend	12/30/19	12/30/19
385.93				CIBC ATLAS DISCIPLINED: AWEIX	Div For Reinvest	12/30/19	12/30/19
			化化学 计传统语言 化丁基基 人名英格兰尔 化化学 人名英格兰	VIRTUS VONTOBEL EMRG: HIEMX	Cash Dividend	12/20/19	12/20/19
47.02				VIRTUS VONTOBEL EMRG: HIEMX	LT Cap Gain Rein	12/20/19	12/20/19
32.87				VIRTUS VONTOBEL EMRG: HIEMX	Sttm Cap Gn Rein	12/20/19	12/20/19
1,080,04				JPMORGAN MID CAP VALUE: FLMVX	Cash Dividend	12/19/19	12/19/19
93.15				EDGEWOOD GROWTH INSTL: EGFIX	LT Cap Gain	12/19/19	12/19/19
2,245,88		************	医颈周周 建化 医黄色 医白白色 医白白白白白白白	CIBC ATLAS DISCIPLINED: AWEIX	LT Cap Gain Rein	12/19/19	12/19/19
519,85				MATTHEWS PACIFIC TIGER: MIPTX	Cash Dividend	12/17/19	12/17/19
2,244.56				MATTHEWS PACIFIC TIGER: MIPTX	LT Cap Gain Rein	12/17/19	12/17/19
0.22	Lienwoved account number	o ccoy	Lienwad	BANK INT	Bank Interest ^{X.Z}	12/16/19	12/15/19
735.85			-	TWEEDY BROWNE GLOBAL VAL: TBGVX	Cash Dividend	12/12/19	12/12/19
41.25			化化物 化化化物 化化化物 化化化物 化化物物 化化物物物	TWEEDY BROWNE GLOBAL VAL: TBGVX	Sttm Cap Gn Rein	12/12/19	12/12/19
60,68				TWEEDY BROWNE GLOBAL VAL: TBGVX	LT Cap Gain Rein	12/12/19	12/12/19
373.76				MFS INSTL INTL EQUITY: MIEIX	Cash Dividend	12/12/19	12/12/19
140.73				MFS INSTL INTL EQUITY: MIEIX	LT Cap Gain Rein	12/12/19	12/12/19
3,115.54				JPMORGAN MID CAP VALUE: FLMVX	LT Cap Gain Rein	12/12/19	12/12/19
- 475.01			***************************************	MAINSTAY EPOCH GLOBAL; EPSYX	LT Cap Gain Rein	12/10/19	12/10/19
118,88				MAINSTAY EPOCH GLOBAL: EPSYX	Div For Reinvest	12/10/19	12/10/19
Credit/(Debit)				Description	Activity	Date	Dale Date

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.

Total Transaction Detail

3,573.47

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<i>charles</i> SCHWAB	Contributory IRA of ANTHONY S FAUCI CHARLES SCHWAB & CO INC CUST IRA CONTRIBUTORY			Statement Period December 1-31, 2019	-31, 2019
Bank Sweep Activity					
Transaction Date Transaction	Description		Withdrawal	Deposit	Balance ^{x,z}
Opening Balance X,Z					4.276.60
12/13/19 Auto Transfer	BANK CREDIT FROM BROKERAGE ×			1 109 61	5 385 A
12/15/19 Interest Paid ^{X,Z}	BANK INTEREST - CHARLES SCHWAB BANK	CONTRACTOR OF A DESCRIPTION OF A DESCRIP		0.22	5 386 43
12/15/19 Auto Transfer	BANK TRANSFER TO BROKERAGE		0.22		5 386 21
12/17/19 Auto Transfer	BANK CREDIT FROM BROKERAGE ×			0.22	5,386,43
	BANK CREDIT FROM BROKERAGE ×			519.85	5 906.28
	BANK CREDIT FROM BROKERAGE ×			1,173.19	7,079.47
				385.93	7,465.40
12/31/19 Auto Transfer	BANK CREDIT FROM BROKERAGE ×			384.67	7,850.07
Total Activity			0.22	3,573.69	
Ending Balance XZ					7.850.07
Bank Sweep: Interest Rate as of 12/31/19 was 0.06%. ²	2/31/19 was 0.06%. ^Z				and the second second
Contribution Summary	У				
		2010			
		2010	2019		
Year To Date Total		0.00	2019 0.00		

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Contributory IRA of ANTHONY S FAUCI CHARLES SCHWAB & CO INC CUST IRA CONTRIBUTORY

Statement Period December 1-31, 2019

Distribution Summary

Schwab must report to the IRS that a minimum distribution is required for the tax year specified above.	Tax Year 2020 Required Minimum Distribution, which you must take by 12/31/2020:	Year To Date Total 25,516.53 2,551.65 2,296.49	Normal 25,516.53 2,551.65 2,296.49 DC	Gross Amount Federal Tax Withheld State Tax Withheld
		96.49 0.00	96,49 DC 0,00	hheid Earnings
	31,530.07	20,668.39	20,668.39	Net Amount

Endnotes For Your Account

Symbol Endnote Legend

- Over the providend of the security will be automatically reinvested.
- × Bank Sweep deposits are held at FDIC-insured bank(s) ("Banks") that are affiliated with Chartes Schwab & Co., Inc.
- N Period after interest is paid. The interest paid may include interest that accrued in the prior Statement Period. indicated on your statement by Schwab or one or more of its affiliated banks. These balances do not include interest that may have accrued during the Statement Eor. Bank Sweep and Bank Sweep for Benefit Plans features, interest is paid for a period that differs from the Statement Period, Balances include interest paid as
- #2 cases, your calendar year distributions may differ from your Required Minimum Distribution for the lax year. Fair Market Value such as a change to a security value or deposit adjustment. The Year To Date Total includes distributions taken during the calendar year. In some specified above, (d) you recharacterize a conversion made in the prior year, or (e) a correction was made to the account after this statement date that impacts the your spouse is your sole beneficiary and is more than 10 years younger than you, (c) a rollover to this IRA was pending as of December 31 prior to the tax year year. It could change If (a) you satisfy some or all of your Required Minimum Distribution with distribution(s) from other IRA(s) you own, (b) you inform Schwab that The Required Minimum Distribution amount shown here is based on the "Total Account Value", also known as Fair Market Value, as of December 31 of the previous

Your Independent Investment Advisor is not affaiated with or an agent of schwab and Schwab does not supervise or endorse your Advisor Page 4 of 9

PRIVATE WEALTH MANAGEMENT



 21% Bond Funds 77% Equity Funds 			
2% Cash, MMFs [Sweep]	100%	\$ 1,799,302.24	I otal Account Value
		\$ 1,799,302.24	I otal Assets Long
	77%	1,392,110.09	Equity Funds
	21%	375,329.54	Bona runas
	2%	\$ 31,862.61	
Overview	% of Account Assets	Market Value	Asset Composition Cash and Money Market Funds

	And a second sec	1 000 440 00	
	21%	375,329.54	
	2%	\$ 31,862.61	1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.
Overview	% of Account Assets	Market Value	r Market Funds

	0	350	700	1050
3/19		-50	120	
6/19		2	1111	1
9/19		10		
12/19		1		-

Change in Value of Investments Ending Value on 12/31/2019

\$ 1,799,302.24

\$ 1,799,302.24

(54,663.86) 294,551.97

\$ 305,993.25

\$ 34,320.53

(11,938.83) 25,535.82

0.00 0.00

Debits____ Transfer_of Securities (In/Out)

Income Reinvested

Total Change in Account Value

Starting Value

\$ 1,764,981.71

\$ 1,493,308.99

(13,011.01) 79,116.15

2100 1750

0.00

1400

Year to Date Account Value [in Thousands]

20,723.54

This Period

Credits

Change in Account Value

SCHWAB

Attachment D: Fayer 2019 2780 Report, Section 2 Schwab One® Trust Account of

SPOUSE REVOCABLE TR

December 1-31, 2019 Statement Period

Account Value as of 12/31/2019:\$ 1,799,302.24

od .31, 2019 n or (Loss)		ິ່> ∲ິ0ຟິິ່ິ€ ' REVOCABLE TR U/A DTD 03/19/2014 Realized Gain or (Loss) This Period	<i>Charles</i> SCHWAB Gain or (Loss) Summary
n or (Loss)		Realized Gain or (Loss	Gain or (Loss) Summarv
		2	Gain or (Loss) Summarv
	roug ierm	Short Term	furning (coord) in minut
	*	00.05	All Investments
S242,502.61 official tax documents	nol be u	and be adjusted in certain ca	Values may not reflect all of your gains/losses. Cost basis may change For additional gain or (loss) information refer to Terms and Conditions
	Year to Date	This Period	
Federally Taxable	Federally Tax-Exempt	Federally Tax-Exempt ¹ Federally Taxable	Income Summary
12 P2E	0.00	0.00	Money Funds Dividends
15 352 96	30'6	752.78	Cash Dividends
54,309.23	0,00	0.00 1	I vial Capital Gains Distributions
70,016,82	19,970,76 9,099.33	752.78 1	Total Income
		tax exemption; consult your tax advisor.	Certain income in this category may qualify for state
Year to Date	This Period		Cash Transactions Summary
\$ 20,066.70	\$ 23,045.01		Starting Cash
0.00	0.00		Deposits and other Cash Credits
0.00	0,00		Investments Sold
79,116.15	20,723,54		Withdrawale and interest
0.00	0.00		Investments Durchased
(54.309.23)	(11,905.94)		Fee <u>s and Ch</u> amee
	0.00		
(13,011.01)	8,817.60		iotal Cash Transaction Detail
(13,011.01) 11,795.91			Ending Cash
	S0.00 S0.00 S0.00 Year to Date Taxable Federally Tax-Exempt 0.00 8,031.93 9,099.33 1,905.94 9,099.33 9,970.76 9,099.33 This Period \$ 23,045.01 0.00 0.00 0.00	Short Term \$0.00 basis may change and be adjusted in certain cases. Statement ts and Conditions. This Period Federally Tax-Exempt ¹ Federally 0.00 752.78 1 752.78 1 tax exemplion; consult your tax advisor.	All Investments Values may not reflect all of your gains/losses. Cosl basis may change and be adjusted in ce For additional gain or (loss) information refer to Terms and Conditions. This F Income Summary Federally Tax-Exempt' Money Funds Dividends 0.00 Cash Dividends 752.78 Total Capital Gains Distributions 0.00 Total Income 752.78 'Certain income in this category may qualify for state tax exemption, consult your tax advisor Cash Transactions Summary Starting Cash' Deposits and other Cash Credits Investments Sold Dividends and Interest

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings.

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<i>charles</i> schwab	SPALSE , UIA DTD 03/19/2014	Schwab One® Trust Account of <i>Sれいち</i> (REVOCABLE TR U/A DTD 03/19/2014	₽			Statement Period December 1-31, 2019	lod -31, 2019
Investment Detail - Cash and Money Market Funds [Sweep]	ıd Money Marke	t Funds [Sweep]					
Cash			Starting Balance	Balance	Ending Balance		% of Account Assets
Cash				756.80	752.78		<1%
Iotal Cash				756.80	752.78		<1%
Money Market Funds [Sweep]		Quantity	Mark	Market Price	Market Value	Current Vintel	% of Account
SCHWAB GOVT MONEY FUND: SWGXX	XX	31,109.8300		1.0000	31,109.83	1.10%	2%
i otat money market Funds [Sweep]	P				31,109.83		
Total Cash and Money Market Funds [Sweep]	ls [Sweep]						N
Investment Detail - Mutual Funds	-unds				31,862.61		2%
Bond Funds T ROWE PRICE SUMMIT MUNI INTERM INV	Quantity 30,916.7660	Market Price 12.14000	Market Value 375,329.54		31,862.61		N N
Total Bond Funds	30,916.7660		375,329.54	% of Account Assets 21%	31,862.61 Average Cost Basis 11.90	Cost Basis 368,044.45	2 2 Gain or (Loss) 7,285.09
Equity Funds CIBC ATLAS INCM OPPTY FD INST SYMBOL: AWIIX		Market Price		% of Account Assets 21%	31,862.61 Average Cost Basis 11.90	Cost Basis 368,044.45 368,044.45	2: 2: Gain or (Loss) 7,285.09

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings.

Page 6 of 9

ne of your holdings.	Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for soil
-	unavailable for some of your h

<i>charles</i> scitwab	5005E U/A DTD 03/19/2014	. REVOCABLE TR 1014	ſŖ		Ē	Statement Period December 1-3	Statement Period December 1-31, 2019
Investment Detail - Mutual Funds (continued)	unds (continued)						
Equity Funds (continued)	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (I nee)
JPMORGAN MID CAP VALUE L SYMBOL: FLMVX	4,488.6590	39,67000	178,065.10	10%	31.67	142,151.61	35,913,49
MAINSTAY EPOCH GLOBAL EQTY YIELD I SYMBOL: EPSYX	141.3830	19,06000	2,694.76	<1%	18.00	2,529.33	165.43
PARNASSUS CORE EQTY FD INST SYMBOL: PRILX	13,300.2920	47.10000	626,443.75	35%	38,49	511,915,14	114,528.61
PAX SMALL CAP INST SYMBOL: PXSIX	5,922,7890	14.82000	87,775.73	5%	13.76	81,512.59	6,263.14
TOUCHSTONE GLOBAL ESG EQUITY_INST SYMBOL: TROCX	15,623,5930	22.61000	353,249.44	20%	19.09	298,232.61	55,016.83
Total Equity Funds	50,699.9070		1,392,110.09	77%		1,156,892.57	235,217.52
Total Mutual Funds	81,616.6730		1,767,439.63	98%		1,524,937.02	242,502.61
					Total Investment Detail	nent Detail	1,799,302.24
					Total Acc Total	Total Account Value Total Cost Basis	1,799,302.24 <i>1,524,937.0</i> 2

Schwah One® Trust Account of

Page 7 of 9
Schwab has provided ac
curate gain and loss inform
mation wherever possible f
for most investments. Cos
t basis data may be incon
mplete or unavailable for :
r some of your holdings.

Settle Date	Trade Date	te Transaction	Description	Quantity	Unit Price	Total Amoun
12/10/19	12/10/19	Reinvested Shares	MAINSTAY EPOCH GLOBAL EQTY YIELD I: EPSYX	2.5460	18.5700	(47.27)
12/11/19	12/11/19	Reinvested Shares	TOUCHSTONE GLOBAL ESG EQUITY INST: TROCX	232,6910	22.2300	(5,172,73)
12/12/19	12/12/19	Reinvested Shares	JPMORGAN MID CAP VALUE L: FLMVX	168.8800	39,5900	(6,685,94)
Total Equ	Total Equity Funds Activity	Activity				(11,905.94)
Tot al Purc hases & Sales	nases & Si	ales				(11,905.94)
Transaction Du Transaction Process Date Date	ion Deta Process Date	ail - Dividends & Activity	Transaction Detail - Dividends & Interest (including Money Market Fund dividends reinvested) Transaction Process Date Activity Description	invested))
Transacti Transaction F Date D 12/10/19 1	ion Deta Process Date 12/10/19	sil - Dividends & Activity LT Cap Gain Rein Cash Dividend	Interest (including Money Market Fund dividends re Description MAINSTAY EPOCH GLOBAL: EPSYX	ainvested)		Credit/(Debit 47.2)
Transacti Transaction F Date [12/10/19 1 12/11/19 1	ion Deta Process Date 12/10/19 12/11/19	til - Dividends & Activity LT Cap Gain Rein Cash Dividend LT Cap Gain Rein	Interest (including Money Market Fund dividends re Description MAINSTAY EPOCH GLOBAL: EPSYX MAINSTAY EPOCH GLOBAL: EPSYX TOUCHSTONE GLOBAL ESG: TROCX	sinvested)		Credit/(Debit 47.27 11.83
Transacti Transaction F Date D 12/10/19 1 12/11/19 1 12/11/19 1 12/12/19 1	ion Deta Process Date 12/10/19 12/10/19 12/11/19 12/11/19	til - Dividends & Activity LT Cap Gain Rein Cash Dividend LT Cap Gain Rein LT Cap Gain Rein	Interest (including Money Market Fund dividends re Description MAINSTAY EPOCH GLOBAL: EPSYX MAINSTAY EPOCH GLOBAL: EPSYX TOUCHSTONE GLOBAL ESG: TROCX JPMORGAN MID CAP VALUE: FLMVX	ainvested)		Credit/(Debit 47.21 5,172.73
Transacti Transaction F Date C 12/10/19 1 12/11/19 1 12/11/19 1 12/12/19/19 1	ion Deta Process Date 12/10/19 12/11/19 12/11/19 12/12/19	ail - Dividends & Activity LT Cap Gain Rein Cash Dividend LT Cap Gain Rein LT Cap Gain Rein LT Cap Gain Rein Cash Dividend	Interest (including Money Market Fund dividends re Description MAINSTAY EPOCH GLOBAL: EPSYX MAINSTAY EPOCH GLOBAL: EPSYX TOUCHSTONE GLOBAL ESG: TROCX JPMORGAN MID CAP VALUE: FLMVX JPMORGAN MID CAP VALUE: FLMVX	ainvested)		Credit/(Debit 47.21 5,172.73 6,685.94
Transacti Transaction F Date 12/10/19 1 12/11/19 1 12/11/19 1 12/12/19 1 12/12/19 1 12/27/19 1	ion Deta Process Date 12/10/19 12/11/19 12/11/19 12/12/19 12/19/19 12/27/19	ail - Dividends & Activity LT Cap Gain Rein Cash Dividend LT Cap Gain Rein LT Cap Gain Rein LT Cap Gain Rein Cash Dividend Cash Dividend	Interest (including Money Market Fund dividends re Description MAINSTAY EPOCH GLOBAL: EPSYX TOUCHSTONE GLOBAL ESG: TROCX JPMORGAN MID CAP VALUE: FLMVX JPMORGAN MID CAP VALUE: FLMVX PARNASSUS CORE EQTY FD: PRILX	ainvested)		Credit/(Debit 47.2) 5,172.73 6,685.94 2,317.76
Transacti Transaction F Date C 12/10/19 1 12/11/19 1 12/11/19 1 12/12/19 1 12/12/19 1 12/27/19 1	lion Deta Process Date 12/10/19 12/11/19 12/12/19 12/12/19 12/27/19 12/27/19	ail - Dividends & Activity LT Cap Gain Rein Cash Dividend LT Cap Gain Rein LT Cap Gain Rein LT Cap Gain Rein Cash Dividend Cash Dividend	Interest (including Money Market Fund dividends re Description MAINSTAY EPOCH GLOBAL: EPSYX TOUCHSTONE GLOBAL ESG: TROCX JPMORGAN MID CAP VALUE: FLMVX JPMORGAN MID CAP VALUE: FLMVX PARNASSUS CORE EQTY FD: PRILX TOUCHSTONE GLOBAL ESG: TROCX	binvested)		Credit/(Debit 47.2) 5,172.73 6,685.94 1,622.64
Transacti Transaction F Date E 12/10/19 1 12/11/19 1 12/12/19 1 12/12/19 1 12/27/19 1 12/27/19 1 12/27/19 1	lion Deta Process Date 12/10/19 12/11/19 12/11/19 12/12/19 12/12/19 12/27/19 12/27/19 12/27/19	ail - Dividends & Activity LT Cap Gain Rein Cash Dividend LT Cap Gain Rein LT Cap Gain Rein LT Cap Gain Rein Cash Dividend Cash Dividend Cash Dividend	Interest (including Money Market Fund dividends re Description MAINSTAY EPOCH GLOBAL: EPSYX MAINSTAY EPOCH GLOBAL: EPSYX TOUCHSTONE GLOBAL ESG: TROCX JPMORGAN MID CAP VALUE: FLMVX JPMORGAN MID CAP VALUE: FLMVX PARNASSUS CORE EQTY FD: PRILX TOUCHSTONE GLOBAL ESG: TROCX CIBC ATLAS INCM OPPTY: AWIIX	binvested)		Credit/(Debit 47.2) 5,172.73 6,685.94 2,317.76 1,622.64 3,081.96
Transacti Transaction F Date C 12/10/19 1 12/11/19 1 12/12/19 1 12/12/19 1 12/27/19 1 12/27/19 1 12/27/19 1 12/27/19 1 12/27/19 1	Lion Deta Process Date 12/10/19 12/11/19 12/12/19 12/12/19 12/27/19 12/27/19 12/27/19 12/27/19 12/27/19	ail - Dividends & Activity LT Cap Gain Rein Cash Dividend LT Cap Gain Rein LT Cap Gain Rein LT Cap Gain Rein Cash Dividend Cash Dividend Cash Dividend Cash Dividend Cash Dividend	Interest (including Money Market Fund dividends re Description MAINSTAY EPOCH GLOBAL: EPSYX MAINSTAY EPOCH GLOBAL: EPSYX TOUCHSTONE GLOBAL ESG: TROCX JPMORGAN MID CAP VALUE: FLMVX JPMORGAN MID CAP VALUE: FLMVX PARNASSUS CORE EQTY FD: PRILX TOUCHSTONE GLOBAL ESG: TROCX CIBC ATLAS INCM OPPTY: AWIX SCHWAB GOVT MONEY FUND: SWGXX	ainvested)		Credit/(Debit 47.21 5,172.73 6,685.94 2,317.76 1,622.64 3,081.96 997.74
Transaction Process Activ Date Date Activ 12/10/19 12/10/19 LT c 12/10/19 12/10/19 LT c 12/11/19 12/11/19 LT c 12/12/19/19 12/12/19 LT c 12/12/19 12/12/19 LT c 12/12/19 12/12/19 LT c 12/12/19 12/17/19 Cash 12/27/19 12/27/19 Cash 12/230/19 12/27/19 Cash 12/231/19 12/31/19 Cash 12/31/19 12/31/19 Cash	Lion Deta Process Date 12/10/19 12/11/19 12/12/19 12/12/19 12/27/19 12/27/19 12/27/19 12/27/19 12/27/19 12/27/19 12/27/19	ail - Dividends & Activity LT Cap Gain Rein Cash Dividend LT Cap Gain Rein LT Cap Gain Rein Cash Dividend Cash Dividend Cash Dividend Cash Dividend Cash Dividend Cash Dividend	Interest (including Money Market Fund dividends re Description MAINSTAY EPOCH GLOBAL: EPSYX MAINSTAY EPOCH GLOBAL: EPSYX TOUCHSTONE GLOBAL ESG: TROCX JPMORGAN MID CAP VALUE: FLMVX JPMORGAN MID CAP VALUE: FLMVX PARNASSUS CORE EQTY FD: PRILX TOUCHSTONE GLOBAL ESG: TROCX CIBC ATLAS INCM OPPTY: AWIIX SCHWAB GOVT MONEY FUND: SWGXX T ROWE PRICE SUMMIT MUNI: PRSMX	sinvested)		Credit/(Debit) 47.27 11.83 5,172.73 6,685.94 2,317.76 1,622.64 3,081.96 997.74 32.89

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Schwab One® Trust Arrount of

S Φ0USE REVOCABLE TR U/A DTD 03/19/2014

Statement Period December 1-31, 2019

Transaction Detail - Purchases & Sales

Equity Funds Activity

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Schwab has provi			SCHWAB GOVT	Total Money	Total SCHV	Closing # of S	12/31/19	12/31/19	12/30/19	12/20119	400040	10/11/0	Opening # of	Settle Date	SCHWAB G	Money Ma		Transacti	<i>charles</i> SCHWAB
ded accurate gain and loss	đi		MMF Average Vield For 1	Total Money Market Fund (Sweep)	Total SCHWAB GOVT MONEY FUND Activity	Closing # of Shares: 31,109.8300	Dividend	Purchased	Purchased	Purchased	rurchaseo	Purchased	Opening # of Shares: 22,288.2100	Transaction	SCHWAB GOVT MONEY FUND Activity	Money Market Fund (Sweep) Detail		Transaction Detail - Total	
Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings.			SCHWAB GOVT MMF Average Vield For The Most Recent Pay Period: 001.12%; 7-Day Vield: 1.10%.		JND Activity										Activity	p) Detail			Schwab One® Trust Account ก <i>SPOUSE</i> U/A DTD 03/19/2014
sis data may be incompl							32.8900	997.7400	4,704.6000	2,317.7600	11.8300	756.8000		Quantity			Total Transaction		
ete or unavailable for							1.0000	1.0000	1.0000	1.0000	1.0000	1.0000		Unit Price			on Detail		
r some af your holdings.		×		8,821.62	8,821.62		32 80	997.74	4,704,60	2,317.76	11.83	756.80		Purchase/Debit					/ Statement Period December 1-31, 2019
Page 9 of 9							A REAL POINT OF THE REAL POINT OF THE POINT	Children and the second s	AT A A A A A A A A A A A A A A A A A A		A MARKA MARKAN AND AND AND AND AND AND AND AND AND A			Sale/Credit			8,817.60		iod 1-31, 2019

Contributory IRA of SPUUSE CHARLES SCHWAB & CO INC CUST IRA CONTRIBUTORY	Attachment E: Fauci 2019
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-	eso of
:•3 ₁₀	out section 2

charles SCHWAB

Statement Period December 1-31, 2019

Account Value as of 12/31/2019:\$ 107,468.73

	\$ 19,925.12	\$ 2,968.89	Total Change in Account Value
25	\$ 107,400.73	\$ 107,400.73	The Office is a sector
00	¢ 107 460 79	\$ 107 A68 72	Ending Value on 12/31/2019
5	18,543.37	1,871.82	Change in Value of Investments
75	(1,647.39)	(885.73)	Income Reinvested
	0.00	0.00	I ranster of Securities (In/Out)
125	0.00	0.00	Debits
150	3,029.14	1,982.80	Credits
	\$ 87,543.61	\$ 104,499.84	Starting Value
Year to Date Account Value [in Thousand:	Year to Date	This Period	citalige in Account value

100%	\$ 107,468.73	Total Account Value
	\$ 107,468.73	Total Assets Long
%26	104,657.60	Equity Funds
3%	\$ 2,811.13	Bank Sweep ^{^+}
% of Account Assets	Market Value	Asset Composition



Your Independent Investment Advisor is not affaated with or an agent of Schwab and Schwab does not supervise or endores your Advisor Page 4 of 9

PRIVATE WEALTH MANAGEMENT



SPUSE CHARLES SCHWAB & CO INC CUST IRA CONTRIBUTORY	Statem Decei	Statement Period December 1-31, 2019
Gain or (Loss) on Investments Sold	Unrealized Gain	or (Loss)
This Period		
\$0.0	0	\$3.274.55
nd be adjusted in certain cases. Statement information s	hould not be used for tax preparation, instea	refer to official tax documents
This Period Year T	o Date	
0.08	3.63	
1,096.99 1,	378.12	
885.73 1,	647.39	
1,982.80 3,	029.14	
	This Period	Year to Date
	\$ 1,714.06	\$ 1,429.38
	0.00	0.00
	0.00	0,00
	1,982.80	3,029.14
	0.00	0.00
	(885.73)	(1,647.39)
	0.00	0.00
	1,097.07	1,381.75
	\$ 2,811.13	\$ 2,811.13
	WAB & CO INC CUST Gain or (Loss) on Investments Sold This Period 0.08 .066.99 .085.73 .1. 982.80 .2.80 .3.	 CO INC CUST alin or (Loss) on Investments Sold This Period S0.00 Insertain cases. Statement information should not be used for tax preparation. 1,378.12

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.

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Contributiony IRA SPU456 CHARLES SCH IRA CONTRIBL	of HWAB & CO INC CUST JTORY			а 3.]	Statement F Decembe	Statement Period December 1-31, 2019
Śweep						
		Starting B	alance	Ending Balance		% of Account Assets
		--	714.06	2,811.13		3%
			714.06	2,811.13		3%
	14 A			2,811.13		3%
Funds						
Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
630.7710	31,69000	19,989.13	19%	26,95	17,000.00	2,989.13
1,008,5090	12.82000	12,929.09	12%	10.24	10,329.19	2,599,90
238,9050	47.10000	11,252.43	10%	45.87	10,957.94	294,49
2,675.2300	22.61000	60,486.95	56%	23,59	63,095,92	(2,608,97)
4,553.4150		104,657.60	97%		101,383.05	3,274.55
4,553.4150		104,657.60	97%		101,383.05	3,274.55
	Churries Contribution (RA CHARLES SC) Investment Detail - Bank Sweep Bank Sweep Bank Sweep Total Bank Sweep Total Bank Sweep Equity Funds Equity Funds CIBCATLAS INCM OPPTY FD INST SYMBOL: BCAIX FD INST SYMBOL: AWIIX PARNASSUS CORE EQTY FD SYMBOL: PRILX FOUCHSTONE GLOBAL ESG EQUITY INST SYMBOL: TROCX Total Equity Funds Concolor SYMBOL: PRILX Total Equity Funds Concolor Concolor SYMBOL: PRILX Total Equity Funds Total Mutual Funds A,553,4150	Contrainerview for SPUSE SCHWAB (HARLES SCHWAB (B30,7710 31, 630,7710 31, 630,7710 31, 630,7710 31, 630,7710 31, 630,7710 21, 675,2300 12,6 (675,2300 22,6 (675,2300 22,6 (675,2300 22,6)	HARLES SCHWAB & CO INC CUST HARLES SCHWAB & CO INC CUST A CONTRIBUTORY Market 930,7710 31,69000 12,82000 12,82000 12,82000 110,9000 110,90000 110,90000 110,90000 110,90000000000	Market A CONTRIBUTORY Market Price Market Yalue Acc 1,714.0 Quantity Market Price Market Yalue Acc Acc 630,7710 31,69000 12,929.09	Market Guantity Market Price Market Value Account 1,714.06 1,714.06 Ending 1,714.06 0.008.5090 12.82000 12.929.09 <td< td=""><td>Market Sarting Balance Ending Balance 1,714,06 2,811,13 1,714,06 2,811,13 1,714,06 2,811,13 1,714,06 2,811,13 1,714,06 2,811,13 1,714,06 2,811,13 1,714,06 2,811,13 1,714,06 2,811,13 1,714,06 2,811,13 1,714,06 2,811,13 1,714,06 2,811,13 1,714,06 2,811,13 1,714,06 2,811,13 1,714,06 2,811,13 1,714,06 2,811,13 1,714,06 2,811,13 1,714,06 2,811,13 1,714,06 2,811,13 1,714,06 2,811,13 1,714,06 1,91,3 1,714,06 1,91,3 1,91,3 1,95 1,91,3 1,95 1,91,3 1,95 1,91,3 1,95 1,91,3 1,95 1,91,3 1,95 1,91,3 1,95</td></td<>	Market Sarting Balance Ending Balance 1,714,06 2,811,13 1,714,06 2,811,13 1,714,06 2,811,13 1,714,06 2,811,13 1,714,06 2,811,13 1,714,06 2,811,13 1,714,06 2,811,13 1,714,06 2,811,13 1,714,06 2,811,13 1,714,06 2,811,13 1,714,06 2,811,13 1,714,06 2,811,13 1,714,06 2,811,13 1,714,06 2,811,13 1,714,06 2,811,13 1,714,06 2,811,13 1,714,06 2,811,13 1,714,06 2,811,13 1,714,06 2,811,13 1,714,06 1,91,3 1,714,06 1,91,3 1,91,3 1,95 1,91,3 1,95 1,91,3 1,95 1,91,3 1,95 1,91,3 1,95 1,91,3 1,95 1,91,3 1,95

<i>charles</i> SCHWAB Investment Detail - Total	CHARLES SCHWAB & CO INC CUST IRA CONTRIBUTORY		Statement Period December 1-31, 2019	od -31, 2019
		Total Investment Detail	nt Detail	107,468.73
		Total Account Value	Int Value	107,468.73
		Total C	Total Cost Basis	101,383.05
Transaction Detail - Purchases & Sales	s & Sales			
Equity Funds Activity				
Settle Date Trade Date Transaction	Description	Quantity		
12/11/19 12/11/19 Reinvested Shares	EQUITY INST: TROCX			Total Amount
Total Equity Funds Activity		39.8440	22.2300	Total Amount (885.73)
Totat Purchases & Sales		39.8440	22.2300	Total Amount (885.73) (885.73)
Fransaction Detail - Dividends		39.8440	22.2300	Total Amount (885.73) (885.73) (885.73)
Transaction Process Dale Dale Activity 12/11/19 12/11/19 LT Cap Gain Rein	& Interest (including Money Market Fund d	39.8440 lividends reinvested)	22.2300	Total Amount (885.73) (885.73) (885.73)
12/15/19 12/16/19 Bank Interest ^{X,Z} 12/17/19 12/17/19 Cash Dividend	Transaction Detail - Dividends & Interest (including Money Market Fund dividends reinvested) Transaction Process Date Date Activity Description 12/11/19 12/11/19 LT Cap Gain Rein TOUCHSTONE GLOBAL ESG: TROCX	39.8440	22.2300	Total Amount (885.73) (885.73) (885.73) Credit/(Debit)
12/27/19	& Interest (including Money Market Fund d Description TOUCHSTONE GLOBAL ESG: TROCX BANK INT BOSTON COMMON ESG IMPACT: BCAIX	39.8440 Ividends reinvested)	22.2300	Total Amount (885.73) (885.73) (885.73) Credit/(Debit) 885.73 0.08
12/30/19 12/30/19 Cash Dividend	& Interest (including Money Market Fund d Description TOUCHSTONE GLOBAL ESG: TROCX BANK INT BOSTON COMMON ESG IMPACT: BCAIX PARNASSUS CORE EOTY FD: PRILX	39.8440 Ividends reinvested)	22.2300 22.2300	Total Amount (885.73) (885.73) (885.73) Credit/(Debit) 885.73 0.08 450.46 29.15
Total Dividends & Interest	& Interest (including Money Market Fund d Description TOUCHSTONE GLOBAL ESG: TROCX BANK INT BOSTON COMMON ESG IMPACT: BCAIX PARNASSUS CORE EOTY FD: PRILX TOUCHSTONE GLOBAL ESG: TROCX CIBC ATLAS INCM OPPTY: AWIIX	39.8440 Ividends reinvested)	22.2300 22.2300	Total Amount (885.73) (885.73) (885.73) (0.08 (0.08 450.46 29.15 527.72 89.66

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavaitable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.

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Charles SCHWAB SCHWAB IRA CONTRIBUTORY			Statement Period December 1-31, 2019	lod -31, 2019
Transaction Detail - Total				
	Total Transaction	tion Detail		1,097.07
Ba nk S weep Activity				
Transaction Date Transaction Description		Withdrawal	Deposit	Balance ^{x,z}
Opening Balance ^{x,z}				1,714.06
12/15/19 Interest Paid ^{XZ} BANK INTEREST			0.08	1.714.14
Auto Transfer BANK CREDIT FROM BROKERAGE			450.46	2,164.60
12/31/19 Auto Transfer BANK CREDIT FROM BROKERAGE ×			556,87 80 FE	2,721.47
Total Activity		0.00	1,097.07	
Ending Balance ^{x.z}				2,811.13
Bank Sweep: Interest Rate as of 12/31/19 was 0.06%. Your interest period was 11/15/19 - 12/15/19. Contribution Summary	N			
	2018	2019		
Traditional IRA	0.00	0.00		
Year To Date Total	0.00	0.00		

charles SCHWAB

Contributory IRA of SADUSE CHARLES SCHWAB & CO INC CUST IRA CONTRIBUTORY

Statement Period December 1-31, 2019

Endnotes For Your Account

Symbol Endnote Legend

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- Bank Sweep deposits are held at FDIC-insured bank(s) ("Banks") that are affiliated with Charles Schwab & Co., Inc.
- Ν Period after interest is paid. The interest paid may include interest that accrued in the prior Statement Period. For Bank Sweep and Bank Sweep for Benefit Plans features, interest is paid for a period that differs from the Statement Period. Balances include interest paid as indicated on your statement by Schwab or one or more of its affiliated banks. These balances do not include interest that may have accrued during the Statement

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.

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Attachment F: Fauci 2019 278e Report, Section 7

Products (https://client.schwab.com/secure/cc/products)

Specialty (https://client.schwab.com/secure/cc/guidance)

Service (https://client.schwab.com/secure/e

Ife/

 Summary (https://client.schwab.com/secure/cc/accounts/summary)
 Balances (https://client.schwab.com/secure/cc/accounts/balances)

 Positions (https://client.schwab.com/secure/cc/accounts/positions)
 History (https://client.schwab.com/secure/cc/accounts/historynew)

 Statements (https://client.schwab.com/secure/cc/accounts/statementshistory)
 Transfers & Payments (https://client.schwab.com/secure/cc/accounts/transfers_and_payment:

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SPOUSE IRA Contributory Transactions 2019

Transactions From 01/01/2019 To 12/31/2019

Date 👻	Action	Details	Symbol	Description*	Quantity	Price	Fees & Comm		Amount
12/30/2019	Cash Dividend		AWID	CIBC ATLAS INCM OPPTY FD				\$89 66	
12/27/2019	Cash Dividend		TROCX	TOUCHSTONE GLOBAL ESG EQUITY INST				\$527.72	
12/27/2019	Cash Dividend		PRILX	PARNASSUS CORE EQTY FD				\$29 15	
12/17/2019	Cash Dwidend		BCAIX	BOSTON COMMON ESG				\$450 46	
12/16/2019 as of 12/15/2019	Bank Interest			BANK INT Schwaß Bank	[ดเรอเกา	trumber	removed]	\$0.08	
12/11/2019	Reinvest Shares		TROCX	TOUCHSTONE GLOBAL ESG EQUITY INST	39 844	\$22 23		-\$885.73	i.
12/11/2019	Long Term Cap Gain Reinvest		TROCX	TOUCHSTONE GLOBAL ESG EQUITY INST				\$885.73	
11/21/2019	Long Term Cap Gain Reinvest		PRILX	PARNASSUS CORE EQTY FD				\$761.65	
11/21/2019	Renvest Shares		PRILX	PARNASSUS CORE EQTY FD	16.729	\$45.53		-\$761.66	
11/16/2019 as of 11/15/2019	Bank Interest			BANK INT : SCHWAB BANK				\$0.13	
10/16/2019 as of 10/15/2019	Bank Interest			BANK INT SCHWAB BANK	Taco	ount nur	nte	\$0.20	
09/30/2019	Cash Dividend		PRILX	PARNASSUS CORE EQTY FD	2.00	ount nur remo	ved]	\$25.64	
09/27/2019	Cash Dividend		AWUX	CIBC ATLAS INCM OPPTY FD INST				\$60 81	
09/16/2019 as of 09/15/2019	Bank Interest		I.	BANK INT SCHWAB BANK				\$0.25	

Quote Symbol Lackup 23,656.44 -592.05 (-2.44%)

					chwab Client C	enter		
Sa 2.0	08/16/2019 as of 08/15/2019 IP 500 (https://clien I23.16 -51.40 (-1.79)	Bank Interest	 NASDAQ (https://client.schwab.co/ 8,560.73 -89.41 (-1.03%) ure/cc/nn/gw/wsod?path=/rstail/researchites/path=/rstail/resear	m/secure/cc/in/o SCHWAB BANK rch/markets/chart/asy	ith=/retail/res mbol=\$SPX)	search/markets/c	harl/&symbol=SIXIC)	\$0.31
Ru		clieRanichiatasicom	visecure/cc/nn/gw/wsod?path=/retail/re	SCHWAB BANK	esRUT) الحسير	Time (ET) 5:34:46 PM	Refresh	\$0.36
	06/28/2019	Cash Dividend	PRILX	PARNASSUS COR	E EQTY FD			\$21.24
	06/27/2019	Cash Dividend	AWICK	CIBC ATLAS INCM INST	OPPTY FD			\$80.18
	06/17/2019 as of 06/15/2019	Bank Interest		BANK INT				\$0.41
	05/16/2019 as of 05/15/2019	Bank Interest		BANK INT SCHWAB BANK		[Acco	removed?	\$0.41
	04/16/2019 as of 04/15/2019	Bank Interest		BANK INT SCHWAB BANK			removed	\$0.42
	03/29/2019	Cash Dividend	PRILX	PARNASSUS CORE	EQTY FD			\$25.99
	03/26/2019	Cash Dividend	AWIX	CIBC ATLAS INCM	OPPTY FD			\$67.27
	03/18/2019 as of 03/15/2019	Bank Interest		BANK INT (SCHWAB BANK			1	\$0.36
	02/19/2019 as of 02/15/2019	Bank Interest		BANK INT SCHWAB BANK		Eremoved	account mber7	\$0.40
	01/16/2019 as of 01/15/2019	Bank Interest		BANK INT		NU	MERI	\$0.30
	Transact	tions Total						\$1,381.75

"Transactions may include Bank Sweep deposit information. Bank Sweep deposits are held at the FDIC-insured depository institution(s) referenced on the Balances detail page, your account statements and disclosed in your account documents. SIPC does not cover balances held in the Bank Sweep feature.

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Transactions From 01/01/2019 To 12/31/2019

	Date v	Action	Details	Symbol	Description*	Quantity	Price	Fees & Comm	Amount
	12/31/2019	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT				\$752.76
	12/31/2019	Cash Dividend		SWGXX	SCHWAB GOVT MONEY FUND	32 89			
	12/31/2019	Bay	Trade Details	ŚWGXX	SCHWAB GOVT MONEY FUND	997 74	\$1.00		-\$997.74
	12/30/2019	Cash Dividend		AWIX	CIBC ATLAS INCM OPPTY FD INST				\$9 97.74
	12/30/2019	Βυγ	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	4.704.6	\$1.00		-\$4,704.60
	12/27/2019	Cash Dividend		TROCX	TOUCHSTONE GLOBAL ESG EQUITY INST				\$3,081.96
	12/27/2019	Cash Dividend		PRILX	PARNASSUS CORE EQTY FD INST				\$1,622.64
	12/20/2019	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	2 317 76	\$1.00		-\$2.317 76
	12/19/2019	Cash Dividend			JPMORGAN MID CAP VALUE L				\$2,317.76
	12/12/2019	Long Term Cap Gain Reinvest			JPMORGAN MID CAP VALUE L				\$6,685.94
	12/12/2019	Reinvest Shares			JPMORGAN MID CAP VALUE L	168 68	\$39,59		-\$8.685.94
	12/11/2019	Remiest Shares			TOUCHSTONE GLOBAL ESG EQUITY INST	232.691	\$22.23		-\$5,172.73
	12/11/2019	Long Term Cap Gain Reinvest			TOUCHSTONE GLOBAL ESG EQUITY INST				\$5.172 73
_	12/11/2019	Buy	Trade Details		SCHWAB GOVT MONEY FUND	11 83	\$1.00		-\$11 83
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120/2020				Charles Schwab Clin	ent Center		
12/10/2019 SAP 500 /bttps://client/	Cash Dividend	NASDAQ (https://c 8,560.73 -89.41 (-1,	lient schwab.com/sc D3%)	CHERICCION ENGLISH EQTY VIELD I markets/chart/&symbol=\$\$PX)	tail/research/market	ts/chart/&symbol=\$IX(C)	\$11.83
2,023.10 -31.49 (-1./8%)	i idn 0.9670086.00 m/s			nin Kalsician Sasyindor-Sorx, Nchhilistan Schold Signadi = 5 Eqty yield (Refresh	\$47.27
12/10/2019	Reinvest Shares		EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I	2 546	\$18.57	-\$47.27
12/02/2019	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	756 8	\$1.00	-\$756 60
11/29/2019	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT			\$756.80
11/21/2019	Long Term Cap Gain Reinvest		PRILX	PARNASSUS CORE EQTY FD			\$42 403 29
11/21/2019	Renvest Shares		PRILX	PARNASSUS CORE EQTY FD	931.326	\$45.53	-\$42 403 29
11/18/2019 as of 11/15/2019	Cash Dividend		SWGXX	SCHWAB GOVT MONEY FUND	28 11		
11/13/2019	Sel	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	3.386.76	\$1.00	\$3.386.76
11/12/2019	Advisor Fee			TO ADVISOR			-\$3.386.76
11/01/2019	Buy	Trade Detała 🦄	SWGXX	SCHWAB GOVT MONEY FUND	758.75	\$1.00	-\$758 75
10/31/2019	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT			\$758.75
10/16/2019 as of 10/15/2019	Cash Dividend		SWGXX	SCHWAB GOVT MONEY	27 54		
10/01/2019	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	2 130 2	\$1.00	-\$2.130.20
09/30/2019	Cash Dividend		PRSMX	TROWE PRICE SUMMIT			\$687.41
09/30/2019	Cash Dividend		EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I			\$15 41
09/30/2019	Cash Dividend		PRILX	PARNASSUS CORE EQTY FD INST			\$1,427.38
09/30/2019	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	676.76	\$1.00	-\$676 76
09/27/2019	Cash Dividend		AWID	CIBC ATLAS INCM OPPTY FD			\$676.76
09/17/2019 as of 09/16/2019	Cash Dividend		SWGXX	SCHWAB GOVT MONEY	30.75		
09/03/2019	Виу	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	809 87	\$1.00	-\$829 87
08/30/2019	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI INTERM INV			\$809 87
08/16/2019 as of 08/15/2019	Cash Dividend		ŚWGXX	SCHWAB GOVT MONEY FUND	35 58		
08/13/2019	Sel	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	3.372.07	\$1.00	\$3.372.07
08/12/2019	Advisor Fee			TO ADVISOR			-\$3.372.07
08/01/2019	Bwy	Trade Details	\$WGXX	SCHWAB GOVT MONEY FUND	77272	\$1.00	-\$772.72
07/31/2019	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI INTERM INV			\$772 72
07/16/2019 as of 07/15/2019	Cash Dividend		SWGXX	SCHWAB GOVT MONEY	31 81	1	

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07/01/2019 S&P 500 (https://client	Buy	NASDAQ (https://ci 8.560.73-89.41 (-1.0	lient schwab.com/se	CUTACCONTRACTOR CONTRACTOR C	ail/research/mari	kets <u>(char</u> t/&symbol=\$D(IC)	-\$1.925.36
\$10531/Ja -31146 (+1118)	•) Heffetsbeftwilltefom/se			närkeisionarvasymdol=53FX) räh änäkääsiökasiväsim bol=\$R MUNI INTERM INV			\$709 40
05/28/2019	Cash Divisiend		PRILX	PARNASSUS CORE EQTY FO			\$1,182.47
06/28/2019	Cash Dividend		EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I			\$33.49
06/28/2019	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	692 24	51.00	-\$892.24
05/27/2019	Cash Dividend		AWIX	CIBC ATLAS INCM OPPTY FD INST			\$892.24
06/21/2019	Buy	Trade Detałs	SWGXX	SCHWAB GOVT MONEY FUND	670,89	\$1.00	-\$870.89
06/20/2019	Cash Dividend		PASIA	PAX SMALL CAP INST			\$870 89
Transact	ions Total						\$752.78

*Transactions may include Bank Sweep doposit information. Bank Sweep deposits are held at the FDIC-insured cepository institution(ii) referenced on the Batances detaid page. your account statements and disclosed in your account documents SIPC does not cover balances held in the Bank Sweep loature

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Transactions From 01/01/2019 To 12/31/2019

	Date 🔻	Action	Detaits	Symbol	Description*	Quantity	Price	Fees & Comm	Amount
	06/18/2019 as of 06/17/2019	Cash Dividend		5WGXX	SCHWAB GOVT MONEY	32.2			
	05/03/2019	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	837 89	\$1.00		-\$837.89
	05/31/2019	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT				\$837.89
	05/16/2019 as of 05/15/2019	Cash Dividend		SWGXX	SCHWAB GOVT MONEY FUND	33 3			
	05/14/2019	Sel	Trade Details	SWGKX	SCHWAB GOVT MONEY FUND	3.260.53	\$1.00		\$3,260 53
	05/13/2019	Advisor Fee			TO ADVISOR				-\$3,260.53
	05/01/2019	Виу	Trade Details	SWGXX	SCHWAB COVT MONEY FUND	758.71	\$1.00		-\$758.71
2	04/30/2019	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI INTERM INV				\$758.71
	24/16/2019 as of 24/15/2019	Cash Dividend			SCHWAB GOVT MONEY FUND	29.91			
(4/01/2019	Buy	Trade Details		SCHWAB GOVT MONEY FUND	2,253 62	\$1.00		-\$2.253.62
6	3/29/2019	Cash Dividend			T ROWE PRICE SUMMIT MUNI INTERM FUND INV				\$779.82
0	3/29/2019	Cash Dividend			PARNASSUS CORE EQTY FO				\$1,447.17
0	3/29/2019	Cash Dividend			MAINSTAY EPOCH GLOBAL EQTY YIELD I				\$26.63
0	3/29/2019	Вчу	Trade Octails		SCHWAB GOVT MONEY FUND	748.59	\$1.00		-\$748.59

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03/28/2019	Cash Dividend	NASDAQ (https:/ 8,560.73 -89,41 (-	client.schwab.com/s	INST	talUresearch/marke	ts/chart/&symbol=\$IXIC)	\$748 59
S&P 500 (https://clien 2,823.16 -51.40 (-1.79	it.schwab.com/sec %)	ure/cc/nnigw/wsod?	path=/retail/research	INST /markets/chart/&symbol=\$SPX	1		4110.05
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03/01/2019	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	711.08	\$1.00	-\$711.08
02/28/2019	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND INV			\$711.08
02/19/2019 as of 02/15/2019	Cash Dividend		SWGXX	SCHWAB GOVT MONEY	33.61		
02/12/2019	Sall	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	2,991.65	\$1.00	\$7.991 65
02/11/2019	Advisor Feg			TO ADVISOR			-\$2,991,65
02/01/2019	Buy	Trade Detaits	ŚWGXX	SCHWAB GOVT MONEY	764.1	\$1.00	-\$764.10
01/31/2019	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND INV			\$764.10
01/16/2019 as of 01/15/2019	Cash Dividend		SWGXX	SCHWAB GOVT MONEY	14.32		
01/02/2019	Buy	Trade Detais	SWGXX	SCHWAB GOVT 795 03 MONEY FUND	\$1.00		-\$795.03
Transact	tions Total						-\$795.03

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Anthony J. Fauci Defined Benefit Plan Transactions 2019

Transactions From 01/01/2019 To 12/31/2019

Date 🔻	Action	Details Sys	nbol D	escription*	Quantity	Price	Fees & Comm	Amount
12/31/2019	Cash Dividend	PIG		IMCO INVESTMENT GRADE REDIT BD INST				\$1_557_18
12/30/2019	Cash Dwidend	AW		IBC ATLAS INCM OPPTY FD				\$1,616.95
12/30/2019	Cash Dividend	нн		AMLIN HIGH DIV EQTY ISTL				\$1,614.99
12/30/2019	Reinvest Dividend	Ann		IBC ATLAS DISCIPLINED QTY FD INST				\$5,234 46
12/30/2019	Renvest Shares	AW		IBC ATLAS DISCIPLINED QTY FD INST	231	\$22.66		-\$5 234 46
12/20/2019	Reinvest Shares	HIE		RTUS VONTOBEL EMRC KTS OPPTY FD I	30.575	\$11 57		-\$353 75
12/20/2019	Renvest Sharea	HIE		RTUS VONTOBEL EMRG KTS OPPTY FD I	250 945	\$11.57		-\$2.503.43
12/20/2019	Renvest Shares	HIE		RTUS VONTOBEL EMRG KTS OPPTY FD I	21 373	\$11.57		-\$247.29
12/20/2019	Long Term Cap Gain Reinvest	HIE		RTUS VONTOBEL EMRG KTS OPPTY FD I				\$153.75
12:20/2019	Remvosl Dwidend	HIE		RTUS VONTOBEL EMRG KTS OPPTY FD I				\$2,903.43
12/20/2019	Short Term Cap Gain Reinvest	HIE		RTUS VONTOBEL EMRG KTS OPPTY FO I				\$247.29
12/19/2019	Long Term Cap Gain Reinvest	AWA		BC ATLAS DISCIPLINED DTY FD INST				\$8,037.19
12/19/2019	Reinvest Dividend	FLN	VX JP L	PMORGAN MID CAP VALUE				\$2,574.68
12/16/2019	Long Term Cap Gain Reinvest	EGF		DGEWOOD GROWTH				\$402.67
	Quote Show Indices	OJIA (https://client.schw 23.650.44 -592.05 (-2.44)	ab.com/secure/co	:/nn/gw/wsod?path=/retail/re	search/markets/cha	rt/&symbol=\$DJI}	1000	5. <u>1950 5.</u> 1 5.

Quote Symbol Lookup 23,650,44 -592.05 (-2,44%)

/20/2020		Charles Schwab Client Center						
12/19/2019	Renvesi Shares	NASDAQ (https://client.scbwab.com/se 8,550.73 -69.41 (-1.03%) ire/cc/nn/gw/wsod7path=/retail/research/s			s(c)att/&symbol=\$IXIC) \$1940	-\$2,574.68		
2,823.16 -51.40 (-1.79%)) IdMisenWSb7com/I	secure/cc/nn/gw/wsod *}//##*/retail/resea			\$22.69 Rafresh	-\$8.037.19		
12/19/2019	Reinvest Shares	EGFIX	EDGEWOOD GROWTH	10 508	\$36.32	-\$402.67		
12/18/2019	Long Term Cap Gain Reinvest	XQIVI	IVA INTE FOICE I			\$789.61		
12/18/2019	Reinvest Dividend	NQX	IVA INTL FO CL I			\$1,031.57		
12/18/2019	Short Term Cap Gain Reinvest	TVIQX.	IVA INTL FD CL I			\$179.38		
12/18/2019	Reinvest Shares	IVIQX	IVA INTL FD CL I	49.956	\$15.81	-\$789 81		
12/18/2019	Reinvest Shares	IVIQX	IVA INTL FD CL I	65.248	\$15.81	-\$1.031 57		
12/18/2019	Reinvest Shares	IVIQX	IVA INTLED CLI	11.346	\$15.61	-\$179.35		
12/17/2019	Cash Dividend	MIPTX	MATTHEWS PACIFIC TIGER FD INSTL			\$1.072.99		
12/17/2019	Long Term Cap Gain Reinvest	міртх	MATTHEWS PACIFIC TIGER			\$4.632.85		
12/17/2019	Reinvest Shares	міртх	MATTHEWS PACIFIC TIGER FD INSTL	162.842	\$28.45	-\$4.632.85		
12/16/2019 as of 12/15/2019	Bank Interest		BANK INT SCHWAB DANK			\$5.00		
12/12/2019	Cash Dividend	TBĠVX	TWEEDY BROWNE GLOBAL VALUE FUND			\$776.18		
12/12/2019	Long Term Cap Gain Reinvest	FLMVX	JPMORGAN MID CAP VALUE			\$7,427,04		
12/12/2019	Short Term Cap Gain Reinvest	TBGVX	TWEEDY BROWNE GLOBAL VALUE FUND			\$43.51		
12/12/2019	Long Term Cap Gain Reinvest	TBGVX	TWEEDY BROWNE GLOBAL			\$93.98		
12/12/2019	Reinvest Shares	FLMVX	JPMORGAN MID CAP VALUE	187.599	\$39.59	-\$7,427.04		
12/12/2019	Reinvest Shares	TBGVX	TWEEDY BROWNE GLOBAL VALUE FUND	3 393	\$27.70	-\$93 98		
12/12/2019	Reinvest Shares	TBGVX	TWEEDY BROWNE GLOBAL VALUE FUND	1.571	\$27.70	-\$43.51		
12/10/2019	Long Term Cap Gain Reinvest	EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I			\$1,229.94		
12/10/2019	Renvest Dividend	EPSYX	MAINSTAY EPOCH GLOBAL EGTY YIELD I			\$307 83		
12/10/2019	Reinvest Shares	EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I	66.233	\$18.57	-\$1,229.94		
12/10/2019	Renvest Shares	EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I	16 577	\$18.57	-\$307.83		
11/29/2019	Cash Drvidend	PIQIX	PIMCO INVESTMENT GRADE CREDIT BD INST		1	\$1,634 66		
11/18/2019 as of 11/15/2019	Bank Interest		BANK INT SCHWAB BANK	(removed	Account number]	\$19.73		
11/12/2019	Advisor Fee		TO ADVISOR			-\$3,163 03		
11/07/2019	Journal		JOURNAL TC	1		+\$246,666.72		

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DJIA (https://client.schwab.com/secure/cc/nn/gw/wsod?path=/retail/research/marksts/chart/&symbol=\$DJI) 23,650,44 -592.05 (-2.44%)

11/06/2019	Sell	NASDAO (https://cl. 8/560.73-89.41 (-1.0	lent,schwab.com/sc 3%}	Cursic cinging / stopd?path=/re	taWrese	arch/marketi	s(chart/&symbol=\$1 \$22.02	x15.00	\$99 985.00
2.523.16 -51.40 (-1.797	%}			EQTY FD INST markets/chart/&symbol=\$SPX]	•				
RussUP2000 https://d 1,213.35 -15.75 (-1.281	cliefit!schwab.com %)	i/secU <i>let</i> cDMAIgw/wsoc	d Pjein =/retail/resea	CREDIT BD INST		76me (ET) 5:15:28 PM	\$10.88 Refresh	\$15:00	\$99,985.00
10/31/2019	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST					\$1.834.93
10/16/2019 as at 10/15/2019	Bank Interest			BANK INT I SCHWAB BANK					\$26.18
09/30/2019	Cash Drvidend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST					\$1,696 65
09/30/2019	Reinvest Dividend	1	EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I					\$396.56
09/30/2019	Renvest Shares		EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I	21 706		\$18.36		-\$398 58
09/27/2019	Cash Dividend		AWEX	CIBC ATLAS INCM OPPTY FD INST					\$1.096 76
Transac	tions Total								-\$36,907.55

*Transactions may (include Bank Sweep deposit information. Bank Sweep deposits are held at the FDIC-insured depository institution(s) referenced on the Balances detail page, your account statements and disclosed in your account documents. SIPC does not cover balances held in the Bank Sweep leature.

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Brokerage Products: Not FDIC Insured No Bank Guarantee May Lose Value

Charles Schwab & Co. Inc. and Charles Schwab Bank, SSB are separate but affiliated companies and subsidianes of The Charles Schwab Corporation. Brokerage products are offered by Charles Schwab & Co., Inc. (Member SIPC (https://www.sipc.org)) ("Schwab"). Schwab Intelligent Portfolios" and Schwab Intelligent Portfolios Premium ^{res} are made available through Charles Schwab & Co., Inc. ("Schwab"), a duality registered investment advisor and broker dealer. Portfolio management services are provided by Charles Schwab Investment Advisory, Inc. ("CSIA"). Schwab and CSIA are subsidianes of The Charles Schwab Corporation. Deposit and lending products and services are offered by Charles Schwab Bank, SSB, Member FDIC and an Equal Housing Lender ("Schwab Bank").

Bank Sweep deposits are held at one or more FDIC-insured banks that are affidated with Charles Schwab & Co., Inc. ("Affidated Banks"). Funds deposited at Affidated Banks are insured, in aggregate, up to \$250,000 per Affidated Bank, per depositor, for each account ownership category, by the Federal Deposit insurance Corporation (FDIC). Securities products and services (including unswept or intra-day cash, net credit or debit balances, and money market funds) offered by Charles Schwab & Co., Inc. (Member SIPC (https://www.sipc.org)) are not deposits or obligations of the Affidated Banks, are subject to investment risk, are not FDIC insured, may lose value, and are not Affidated Bank-guaranteed. Charles Schwab & Co., Inc., and the Affidated Banks are separate entities and are all affidates of The Charles Schwab Corporation.

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DJIA (https://client.schwab.com/secure/cc/nn/gw/wsod?path=/retail/research/markets/chart/&symbol=\$DJI) 23,650.44 -592.05 {-2.44%} Show Indices Symbol Lookup

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Transactions From 01/01/2019 To 12/31/2019

Date v	Action	Details	Symbol	Description*	Quantity	Price	Fees & Comm	Amount
09/19/2019	Bank Interest			BANK INT SCHWAB BANK	Com us	i i i i i i i i i i i i i i i i i i i	number]	\$3 24
09/16/2019 as of 09/15/2019	Bank Interest			BANK INT SCHWAB HANK	a concord	account	UNIMBOL	\$32 67
08/30/2019	Cash Drvidend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST				\$1,956.73
98/16/2019 as of 98/15/2019	Bank Interest			BANK INT 071619-081519 SCHWAB BANK				\$34.54
18/12/2019	Advisor Fee			TO ADVISOR				-\$3.005.35
07/31/2019	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST				\$1,837.94
17/16/2019 as of 17/15/2019	Bank Interest			BANK INT 061619-071519 SCHWAB BANK				\$33 21
6/26/2019	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST				\$1,759 69
6/28/2019	Cash Dividend		HHDFX	HAMLIN HIGH DIV EQTY INSTL				\$2,040 13
6/28/2019	Reinvest Dividend		EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD 1				\$854 72
5/28/2019	Reinvest Shares		EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I	47.04	\$18.17		-\$854 72
6/27/2019	Cash Dividend		AWIX	CIBC ATLAS INCH OPPTY FD INST				\$1,445 98
6/17/2019 as of 6/15/2019	Bank Interest			BANK INT I SCHWAB BANK				\$33.44
5/31/2019	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST				\$1,998.92

Quote Shaw Indices Symbol Lookup DJIA (https://client.schwab.com/secure/cc/nn/gw/wsod?path=/retail/research/markets/chart/&symbol=\$DJI)

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20/2	2020				Charles Schwab Cli	ient Center			
s	05/16/2019 as of 05/15/2019 IP 500 (https://client	.schwab.com/secu	NASDAQ (https:// 8,560.73 -89.41 (-1 /re/cc/nn/gw/wsod?;	client.schwab.com/: I.03%) path=/retail/research	secure/crinury/yysod?ngth*/n SCHWAB BANK v/markets/chart&symbol=\$SPX	etail/research/market	ts/chart/&symbol=:	ilXIC)	\$45.68
- 2,4 - Ri	123,19 -31,40 (+1,79%	•} lient:#cn√ee.com/			aarZƏmƏHEARcharU&symbol≍\$		Refresh		-\$3.072.39
	04/30/2019	Cash Drvidend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST			83	\$1.852.18
	04/24/2019	Sell	Trade Octails	HHDFX	HAMLIN HIGH DIV EQTY INSTL	8.928.571	\$22.40	\$15.00	\$199 985.00
	04/24/2019	Sell	Trade Details	NOX	IVA INTL FD CL I	2.824 859	\$15.93	\$15.00	\$41,985.00
	04/24/2019	Buy	Trade Details	AWIX	CIBC ATLAS INCM OPPTY FD	8 340.284	\$11.99	\$15.00	-\$100.015.00
	04/24/2019	Sell	Trade Details	TBGVX	TWEEDY BROWNE GLOBAL VALUE FUND	1 260,353	\$27.77	\$15.00	\$34,985.00
	04/24/2019	Buy	Trade Details	EGFIX	EDGEWOOD GROWTH	1 445 923	\$34.58	\$15.00	-\$50,015.00
	94/24/2019	Buy	Trade Details	HIEMX	VIRTUS VONTOBEL EMRG MKTS OPPTY FD I	6 826.125	\$11.33	\$15.00	-\$100,015.00
	04/24/2019	Buy	Trade Details	миртх	MATTHEWS PACIFIC TIGER FD INSTL	3,456 619	\$28 93	\$15.00	-\$100.015.00
	04/16/2019 as of 04/15/2019	Bank Interest			BANK IN' SCHWAB BANK	Tremoved	account	numfer]	\$15.73
	04/15/2019	Journal			JOURNAL FRM				\$100,000.00
	03/28/2019	Reinvest Dividend		EPSYX	MAINSTAY EPOCH GLOBAL EOTY YIELD I				\$672 56
	63/29/2019	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST				\$1.894.44
	03/29/2019	Reinvest Shares		EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I	37.056	\$1B.15		-\$672.58
	03/28/2019	Cash Dwdend		AMIX	CIBC ATLAS INCM OPPTY FD				\$656 87
	03/18/2019 as of 03/15/2019	Bank Interest			BANK INT C SCHWAB BANK	5 co Moul	actour	t number?	\$13.06
	02/28/2019	Cash Dwidend		PIGIX	PIMCO INVESTMENT GRADE CREDIT OD INST	Echard (4Quin	i numboj	\$1,771.06
	02/19/2019 as of 02/15/2019	Bank Interest			BANK INT SCHWAB BANK				\$14.84
	02/11/2019	Advisor Fee			TO ADVISOR				-52.862.53
	01/31/2019	Cash Dwidend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST				\$1,723.60
	01/16/2019 as of 01/15/2019	Bank Interest							\$11,53
	Transact	ions Total							\$40,130.41

Transactions Total

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Gntheny X. Vanci IRA Contributory Transactions 2019

Transactions From 01/01/2019 To 12/31/2019

	Date ¥	Action	Details	Symbol	Description*	Quantity	Price	Fees & Comm	Amount
	12/30/2019	Cash Dividend		AWIIX	CIBC ATLAS INCM OPPTY FD				\$384.57
	12/30/2019	Reinvest Dividend		AWEIX	CIBC ATLAS DISCIPLINED EQTY FD INST				\$1,462.70
	12/30/2019	Reinvest Shares	Σ_{i}	AWEIX	CIBC ATLAS DISCIPLINED EQTY FD INST	64.55	\$22.66		-\$1.462.70
	12/20/2019	Cash Dividend		HIEMX	VIRTUS VONTOBEL EMRG MKTS OPPTY FD I				\$385.93
	12/20/2019	Reinvest Shares		HIEMX	VIRTUS VONTOBEL EMRG MKTS OPPTY FD I	4.064	\$11.57		-\$47.02
	12/20/2019	Renvest Shares		HIEMX	VIRTUS VONTOBEL EMRG MKTS OPPTY FD I	2.841	\$11.57		-\$32 87
	12/20/2019	Long Term Cap Gain Rainvest		HIEMX	VIRTUS VONTOBEL EMRG MKTS OPPTY FD I				\$47.02
	12/20/2019	Short Term Cap Gain Reinvest		HIEMX	VIRTUS VONTOBEL EMRG MKTS OPPTY FD I				\$32.87
	12/19/2019	Long Term Cap Gain		EGFIX	EDGEWOOD GROWTH INSTL				\$93.15
	12/18/2019	Cash Dividend		FLMVX	JPMORGAN MID CAP VALUE				\$1,080.04
	12/19/2019	Long Term Cap Gain Reinvest		AWEIX	CIBC ATLAS DISCIPLINED EQTY FD INST				\$2,245.88
	12/19/2019	Reinvest Shares		AWEIX	CIBC ATLAS DISCIPLINED EQTY FO INST	98.981	\$22.69		-\$2,245.88
	12/17/2019	Cash Dividend		MIPTX	MATTHEWS PACIFIC TIGER FD INSTL				\$51985
142	12/17/2019	Long Term Cap Gain Reinvest		MIPTX	MATTHEWS PACIFIC TIGER FD INSTL				\$2.244.56
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Gain Renvest 12/12/2019 Short Fam Cap Gain Renvest TBGVX TVEEDY BROWNE GLOBAL VALUE FUND 12/12/2019 Leng Tam Cap Gain Renvest TBGVX TVEEDY BROWNE GLOBAL VALUE FUND 12/12/2019 Renvest Shares FLMVX PMARGAN MID CAP VALUE 78.095 \$38.59 12/12/2019 Renvest Shares MEX MISI INSTL INTL EOUTY \$165 \$27.23 12/12/2019 Renvest Shares MEX MISI INSTL INTL EOUTY \$165 \$27.23 12/12/2019 Renvest Shares TBGVX TWEEDY BROWNE GLOBAL VALUE FUND \$165 \$27.70 12/12/2019 Renvest Shares TBGVX TWEEDY BROWNE GLOBAL VALUE FUND \$149 \$27.70 12/12/2019 Renvest Shares TBGVX TWEEDY BROWNE GLOBAL Contract PEDCH GLOBAL \$2.16 \$27.70 12/12/2019 Renvest Shares EPSYX MAINSTAY EPOCH GLOBAL EOTY YELD I \$4.42 \$18.57 12/10/2019 Renvest Shares EPSYX MAINSTAY EPOCH GLOBAL EOTY YELD I \$4.42 \$18.57 12/10/2019 Tax Webodeng EPSYX MAINSTAY EPOCH GLOBAL EOTY YELD I \$4.42 \$18.57 11/15/2	\$3,115.54
Gain Reinvest VALUE FUND 12/12/2019 Long Term Cap Cain Reinvest TBDVX VVELEF FUND 12/12/2019 Reinvest Shares FLMVX JPMCRCM MID CAP VALUE 78.692 \$33.5.9 12/12/2019 Reinvest Shares MERIX MFSI INSTL INTL EQUITY 5.168 \$27.23 12/12/2019 Reinvest Shares MERIX MFSI INSTL INTL EQUITY 5.168 \$27.23 12/12/2019 Reinvest Shares MERIX MFSI INSTL INTL EQUITY 5.168 \$27.23 12/12/2019 Reinvest Shares TBGVX TWEEDY BROWNE GLOBAL 3.219 \$27.70 12/12/2019 Reinvest Shares TBGVX TWEEDY BROWNE GLOBAL 1.469 \$27.70 12/12/2019 Reinvest Shares BSVX MAINSTAY EPOCH GLOBAL 1.469 \$27.70 12/12/2019 Reinvest Shares EPSYX MAINSTAY EPOCH GLOBAL 1.469 \$27.70 12/12/2019 Reinvest Shares EPSYX MAINSTAY EPOCH GLOBAL 6.402 \$18.57 12/10/2019 Reinvest Shares EPSYX MAINSTAY EPOCH	\$140.73
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Gain Reinvest EOTY YIELD 1 12/10/2019 Reinvest Dividend EPSYK MAINSTAY EPOCH GLOBAL EOTY YIELD 1 12/10/2019 Reinvest Shares EPSYK MAINSTAY EPOCH GLOBAL EOTY YIELD 1 12/10/2019 Reinvest Shares EPSYK MAINSTAY EPOCH GLOBAL EOTY YIELD 1 12/10/2019 Reinvest Shares EPSYK MAINSTAY EPOCH GLOBAL EOTY YIELD 1 12/10/2019 Reinvest Shares EPSYK MAINSTAY EPOCH GLOBAL EOTY YIELD 1 11/18/2019 as of 11/18/2019 Bark Interest Bank Interest Bank Interest 10/16/2019 Tax Witholding DC STATE TAX WHLD IRA7 UTMORMAL 10/16/2019 Tax Witholding Journal JTNORMAL Epsix 10/16/2019 Journal Journal JTNORMAL Epsix 10/16/2019 Bank Interest BANK INT 1 SCHWAB BANK State Tax WHLD IRA7 10/16/2019 Journal Journal JTNORMAL Epsix 10/16/2019 Bank Interest BANK INF 1 SCHWAB BANK State Tax WHLD IRA7 10/16/2019 Sait Trade Detaxis AWEIK CIEC ATLAS DISCIPLINED EOTY FO INST 10/10/2019 Sait Trade Detaxis AWEIK CIEC ATLAS DISCIPLINED EOTY FO INST 10/10/2019 Sait Trade Detaxis AWEIK<	-\$41.25
I2/10/2019 Remvest Shares EPSYX MAINSTAY EPOCH GLOBAL EQTY YIELD I 25.579 \$19.57 I2/10/2019 Remvest Shares EPSYX MAINSTAY EPOCH GLOBAL EQTY YIELD I 6.402 \$18.57 12/10/2019 Remvest Shares EPSYX MAINSTAY EPOCH GLOBAL EQTY YIELD I 6.402 \$18.57 11/16/2019 Bark Interest EPSYX MAINSTAY EPOCH GLOBAL EQTY YIELD I 6.402 \$18.57 10/16/2019 Tax Witholding DC STATE TAX WIH IRA7 DC MOUVEAL ACCOUNT MUMBED Mumbed 10/16/2019 Tax Witholding Journai J7NORMAL FED INC TAX WIH DI RA7 10/16/2019 Journai Journai J7NORMAL FeD INC TAX WIH DI RA7 10/16/2019 Journai Jane Interest BANK IN* SCHWAB BANK BANK IN* MUMBED 10/16/2019 Bank Interest BANK IN* SCHWAB BANK BANK IN* SCHWAB BANK BANK IN* SCHWAB BANK 10/10/2019 Self Trade Deta/s AWEIX CIEC ATLAS DISCIPLINED EQTY FD INST 947.68 \$21.12 \$15.00 09/30/2019 Remvest Shares EPSYX MAINSTAY EPOCH GLOBAL EQTY YIELD I 4.384 \$18.34	\$475.01
EQTY YIELD I 12/10/2019 Resrvest Shares EPSYX MAINSTAY EPOCH GLOBAL 6 402 \$18.57 EDTY YIELD I 11/15/2019 as of 11/15/2019 Tax Witholding DC STATE TAX WIH IRA7 10/16/2019 Tax Witholding DC STATE TAX WIH IRA7 10/16/2019 Journai JFED INC TAX WIHLD IRA7 10/16/2019 Journai JFED INC TAX WIHLD IRA7 10/16/2019 as of 10/16/2019 Soft Bank Interest State AWEIX CIBC ATLAS DISCIPLINED S47.68 \$21.12 \$15.00 EDTY YIELD I 09/30/2019 Renvest Dividend EPSYX MAINSTAY EPOCH GLOBAL 6 344 \$18.36	\$118.88
11/18/2019 as of 11/15/2019 Bark Interest BANK INT 1 SCHWAB BANK CrCMo JEA Account Number 10/16/2019 Tax Withholding DC STATE TAX With IRA7 Interest 10/16/2019 Tax Withholding DC STATE TAX With IRA7 Interest 10/16/2019 Tax Withholding FED INC TAX WILLD IRA7 Interest 10/16/2019 Journal JTNORMAL Growold account Number 10/16/2019 Bank Interest BANK INT* SCHWAB BANK Growold account Number 10/16/2019 Bank Interest BANK INT* SCHWAB BANK Growold account Number 10/10/2019 Bank Interest BANK INT* SCHWAB BANK BANK INT 10/10/2019 Bank Interest BANK INT* SCHWAB BANK BANK INT 10/10/2019 Self Trade Details AWEIX CIBC ATLAS DISCIPLINED EQTY FO INST 947.68 \$21.12 \$15.00 09/30/2019 Reinvest Dividend EPSYX MAINSTAY EPOCH GLOBAL EQTY YIELD I \$18.36	-\$475 01
10/16/2019 Tax Withholding DC STATE TAX WITHAT J 10/16/2019 Tax Withholding FED INC TAX WITHAT J 10/16/2019 Journal JTNORMAL Growold a coount 10/16/2019 as of Bank Interest BANK INT Growold a coount 10/16/2019 Bank Interest BANK INT BANK 10/10/2019 Self Trade Details AWEIX CIBC ATLAS DISCIPLINED 947.68 \$21.12 \$15.00 09/30/2019 Reinvest Dividend EPSYX MAINSTAY EPOCH GLOBAL EQTY YIELD I 947.68 \$21.12 \$15.00	-\$118.86
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10/15/2019 SCHWAB BANK 10/10/2019 Seal 10/10/2019 Seal 10/10/2019 Seal 10/10/2019 Reinvest Dividend EPSYX MAINSTAY EPOCH GLOBAL EQ TY YIELD I 09/30/2019 Reinvest Shares EPSYX MAINSTAY EPOCH GLOBAL EQ TY YIELD I	-\$2,551.65
10/15/2019 SCHWAB BANK 10/10/2019 Seal 10/10/2019 Seal 10/10/2019 Seal 10/10/2019 Reinvest Dividend EPSYX MAINSTAY EPOCH GLOBAL EQ TY YIELD I 09/30/2019 Reinvest Shares EPSYX MAINSTAY EPOCH GLOBAL EQ TY YIELD I	-\$20,666.39
EQTY FD INST O9/30/2019 Reinvest Dividend EPSYX MAINSTAY EPOCH GLOBAL EQ TY YIELD I O9/30/2019 Reinvest Shares EPSYX MAINSTAY EPOCH GLOBAL & 384 \$18.36	\$1.21
EQ TY YIELD I O9/30/2019 Reinvest Shares EPSYX MAINSTAY EPOCH GLOBAL 8:384 \$18:36	\$20,000,00
	\$153.93
	-\$153.93
09/27/2019 Cash Dwidend AWIX CIBC ATLAS INCM OPPTY FD INST	\$260.92
09/16/2018 as of Bank Interest 09/15/2019 Bank Interest 08/16/2019 as of Bank Interest 08/15/2019 Bank Interest 08/15/2019 Bank Interest 08/15/2019 Bank Interest 08/15/2019 Bank Interest	\$1.46
08/16/2019 as of Bank Interest BANK INT NUMBERS	\$1.81
07/16/2019 as of Bank Interest BANK INT L	\$2 16

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 23,650.44 -592.05 (-2.44%)
 23,650.44 -592.05 (-2.44%)

06/28/2019 Reinvest Dividend	NASDAQ (https://client.achwab.com/s 8,560,73 -89,41 (-1.03%)	secure/cc/op/op//whod?gath=/retail/research/markets/chart/&symbol=\$IXIC}	\$330.10
S&P 500 (https://client.schwab.com/seci 2,823.16 -51.40 (-1.79%) RussRH2800 (https://clientischwabh20nn/ 1,213.35 -15.75 (-1.28%)		√៣ឆិកីសំនៃ/ីកិធិកើរឱsymbol=\$SPX} ar សិទារិទីតិស៊ីឆិឱក្រដាប់និនុទ្ភកិ öbi=\$RU¥) ¹⁶⁷ Time (ET) ^{\$18} កុ7 EQTY YIELD I 5:27:47 PM	-\$330.10
05/27/2019 Cash Dividend	XIITVA	CIBC ATLAS INCM OPPTY FD INST	\$343.99
06/17/2019 as of Bank Interest 06/15/2019			\$2.47
05/16/2019 as of Bank Interest 05/15/2019		BANKINT [removed account number]	\$2 49
04/16/2019 as of Bank Interest 04/15/2019		BANK INT SCHWAB BANK	\$2 54
03/29/2019 Reinvest Dividend	EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I	\$259 75
03/29/2019 Reinvest Shares	EPSYX	MAINSTAY EPOCH GLOBAL 14.311 \$18.15 EQTY YIELD I	-\$259.75
Transactions Total			-\$1,323.61

*Transactions may include Bank Sweep deposit information. Bank Sweep deptisits are held at the FDIC-insured depository institution(s) referenced on the Balances detail page, your account statements and disclosed in your account discumental SPC does not cover balances held in the Bank Sweep feature.

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Transactions From 01/01/2019 To 12/31/2019

Date 🔻	Action	Dotain	Symbol	Description*	Quantity	Price	Fees & Comm	Am	iouni
03/28/2019	Cash Dividend		AWIX	CIBC ATLAS INCM OPPTY				\$288 61	
03/18/2019 as of 03/15/2019	Bank Interest			BANK INT SCHWAB BANK	Eremoved	account	number)	\$2.25	٠
02/19/2019 as of 02/15/2019	Bank Interest			BANK INT I				\$2 50	
02/08/2019	Seli	Trade Details	TƏĞVX	TWEEDY BROWNE GLOBA	AL 2.890.173	\$25.95	\$15 CO	\$74,985 00	
0298/2019	Виу	Trade Details	MIPTX	MATTHEWS PACIFIC TIGE FD INSTL	R 2,669.039	\$28.10	\$15.00	-\$75 015 00	
01/16/2019 as of 01/15/2019	Bank Interest			BANK INT	moved acc	count n	umber]	\$2.40	

Transactions Total

*Transactions may include Bank Sweep depose information. Bank Sweep deposits are held at the FDIC-insured depository institution(s) referenced on the Balances detail page, your account statements and disclosed in your account documents SIPC does not cover balances held in the Bank Sweep leature.

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\$265.76

Aftachment J: Fauci 2019 278e Report, Section 7

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Gutlony S. Fauci Revocable Trust Transactions - 2019

Transactions From 01/01/2019 To 12/31/2019

Date 🔻	Action	Octais	Symbol	Description*	Quantily	Price	Fees & Comm	Amount
12/31/2019	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT				\$1,896 46
12/31/2019	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST				\$1,217.61
12/31/2019	Cash Dividend		SWGXX	SCHWAB GOVT MONEY FUND	359.02			
12/31/2019	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	11,969.93	\$1.00		-\$11 969 93
12/30/2019	Cash Dividend		AWEX	CIBC ATLAS INCM OPPTY FD				\$2.159.43
12/30/2019	Cash Dividend		AWEIX	CIBC ATLAS DISCIPLINED EQTY FO INST				\$9,810.50
12/24/2019	Sell	Trade Details	5WGXX	SCHWAB GOVT MONEY FUND	249,446.05	\$1.00		\$249,446.05
12/23/2019	Cash Drodend		VSMAX	VANGUARD SMALL CAP				\$583 95
12/23/2019	Buy	Trade Details	MIEIX	MFS INSTL INTL EQUITY	7.199.424	\$27.78	\$15.00	-\$200,015.00
12/23/2019	Buy	Trace Details	EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I	2.630.195	\$19.01	\$15.00	-\$50.015.00
12/20/2019	Buy	Trace Details	SWGXX	SCHWAB GOVT MONEY FUND	2 667.81	\$1.00		-\$2 667 81
12/19/2019	Cash Drydond		FLMVX	JPMORGAN MID CAP VALUE				\$2,667.81
12/19/2019	Long Term Cap Gain Reinvest		AWEIX	CIBC ATLAS DISCIPLINED EQTY FD INST				\$15.063.42
12/19/2019	Remvost Shares		AWEIX	CIBC ATLAS DISCIPLINED EQTY FO INST	663 879	\$22 69		-\$15,063.42
	Outote Show Indices	DJIA (https://clie	nt.schwab.com/s	scure/cc/nn/gw/wsod?path=/retail/r	na a scobém a skolu	ichartil sumbots	5.00	

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	2020				Chanes Schwab Cli	ient Center		
	12/18/2019	Buy	NASDAQ (https://c 8,689.55-25,16 (-0.	lient.schwab.com/s 28%)	SUND	etajj/ggagarch/marke	is(chart/&symbol=\$IXIC)	-\$5,586.84
- - 4 1	912.43 -27.08 (-0.92%	.)	ra/cc/nn/gw/wsod?p	ath=/retail/research	/markets/chart/&symbol=\$SPX	9		
R 1,	ussbill 2606 (https://c 310.66 -50.10 (-3.68%	lichtACHW89/Som/s }	secure/cc/nn/gw/wsc	od†þðiñ¥/retail/rese	EMRG MKTS CL I	IRUT) Time (ET) 4:18:09 PM	Refresh	\$4.007 81
	12/17/2019	Cash Drvidend		MIPTX	MATTHEWS PACIFIC TIGER			\$1.579 03
	12/17/2019	Long Term Cap Gain Reinvest		MIPTX	MATTHEWS PACIFIC TIGER FD INSTL			\$6,817.79
	12/17/2019	Reinvest Shares		MPTX	MATTHEWS PACIFIC TIGER	239.641	\$28 45	-\$6,817.79
	12/13/2019	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	2 540 08	\$1.00	-\$2,540.08
	12/12/2019	Cash Dividend		TBGVX	TWEEDY BROWNE GLOBAL VALUE FUND			\$2,540.08
	12/12/2019	Long Term Cap Gain Reinvest	1	FLMVX	JPMORGAN MID CAP VALUE			\$7,69570
	12/12/2019	Short Term Cap Gain Reinvest		TBGVX	TWEEDY BROWNE GLOBAL VALUE FUND			\$142.38
	12/12/2019	Long Term Cap Gain Reinvest		TBGVX	TWEEDY BROWNE GLOBAL VALUE FUND			\$307.54
	12/12/2019	Reinvest Shares		FLMVX	JPMORGAN MID CAP VALUE L	194.385	\$39.59	-\$7,695.70
	12/12/2019	Reinvest Shares		TBGVX	TWEEDY BROWNE GLOBAL VALUE FUND	11_103	\$27.70	-\$307.54
	12/12/2019	Renvesi Shares		TBGVX	TWEEDY BROWNE GLOBAL VALUE FUND	5 14	\$27.70	-\$142.38
	12/11/2019	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	248.69	\$1.00	-\$248 89
	12/10/2019	Cash Dividend		EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I			\$248.89
	12/10/2019	Long Term Cap Gain Reinvest		EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I			\$994.46
	12/10/2019	Remvest Shares		EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I	53.552	\$18.57	-\$994 46
	12/02/2019	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	3,133 94	\$1.00	-\$3.133.94
	11/29/2019	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI INTERM INV			\$1,906.56
	11/29/2019	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST			\$1.227.38
	11/18/2019 as of 11/15/2019	Cash Dividend		SWGXX	SCHWAB GOVT MONEY FUND	234.68		
	11/13/2019	Sel	Trade Detaits	SWGXX	SCHWAB GOVT MONEY FUND	5.686 72	\$1.00	\$5.686 72
	11/12/2019	Advisor Fee			TO ADVISOR			-\$5.686.72
	11/08/2019	Buy	Trade Details	ŚWGXX	SCHWAB GOVT MONEY FUND	247.531.84	\$1.00	-\$247,531.84
	11/07/2019	Cash Dividend		VCRRX	VERSUS CAP REAL ASSET			\$865 12
	11/07/2019	Journal			JOURNAL FRM	Iremoved	account number]	\$246.666 72
	11/06/2019	Sel	Trade Details	SWOXX	SCHWAB GOVT MONEY FUND	75,015	\$1.00	\$75,015.00
	11/04/2019	Sel	Trade Octaits	SWGXX	SCHWAB GOVT MONEY FUND	50,015	\$1.00	\$50,015.00
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11/01/2019	Buy	NASDAO (https://c 8,889.55-25.16 (-0.1	light.schwab.com/si 20%) ^{MEX}	SHANGE/COLOREVINE	od2path=/retaij	/research/market	sichari/&symbol=\$I	XIC) \$15.00	-\$75,015.00
S&P 500 (https://clien 2,912.43 -27.08 (-0.925	Lschwab.com/secur	e/cc/nn/gw/wsod?p	ath=/retail/research/i	EMRG MKTS CL I narkets/chart/&syn	mbol=\$SPX)				
Russ 202000 Anttps://d 1,310.66 -50.10 (-3.681	cliefW/schwab.com/s	ec linsteinini gw/wso	d 'Hüñï- /retail/resea	FD INSTL	Calification (California)	\$66.7\$1me (ET) 4:18:09 PM	\$28,30 Refresh	\$15.00	-\$50,015.00
11/01/2019	მიყ	Trade Details	SWGXX	SCHWAB GOVT MC	DNEY 3	.090.8	\$1.00		-\$3,090 80
10/31/2019	Cash Dividend		PRSMX	T ROWE PRICE SUI	IMMIT				\$1,911,48
10/31/2019	Cash Drvidend		PIGIX	PIMCO INVESTMEN CREDIT BD INST	NT GRADE				\$1,179.32
10/17/2019	Buy	Trade Detaits	SWGXX	SCHWAB GOVT MO FUND		0,668 39	\$1.00		-\$20,668.39
10/16/2019	Journal			J7NORMAL :	[removed	d accoun	tnumber	-]	\$20.668.39
10/16/2019 #s of 10/15/2019	Cash Dividend		SWGAX	SCHWAB GOVT MONEY FUND	185.99				
Transac	tions Total								\$3,114.07

*Transactions may include Bank Sweep deposit information. Bank Sweep deposits are held at the FDIC-insured depository institution(s) referenced on the Balances detail page, your account statements and disclosed in your account documenta SIPC does not cover balances held in the Bank Sweep feature.

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Transactions From 01/01/2019 To 12/31/2019

Date 🔻	Action	Details	Symbol	Description*	Quantity	Price	Fees & Comm	Amount
10/11/2019	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	1.060.77	\$1.00		-\$1,060.77
10/10/2019	Cash Dividend		VCMIX	VERSUS CAP MULTI MNGR REAL ESTATE INCM FD INST				\$1,080 77
10/01/2019	Buy	Trade Details	5WGXX	SCHWAB GOVT MONEY	3,146.44	\$1.00		-\$3,146.44
09/30/2019	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI INTERM INV	1			\$1,731.78
09/30/2019	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST				\$1,090.46
09/30/2019	Cash Dividend			MAINSTAY EPOCH GLOBAL EQTY YIELD I				\$324.20
09/30/2019	Buy	Trade Details		SCHWAB GOVT MONEY FUND	1.464.72	\$1.00		-\$1,464.72
09/27/2019	Cash Dividend			CIBC ATLAS INCM OPPTY FO INST				\$1,464 72
09/17/2019 as of 09/16/2019	Cash Dividend			SCHWAB GOVT MONEY FUND	168 69			
09/16/2019	Buy	Trade Detais		SCHWAB GOVT MONEY FUND	294.16	\$1.00		-\$294.16
09/13/2019	Cash Dividend	,		VANGUARD SMALL CAP INDEX ADMIRAL SHARE				\$294 16
09/10/2019	Buy	Trade Details		SCHWAB GOVT MONEY FUND	6.240	\$1.00		-\$6,240.00
09/09/2019	Journal			JOURNAL FRM	removed	account n	umbers]	\$3,060.00
09/09/2019	Journal			JOURNAL FRM ;				\$3,160.00
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Show Indices Symbol Lookup Quote

DJIA (https://client.schwab.com/secure/cc/nr/gw/wsod?path=/ratall/research/markets/chart/&symbol=\$DJI) 24,345.72 -288.14 (-1.17%)

4/30/	2020				Charles Schwab C	lient Center			
	09/03/2019	Buy	NASDAQ (https:// 8.669.55-23.16 (-	/client.schwab.com 0.28%)	isecución and and and and and and and and and an	retali/reasarch/mark	etsichart/&symbol-	SIXIC)	-\$3,280.54
2,9	912.43 -27.08 (-0.921	Lschwab.com/secu %)	ire/cc/nn/gw/wsod?	path=/retail/researc	FUND h/markets/chart/&symbol=\$SP	X}			
R		Here D. Rivite dom	secure/cc/nn/gw/w	od Ppatit / retail/res	aardi ddiin ddichafullym bol= MUNI INTERM INV	\$RUT) Time (ET) 4:18:09 P			\$2,023.94
	08/30/2019	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST				\$1.236 60
	06/29/2019	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	75,000	\$1.00		-\$75,000.00
Þ	08/28/2019	Funds Received	Deposit Details		FUNDS RECEIVED				\$75,000.00
	08/16/2019 as of 08/15/2019	Cash Drvidend		SWGXX	SCHWAB GOVT MONEY FUND	262.87			
	06/13/2019	Seli	Trade Details	SWGXX	SCHWAB GOVT MONEY	5.561 34	\$1.00		\$5.561.34
	08/12/2019	Advisor Fee			TO ADVISOR				-\$5,561,34
	08/09/2019	Buy	Trade Details	ŚWGXX	SCHWAB GOVT MONEY FUND	865.12	\$1.00		-\$865.12
	08/08/2019	Cash Dividend		VCRRX	VERSUS CAP REAL ASSET FUND				\$865.12
	08/05/2019	Sell	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	200 060	\$1.00		\$200,050.00
	08/02/2019	Buy	Trade Details	AWMIX	CIBC ATLAS MID CAP EQTY FD INST	3,125	\$16.00	\$15.00	-\$50.015.00
	06/02/2019	Buy	Trade Details	PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST	4.642.526	\$10.77	\$15.00	-\$50,015.00
	68/02/2019	Buy	Trade Details	FLMVX	JPMORGAN MID CAP VALUE	652.571	\$38.31	\$15.00	-\$25.015.00
	08/02/2019	Βυγ	Trade Details	AWEIX	CIBC ATLAS DISCIPLINED EQTY FD INST	1_184.834	\$21.10	\$15.00	-\$25.015.00
	C8/02/2019	Buy	Trade Details	PRSMX	T ROWE PRICE SUMMIT MUNI INTERM INV	4,108.463	\$12.17		-\$50,000.00
	08/01/2019	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	2.859 99	\$1.00		-\$2,859.99
	07/31/2019	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT				\$1,844.01
	07/31/2019	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST				\$1.015.98
	07/25/2019	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	100,000	\$1.00		-\$100,000.00
•	07/24/2019	Funds Received	Deposit Details		FUNDS RÉCEIVED				\$100.000.00
	07/16/2019 as of 07/15/2019	Cash Dividend		SWGXX	SCHWAB GOVT MONEY FUND	239.1			
	07/12/2019	Вау	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	1,078.75	\$1.00		-\$1,078.75
	07/11/2019	Cash Drivitend		VCMIX	VERSUS CAP MULTI MNGR REAL ESTATE INCM FD INST				\$1.078.75
	07/01/2019	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	3 370 04	\$1.00		-\$3.370.04
	05/28/2019	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNIINTERM INV				\$1,692.85
	05/25/2019	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDITED INST				\$872.70
	06/28/2019	Cash Dividend		EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I		l		\$704.49

Quote Show Indices Symbol Lookup DJIA (https://client.schwab.com/secure/cc/nn/gw/wsod?path=/retail/research/markets/chart/&symbol=\$DJI) 24,345.72 -288.14 (-1.17%)

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	06/28/2019	Buy	NASDAO (https://cli 8,669.55-25:16 (-0.2	ent.schwab.com/se 8%)	SCHOLEN SWIMSHIP Teta	W/955	arch/markets	chart/&symbol=SiXIC)	-\$1,931.10
4,9	12.43 ~27.08 (~0.92%)				FUND markets/chart/&symbol=SSPX}				
Ru: 1,31	10.65 -50.10 (-3.68%)	fitschwiistfom/s	ecure/ccinn/gw/wsod	l †pilit=/reta il/resea	inst		Time (ET) 4:18:09 PM	Refresh	\$1.931.10
	06/27/2019	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY	316.95	8	\$3.00	-\$316.95
	06/26/2019	Cash Dividend		VSMAX	VANGUARD SMALL CAP INDEX ADM:RAL SHARE				\$316.95
	06/18/2019 as of 06/17/2019	Cash Dividend		SWGXX	SCHWAB GOVT MONEY	285 36			
	06/11/2019	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	6.240	1	\$1.00	-\$6.240.00
	06/10/2019	Journal			JOURNAL FRM				\$3,080.00
l	06/10/2019 J	loumal			JOURNAL FRM (CCMUVE	ed i	a ccoun	f number]	\$3,160.00
	Transacti	ons Total							\$0.00

*Transactions may include Bank Sweep deposit information, Bank Sweep deposits are held at the FBIC-insured depository institution(is) referenced on the Balances detail page, your account statements and disclosed in your account documents.

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Transactions From 01/01/2019 To 12/31/2019

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	Date 👻	Action	Details	Symbol	Description*	Quantity	Price	Fees & Comm	Amount
	05/03/2019	Вшу	Trade Octoits	SWGXX	SCHWAB GOVT MONEY FUND	2,974.59	\$1.00		-\$2,974.59
	05/31/2019	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI INTERM INV				\$1,869.65
	C5/31/2019	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST				\$1,104.94
	05/20/2019	544	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	100,015	\$1.00		\$100.015.00
	05/17/2019	Buy	Trade Details	VSMAX	VANGUARD SMALL CAP	1 365.001	\$73.26	\$15.00	-\$100,015.00
	05/17/2019	Sell	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	100,000	\$1.00		\$100.000.00
	05/16/2019	Βυγ	Trade Details	PRSMX	T ROWE PRICE SUMMIT MUNI INTERM INV	8 319.468	\$12.02		-\$100,000.00
	05/16/2019 as of 05/15/2019	Cash Dividend		SWGXX	SCHWAB GOVT MONEY FUND	196 73			
	05/14/2019	Sell	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	5.042 62	\$1.00		\$5.042.52
	05/13/2019	Advisor Fee			TO ADVISOR				+\$5.042.62
	05/10/2019	Buy	Trade Details	SWGKX	SCHWAB GOVT MONEY FUND	904 44	\$1.00		-5904 44
	05/09/2019	Cash Dividend		VCRRX	VERSUS CAP REAL ASSET				\$904.44
	05/09/2019	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	300.000	\$1.00		-\$300,000.00
•	05/08/2019	Funds Received	Deposit Details		FUNDS RECEIVED				\$300.000.00

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\$300.000.00

1001202	.0				Charles Schwab Cl	ient Center		
	5/01/2019	Buy	NASDAD (https://cl 8,889.55-25.16 (-0.2	iant achwab.com/s 8%}	ecuce/cc/in/gw/wsod?path=/r SCHW/B GOVT MONE FUND markets/chart/&symbol=\$SP)	etail/research/marke 2,697.04	tsichart/&symbol=SIXIC)	-\$2.697.04
Russe	43 -21.06 (-0.9276)	CALL Niel Com/se			markets/chart/&symbol=\$SP) Ir군라이네뷰로명/GEAS//&W/mbol=: MUM INTERM INV		Refresh	\$1,673.21
0-	4/30/2019	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST			\$1,023.83
0-	4/29/2019	Sell	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	4.395 47	\$1.00	\$4,395.47
04	4/24/2019	Buy	Trade Details	HLMEX	HARDING LOEVNER INST EMRG MKTS CL I	1 151 543	\$21.71 \$15.00	-\$25.015.00
04	4/24/2019	Sat	Trade Details	MOX	IVA INTL FD CL I	2.865.633	\$15.93 \$15.00	\$45,634 53
04	4/24/2019	Buy	Trade Details	MIPTX	MATTHEWS PACIFIC TIGER FD INSTL	864_155	\$28.93 \$15.00	-\$25 015 00
04	4/18/2019	Виу	Trade Delais	SWGXX	SCHWAB GOVT MONEY FUND	2,281	\$1.00	-\$2,281.00
04	1/17/2019	Journal				moved ac	count number)	\$2,281.00
04	4/17/2019	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	8,433	\$1.00	-\$8,433.00
04	V16/2019	Journal			JOURNAL FRM	with acco	unt number]	\$8,433.00
	1/16/2019 as of 1/15/2019	Cash Dividend		SWGXX	SCHWAB GOVT MONEY FUND	114.43		
04	1/16/2019	Sell	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	28.885.29	\$1.00	\$28,585.29
04	1/15/2019	Cash Dividend		VCMIX	VERSUS CAP MULTI MNGR REAL ESTATE INCM FD INST			\$1,114.71
04	/15/2019	Journel			OURNAL TO CIEMO	re accour	nt number]	-\$100 000 00
04	/12/2019	Sell	Trade Detais	PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND INV	5.887.3	\$11.89	\$70,000.00
04	V01/2019 I	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	3,407	\$1.00	-\$3,407.00
03	29/2019	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND INV			\$1,799.60
03	/29/2019 (Cash Drvidend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST			\$1,047.20
03	/29/2019 (Cash Dividend		EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I			\$560.20
03	/29/2019 8	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	1,620.18	\$1.00	-\$1.620.18
03	/28/2019 0	Cash Dividend		AWIK	CIBC ATLAS INCM OPPTY FD			\$1,620.18
	/18/2019 as of 0 /15/2019	Cash Dividend	e.	SWGXX	SCHWAB GOVT MONEY FUND	98.77		
03/	701/2019 E	Buy	Irade Details		SCHWAB GOVT MONEY FUND	2.620	\$1.00	-\$2,620 00
02/	28/2019 0	Cash Dividend			T ROWE PRICE SUMMIT MUNI INTERM FUND INV			\$1.640.99
02/	28/2019 0	Cash Dividend			PIMCO INVESTMENT GRADE CREDIT BD INST			\$979.01
	(19/2019 as of C (15/2019	Cash Dividend			SCHWAB GOVT MONEY FUND	189.05		
02/	12/2019 5	iell ·	Trade Details !		SCHWAB GOVT MONEY FUND	3 678 01	\$1.00	\$3,878.01

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				Chanes Schwab Cli	entuenter			
02/11/2019	Cash Dividend	I NASDAQ (https: 8,889.55 -25.16 (://client.schwab.co -0.28%) ^{VRX}	om/secure/cc/ng/Aw/relod?neth=/re	tail/research/marke	ls/chart/&symbol	=\$IXIC)	\$865.12
S&P 500 (https://cl/ 2,912.43 -27.08 (-0.1	lent.schwab.com/sec 92%}	ura/cc/nn/gw/wsod	?path=/retail/rese	FUND arch/markets/chart/&symbol=\$SPX)	}			
RussAi 2000 (http: 1,310.66 -50.10 (-3.)	:://cliant:9555579b.com 58%)	visecure/cc/nn/gw/w	rsod?path=/retail/	rescerti@@BW%997chart/&symbol=\$I	RUT) Time (ET) 4:18:09 PM	Refresh		-\$4,743.13
02/11/2019	Sell	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	49,891 77	\$1.00		\$49,891.77
02/08/2019	Sell	Trade Details	MIEIX	MFS INSTL INTL EQUITY	5.82	\$23 75	\$15.00	\$123.23
02/08/2019	Виу	Trade Details	MIPTX	MATTHEWS PACIFIC TIGER FD INSTL	1,779 359	\$28.10	\$15.00	-\$50.015.00
02/01/2019	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	2.716.06	\$1.00		-\$2,716.06
01/31/2019	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND INV				\$1,763.32
01/31/2019	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST				\$952.74
Transa	actions Total							\$0.00

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Transactions From 01/01/2019 To 12/31/2019

Date 🔻	Action	Delaits	Symbol	Description*	Quantity	Price	Fees & Comm	* Amount
01/16/2019 as of 01/15/2019	Cash Dividend		SWGXX	SCHWAB GOVT MONEY FUND	66.89			
01/15/2019	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY	1,114,71	\$1.00		-\$1,114,71
01/14/2019	Cash Dividend		VCMIX	VERSUS CAP MULTI MNGR REAL ESTATE INCM FD INST				\$1,314.71
01/02/2019	Buy	Trade Details	SWGXX	SCHWAB GOVT 2.699 8 MONEY FUND	\$1.00			-\$2.699-80
Transact	tions Total							-\$2,699,80

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